

SOME ASYMPTOTIC FORMULAS ON GENERALIZED DIVISOR FUNCTIONS, IV

by

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1. Throughout this paper, we use the following notation: $c_1, c_2, \dots, X_0, X_1, \dots$ denote positive absolute constants. We denote the number of elements of the finite set S by $|S|$. We write $e^x = \exp(x)$. We denote the least prime factor of n by $p(n)$. We write $p^\alpha \parallel n$ if $p^\alpha | n$ but $p^{\alpha+1} \nmid n$. $v(n)$ denotes the number of the distinct prime factors of n , while the number of all the prime factors of n is denoted by $\omega(n)$ so that

$$v(n) = \sum_{p|n} 1 \quad \text{and} \quad \omega(n) = \sum_{p^\alpha \parallel n} \alpha.$$

We write

$$v(n, x, y) = \sum_{\substack{p|n \\ x < p \leq y}} 1, \quad \omega(n, x, y) = \sum_{\substack{p^\alpha \parallel n \\ x < p \leq y}} \alpha,$$

$$v^+(n, x) = \sum_{\substack{p|n \\ p > x}} 1 \quad \text{and} \quad \omega^+(n, x) = \sum_{\substack{p^\alpha \parallel n \\ p > x}} \alpha$$

(so that $v^+(n, 1) = v(n, 1, n) = v(n)$, $\omega^+(n, 1) = \omega(n, 1, n) = \omega(n)$, $v(n, x, y) = v^+(n, x) - v^+(n, y)$ and $\omega(n, x, y) = \omega^+(n, x) - \omega^+(n, y)$). The divisor function is denoted by $d(n)$:

$$d(n) = \sum_{d|n} 1.$$

Let A be a finite or infinite sequence of positive integers $a_1 < a_2 < \dots$. Then we write

$$N_A(x) = \sum_{\substack{a \in A \\ a \leq x}} 1,$$

$$f_A(x) = \sum_{\substack{a \in A \\ a \leq x}} \frac{1}{a},$$

$$d_A(n) = \sum_{\substack{a \in A \\ a|n}} 1$$

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(in other words, $d_A(n)$ denotes the number of divisors amongst the a_i 's) and

$$D_A(x) = \max_{1 \leq n \leq x} d_A(n).$$

The aim of this series is to investigate the function $D_A(x)$. (See [1], [2] and [3]; see also HALL [5].) Clearly,

$$\sum_{1 \leq n \leq x} d_A(n) = x f_A(x) + O(x)$$

so that we have $D_A(x)/f_A(x) \gg 1$.

In Part I of this paper we proved that for an infinite sequence A , we have

$$\lim_{x \rightarrow +\infty} \sup D_A(x)/f_A(x) = +\infty$$

and we proved some other related results.

In Part II, we sharpened this theorem. In fact, we proved that

$$\lim_{x \rightarrow +\infty} f_A(x) = +\infty$$

implies that

$$(1) \quad \lim_{x \rightarrow +\infty} \sup D_A(x)/\exp(c_1(\log f_A(x))^2) = +\infty.$$

The proof was based on the fact that

$$f_A(x) > \exp((\log \log x)^{1/2})$$

implies that writing $y = \exp((\log x)^2)$, we have

$$D_A(y) > \exp(c_2(\log f_A(x))^2).$$

In Part III, we estimated $D_A(y)$ in terms of $f_A(x)$ for $y=x$; in fact, we proved

THEOREM 1. For all $\Omega > 0$ and for $x > X_0(\Omega)$,

$$f_A(x) > (\log \log x)^{20}$$

implies that

$$D_A(x) > \Omega f_A(x).$$

(In both Parts II and III, we proved also some other related results.)

In this paper, our aim is to seek for a possibly small function $y=y(x)$ such that $f_A(x) \rightarrow +\infty$ implies that $D_A(y(x))/f_A(x) \rightarrow +\infty$. In fact, we prove

THEOREM 2. For all $\Omega > 1$, there exist constants $c_3=c_3(\Omega)$, $X_1=X_1(\Omega)$ such that $x > X_1$,

$$(2) \quad f_A(x) > c_3$$

and

$$(3) \quad [x^{1-1/(f_A(x))^{1/3}}, x] \cap A = \emptyset$$

imply that

$$(4) \quad D_A(x) > \Omega f_A(x).$$

COROLLARY 1. For all $\Omega > 0$, there exist constants $c_4=c_4(\Omega)$, $X_2=X_2(\Omega)$ such that $x > X_2$ and

$$f_A(x) > c_4$$

imply that writing $y = x^{1+1/(f_A(x))^{1/4}}$, we have

$$(5) \quad D_A(y) > \Omega f_A(x).$$

COROLLARY 2. For all $\Omega > 1$, there exist constants $c_5 = c_5(\Omega)$, $X_3 = X_3(\Omega)$ such that $x > X_3$,

$$(6) \quad f_A(x) > c_5$$

and

$$(7) \quad D_A(x) \leq \Omega f_A(x)$$

imply

$$N_A(x) > x^{1-1/(f_A(x))^{1/3}}.$$

Section 2 is devoted to the proof of Theorem 2, while in Section 3, we deduce Corollaries 1 and 2 from Theorem 2.

On the other hand, we show that Theorem 2 is not true if we replace the exponent $1-1/(f_A(x))^{1/3}$ on the left-hand side of (3) by $1-1/c_6^{f_A(x)}$:

THEOREM 3. There exist absolute constants $c_6, c_7, c_8, c_9, c_{10}, c_{11}$ and X_4 such that for

$$(8) \quad x > X_4$$

and

$$(9) \quad c_6 < t < c_7 \log \log x,$$

there exists a sequence A satisfying

$$(10) \quad c_8 t < f_A(x) < c_9 t,$$

$$(11) \quad [x^{1-1/c_{10}^{f_A(x)}}, x] \cap A = \emptyset$$

and

$$(12) \quad D_A(x) < c_{11} f_A(x).$$

We prove this theorem in Section 4.

Finally, in Section 5, we discuss some other related problems.

2. Proof of Theorem 2. If x is sufficiently large and we have

$$f_A(x) > (\log \log x)^{20}$$

then (4) holds by Theorem 1. Thus we may assume that

$$(13) \quad f_A(x) \leq (\log \log x)^{20}.$$

Let us put

$$(14) \quad y = x^{1/(f_A(x))^{1/3}}$$

and write A in the form

$$(15) \quad A = A_1 \cup A_2$$

where A_1 consists of the integers a such that $a \in A$ and there exists an integer u satisfying

$$(16) \quad (\log x)^3 < u < y^{1/2\Omega} f_A(x)$$

and $u|a$, while A_2 consists of the integers a such that $a \in A$ and $u \nmid a$ for all u satisfying (16). We have to distinguish two cases.

Case 1. Assume first that

$$(17) \quad f_{A_1}(x) = \sum_{a \in A_1} \frac{1}{a} \cong \frac{1}{2} f_A(x).$$

For $a \in A_1$, write a in the form

$$a = u(a)b(a)$$

where $u(a)$ denotes the least integer u such that u satisfies (16) and $u|a$. Then by (3), for $a \in A_1$ we have $b(a) \cong a \cong x|y$ and $(\log x)^3 < d(a)$ so that

$$(18) \quad \begin{aligned} f_{A_1}(x) &= \sum_{a \in A_1} \frac{1}{a} = \sum_{a \in A_1} \frac{1}{u(a)b(a)} = \\ &= \sum_{b \cong x|y} \frac{1}{b} \sum_{\substack{a \in A_1 \\ b(a)=b}} \frac{1}{u(a)} < \sum_{b \cong x|y} \frac{1}{b} \sum_{\substack{a \in A_1 \\ b(a)=b}} \frac{1}{(\log x)^3} = \\ &= \frac{1}{(\log x)^3} \sum_{b \cong x|y} \frac{1}{b} \sum_{\substack{a \in A_1 \\ b(a)=b}} 1 \cong \frac{1}{(\log x)^3} \left(\max_{b \cong x|y} \sum_{\substack{a \in A_1 \\ b(a)=b}} 1 \right) \sum_{b \cong x} \frac{1}{b} < \\ &\cong \frac{1}{(\log x)^3} \left(\max_{b \cong x|y} \sum_{\substack{a \in A_1 \\ b(a)=b}} 1 \right) 2 \log x = \frac{2}{(\log x)^2} \left(\max_{b \cong x|y} \sum_{\substack{a \in A_1 \\ b(a)=b}} 1 \right). \end{aligned}$$

If x and c_3 (in (2)) are sufficiently large in terms of Ω then (2), (17) and (18) yield that

$$\begin{aligned} \max_{b \cong x|y} \sum_{\substack{a \in A_1 \\ b(a)=b}} 1 &> \frac{(\log x)^2}{2} f_{A_1}(x) \cong \\ &\cong \frac{(\log x)^2}{2} \frac{1}{2} f_A(x) > (\log x)^2 > \Omega \sum_{n \cong x} \frac{1}{n} + 1 \cong \Omega f_A(x) + 1 \end{aligned}$$

so that there exists an integer b_0 for which

$$(19) \quad 1 \cong b_0 \cong x/y$$

and

$$(20) \quad \sum_{\substack{a \in A_1 \\ b(a)=b_0}} 1 > \Omega f_A(x) + 1.$$

Put $s = [\Omega f_A(x)] + 1$. Then by (20), there exist integers a_1, a_2, \dots, a_s such that $a \in A$ and a_i can be written in the form

$$a_i = b_0 u(a_i) = b_0 u_i$$

where (with respect to (16))

$$(21) \quad ((\log x)^3 <) u_i < y^{1/2\Omega} f_A(x).$$

Let

$$m = b_0 u_1 u_2 \dots u_s.$$

Then by (2), (19) and (21), for sufficiently large c_3 we have

$$(22) \quad m = b_0 u_1 u_2 \dots u_s \cong \frac{x}{y} (y^{1/2\Omega} f_A(x))^s < \frac{x}{y} (y^{1/2\Omega} f_A(x))^{2\Omega} f_A(x) = x,$$

and, obviously, $a_i = b_0 u_i / m$ and $a_i = b_0 u_i \in A$ so that

$$(23) \quad d_A(m) \cong s = [\Omega f_A(x)] + 1 > \Omega f_A(x).$$

(22) and (23) yield (4) and this completes the proof of Theorem 2 in this case.

Case 2. Assume now that

$$(24) \quad f_{A_1}(x) = \sum_{a \in A_1} \frac{1}{a} < \frac{1}{2} f_A(x).$$

Then (2), (15) and (24) yield that

$$(25) \quad f_{A_2}(x) = \sum_{a \in A_2} \frac{1}{a} \cong \sum_{a \in A} \frac{1}{a} - \sum_{a \in A_1} \frac{1}{a} > f_A(x) - \frac{1}{2} f_A(x) = \frac{1}{2} f_A(x) \left(> \frac{c_3}{2} \right).$$

Let us write all $a \in A_2$ in the form

$$a = e(a)v(a) \quad \text{where} \quad e(a) \cong (\log x)^3 \quad \text{and} \quad p(v(a)) \cong y^{1/2\Omega f_A(x)}.$$

(Note that if x is sufficiently large in terms of Ω then by (13) we have

$$y^{1/2\Omega f_A(x)} = x^{1/2\Omega(f(x))^{4/3}} > (\log x)^3.)$$

Again, we have to distinguish two cases.

Case 2.1. Let

$$(26) \quad \max_{v \cong x|y} \sum_{\substack{a \in A_2 \\ v(a)=v}} \frac{1}{e(a)} > 2 \log f_A(x).$$

Note that if $v(a) = v$ for some $a \in A_2$ then by (3) we have

$$(27) \quad v \cong a \cong x|y.$$

We are going to show that (26) implies

$$(28) \quad \max_{v \cong x|y} \sum_{\substack{a \in A_2 \\ v(a)=v}} 1 > \Omega f_A(x).$$

In fact,

$$\sum_{\substack{a \in A_2 \\ v(a)=v}} 1 \cong \Omega f_A(x)$$

implies by (2) that if c_3 is sufficiently large in terms of Ω then we have

$$\sum_{\substack{a \in A_2 \\ v(a)=v}} \frac{1}{e(a)} \cong \sum_{1 \cong e \cong \Omega f_A(x)} \frac{1}{e} < \frac{3}{2} \log \Omega f_A(x) < 2 \log f_A(x).$$

By (26), this cannot hold for all v , which proves (28).

But (28) yields that there exists an integer v_0 such that

$$\sum_{\substack{a \in A_2 \\ v(a)=v_0}} 1 > \Omega f_A(x).$$

This implies that writing $s = [\Omega f_A(x)] + 1$, there exist integers $e_1 < e_2 < \dots < e_s$ such that $v_0 e_i \in A_2$ and

$$(29) \quad e_i \equiv (\log x)^3$$

for $i = 1, 2, \dots, s$. Write

$$h = v_0 e_1 e_2 \dots e_s.$$

Then by (13), (27) and (29),

$$(30) \quad h = v_0 e_1 e_2 \dots e_s \equiv \frac{x}{y} ((\log x)^3)^s \equiv \frac{x}{x^{1/(\Omega f_A(x))^{1/10}}} \exp(4\Omega f_A(x) \log \log x) < \frac{x}{x^{1/(\log \log x)^2}} \exp((\log \log x)^{22}) < x$$

and $v_0 e_i/A$ and $v_0 e_i \in A$ for $i = 1, 2, \dots, s$ so that

$$(31) \quad d_A(h) \equiv s = [\Omega f_A(x)] + 1 > \Omega f_A(x).$$

(30) and (31) yield (4) and this completes the proof of Theorem 2 in this case.

Case 2.2. Let

$$(32) \quad \sum_{\substack{a \in A_2 \\ v(a)=v}} \frac{1}{e(a)} \equiv 2 \log f_A(x) \quad \text{for all } v \equiv x/y.$$

Let us write A_2 in the form

$$A_2 = A_3 \cup A_4$$

where $a \in A_3$ if and only if $a \in A_2$ and

$$v^+(a, y^{1/2\Omega f_A(x)}) = v^+(v(a), y^{1/2\Omega f_A(x)}) > \frac{2}{5} \log f_A(x)$$

and

$$A_4 = A_2 - A_3.$$

Then by (25) and (32) we have

$$(33) \quad \begin{aligned} f_{A_3}(x) &= f_{A_2}(x) - f_{A_4}(x) > \\ &> \frac{1}{2} f_A(x) - \sum_{\substack{a \in A_2 \\ v^+(v(a), y^{1/2\Omega f_A(x)}) \leq \frac{2}{5} \log f_A(x)}} \frac{1}{a} = \\ &= \frac{1}{2} f_A(x) - \sum_{\substack{v \equiv x/y \\ p(v) > y^{1/2\Omega f_A(x)} \\ v^+(v, y^{1/2\Omega f_A(x)}) \leq \frac{2}{5} \log f_A(x)}} \sum_{\substack{a \in A_2 \\ v(a)=v}} \frac{1}{v(a)e(a)} = \\ &= \frac{1}{2} f_A(x) - \sum_{\substack{v \equiv x/y \\ p(v) > y^{1/2\Omega f_A(x)} \\ v^+(v, y^{1/2\Omega f_A(x)}) \leq \frac{2}{5} \log f_A(x)}} \frac{1}{v} \sum_{\substack{a \in A_2 \\ v(a)=v}} \frac{1}{e(a)} \equiv \\ &\equiv \frac{1}{2} f_A(x) - 2 \log f_A(x) \sum_{\substack{v \equiv x \\ p(v) > y^{1/2\Omega f_A(x)} \\ v^+(v, y^{1/2\Omega f_A(x)}) \leq \frac{2}{5} \log f_A(x)}} \frac{1}{v}. \end{aligned}$$

By using the Stirling-formula and the well-known formula

$$\sum_{p \equiv u} \sum_{\alpha=1}^{+\infty} \frac{1}{p^\alpha} = \log \log u + c_{12} + o(1)$$

and with respect to (2), for sufficiently large c_3 we obtain that

$$\begin{aligned} (34) \quad & \sum_{\substack{v \equiv x \\ p(v) > y^{1/2} \Omega f_A(x) \\ v^+ (v, y^{1/2} \Omega f_A(x)) \equiv \frac{2}{5} \log f_A(x)}} \frac{1}{v} \equiv \\ & \equiv 1 + \sum_{j=1}^{\left[\frac{2}{5} \log f_A(x) \right]} \frac{1}{y^{1/2} \Omega f_A(x) \sum_{p_1 < \dots < p_j \equiv x} \sum_{\alpha_1=1}^{+\infty} \dots \sum_{\alpha_j=1}^{+\infty} \frac{1}{p_1^{\alpha_1} \dots p_j^{\alpha_j}}} \equiv \\ & \equiv 1 + \sum_{j=1}^{\left[\frac{2}{5} \log f_A(x) \right]} \frac{1}{j!} \left(\sum_{y^{1/2} \Omega f_A(x) < p \equiv x} \frac{1}{p^x} \right)^j \equiv 1 + \sum_{j=1}^{\left[\frac{2}{5} \log f_A(x) \right]} \frac{1}{j!} \left(\log \frac{\log x}{\log y^{1/2} \Omega f_A(x)} \right)^j = \\ & = 1 + \sum_{j=1}^{\left[\frac{2}{5} \log f_A(x) \right]} \frac{1}{j!} (\log 2 \Omega(f_A(x))^{4/3} + c_{13})^j < 1 + \sum_{j=1}^{\left[\frac{2}{5} \log f_A(x) \right]} \frac{1}{j!} \left(\frac{134}{100} \log f_A(x) \right)^j < \\ & < \log f_A(x) \frac{1}{\left[\frac{2}{5} \log f_A(x) \right]!} \left(\frac{134}{100} \log f_A(x) \right)^{\left[\frac{2}{5} \log f_A(x) \right]} < \\ & < c_{14} (\log f_A(x))^{1/2} \left(\frac{134}{100} e \log f_A(x) \right)^{\left[\frac{2}{5} \log f_A(x) \right]} < c_{14} (\log f_A(x))^{1/2} \left(\frac{14}{10} e \right)^{\frac{2}{5} \log f_A(x)} = \\ & = c_{14} (\log f_A(x))^{1/2} (f_A(x))^{\frac{2}{5} \log \frac{7}{2} e} < c_{14} (\log f_A(x))^{1/2} (f_A(x))^{91/100} < (f_A(x))^{92/100}. \end{aligned}$$

By (2), (33) and (34) yield for sufficiently large c_3 that

$$(35) \quad f_{A_3}(x) > \frac{1}{2} f_A(x) - 2 (\log f_A(x)) (f_A(x))^{92/100} > \frac{1}{2} f_A(x) - (f_A(x))^{93/100} > \frac{1}{4} f_A(x).$$

Let S denote the set of the integers n such that $n \equiv x$ and n can be written in the form

$$(36) \quad n = au \text{ where } a \in A_3 \text{ and } \omega(u, y^{1/2} \Omega f_A(x), y) > \frac{99}{100} \log f_A(x).$$

For fixed $n \in S$, let $\varphi(n)$ denote the number of representations of n in the form (36).

Then we have

$$(37) \quad \sum_{n \equiv x} \varphi(n) = \sum_{a \in \mathcal{A}_3} \sum_{\substack{au \equiv x \\ \omega(u, y^{1/2\Omega} f_{\mathcal{A}}(x), y) > \frac{99}{100} \log f_{\mathcal{A}}(x)}} 1 = \sum_{a \in \mathcal{A}_3} \left(\sum_{u \equiv x/a} 1 - \sum_{\substack{u \equiv x/a \\ \omega(u, y^{1/2\Omega} f_{\mathcal{A}}(x), y) \leq \frac{99}{100} \log f_{\mathcal{A}}(x)}} 1 \right).$$

In order to estimate the last sum, we need the following lemma:

LEMMA 1. *Let us write*

$$(38) \quad Q(u) = u - (1+u) \log(1+u).$$

Then for $1 \leq t$, $2t < z \leq v$, $0 \leq \alpha \leq 1$ we have

$$\sum_{\substack{n \equiv v \\ \omega(n, t, z) \leq (1-\alpha) \sum_{t < p \leq z} 1/p}} 1 < c_{15} v \exp \left(Q(-\alpha) \log \frac{\log z}{\log t} \right).$$

This lemma is identical with Lemma 2 in [3]; in fact, it is a consequence of a result of K. K. NORTON (see [6]; see also HALÁSZ [4]).

By using Lemma 1 with $y^{1/2\Omega} f_{\mathcal{A}}(x)$, y , x/a and $1/200$ in place of t , z , v and α , respectively (note that $1 \leq t$ and $2t < z \leq v$ hold by (2), (3), (13) and (14)), we obtain for sufficiently large c_3 that

$$(39) \quad \sum_{\substack{u \equiv x/a \\ \omega(u, y^{1/2\Omega} f_{\mathcal{A}}(x), y) \leq \frac{199}{200} \sum_{y^{1/2\Omega} f_{\mathcal{A}}(x) < p \leq y} 1/p}} 1 < c_{15} \frac{x}{a} \exp \left(Q \left(-\frac{1}{200} \right) \log \frac{\log y}{\log y^{1/2\Omega} f_{\mathcal{A}}(x)} \right) < c_{15} \frac{x}{a} \exp(-10^{-5} \log 2\Omega f_{\mathcal{A}}(x)) < \frac{1}{4} \frac{x}{a}.$$

Furthermore, by (2), and with respect to the well-known formula

$$(40) \quad \sum_{p \equiv u} \frac{1}{p} = \log \log u + c_{16} + o(1),$$

for sufficiently large c_3 (depending on Ω) we have

$$(41) \quad \frac{199}{200} \sum_{y^{1/2\Omega} f_{\mathcal{A}}(x) < p \leq y} \frac{1}{p} > \frac{199}{200} \left(\log \frac{\log y}{\log y^{1/2\Omega} f_{\mathcal{A}}(x)} - c_{17} \right) = \frac{199}{200} (\log 2\Omega f_{\mathcal{A}}(x) - c_{17}) > \frac{99}{100} \log f_{\mathcal{A}}(x).$$

(39) and (41) yield that

$$(42) \quad \sum_{\substack{u \equiv x/a \\ \omega(u, y^{1/2\Omega} f_{\mathcal{A}}(x), y) \leq \frac{99}{100} \log f_{\mathcal{A}}(x)}} 1 \leq \sum_{\substack{u \equiv x/a \\ \omega(u, y^{1/2\Omega} f_{\mathcal{A}}(x), y) \leq \frac{199}{200} \sum_{y^{1/2\Omega} f_{\mathcal{A}}(x) < p \leq y} 1/p}} 1 < \frac{1}{4} \frac{x}{a}.$$

We obtain from (35), (37) and (42) that

$$(43) \quad \sum_{n \equiv x} \varphi(n) > \sum_{a \in A_3} \left(\sum_{u \equiv x/a} 1 - \frac{1}{4} \frac{x}{a} \right) = \sum_{a \in A_3} \left(\left[\frac{x}{a} \right] - \frac{1}{4} \frac{x}{a} \right) > \sum_{a \in A_3} \left(\frac{1}{2} \frac{x}{a} - \frac{1}{4} \frac{x}{a} \right) = \\ = \frac{1}{4} x f_{A_3}(x) > \frac{1}{16} x f_A(x).$$

Now we are going to give an upper estimate for $\sum_{n \equiv x} \varphi(n)$. Obviously, for $n \equiv x$ we have

$$\varphi(n) \leq d_A(n) \leq D_A(x)$$

hence

$$(44) \quad \sum_{n \equiv x} \varphi(n) = \sum_{n \in S} \varphi(n) \leq \sum_{n \in S} D_A(x) = |S| D_A(x).$$

Thus in order to obtain an upper bound for $\sum_{n \equiv x} \varphi(n)$, we have to estimate $|S|$.

If $n \in S$ then by (36) and the definition of the set A_3 , we have

$$\omega(n, y^{1/2\Omega f_A(x)}, x) = \omega(au, y^{1/2\Omega f_A(x)}, x) = \omega(a, y^{1/2\Omega f_A(x)}, x) + \omega(u, y^{1/2\Omega f_A(x)}, x) \leq \\ \leq v(a, y^{1/2\Omega f_A(x)}, x) + \omega(u, y^{1/2\Omega f_A(x)}, y) = v^+(a, y^{1/2\Omega f_A(x)}) + \omega(u, y^{1/2\Omega f_A(x)}, y) > \\ > \frac{2}{5} \log f_A(x) + \frac{99}{100} \log f_A(x) = \frac{139}{100} \log f_A(x)$$

hence

$$(45) \quad |S| \leq \sum_{\substack{n \equiv x \\ \omega(n, y^{1/2\Omega f_A(x)}, x) > \frac{139}{100} \log f_A(x)}} 1.$$

In order to estimate this sum, we need the following

LEMMA 2. For $1 \leq t$, $2t < z \leq v$, $0 < \alpha \leq \beta < 1$ we have

$$\sum_{\substack{n \equiv v \\ \omega(n, t, z) \equiv (1+\alpha) \sum_{t < p \leq z} 1/p}} 1 < c_{18}(\beta) \alpha^{-1} v \left(\sum_{t < p \leq z} \frac{1}{p} \right)^{-1/2} \exp \left(Q(\alpha) \log \frac{\log z}{\log t} \right)$$

(where $Q(u)$ is defined by (37)).

This lemma is identical with Lemma 3 in [3]; in fact, it is a consequence of a result of K. K. NORTON (see [6]; see also HALÁSZ [4]).

By using Lemma 2 with $y^{1/2\Omega f_A(x)}$, x , x , $\frac{1}{30}$ and $\frac{1}{2}$ in place of t , z , v , α and β , respectively (note that $1 \leq t$ and $2t < z \leq v$ hold by (2), (13) and (14)), and with

respect to (2), (14) and (40), we obtain for sufficiently large c_3 that

$$\begin{aligned}
 (46) \quad & \sum_{\substack{n \leq x \\ \omega(n, y^{1/2\Omega} f_A(x), x) \geq \frac{31}{30} \\ \sum_{y^{1/2\Omega} f_A(x) < p < x} 1/p}} 1 < c_{19} x \exp \left(Q \left(\frac{1}{30} \right) \log \frac{\log x}{\log y^{1/2\Omega} f_A(x)} \right) = \\
 & = c_{19} x \exp \left(Q \left(\frac{1}{30} \right) \log \frac{\log x}{\log x^{1/2\Omega} (f_A(x))^{1/3}} \right) = c_{19} x \exp \left(Q \left(\frac{1}{30} \right) \log 2\Omega (f_A(x))^{4/3} \right) < \\
 & < c_{19} x \exp \left(-5 \cdot 10^{-4} \cdot \frac{4}{3} \log f_A(x) \right) < x (f_A(x))^{-6 \cdot 10^{-4}}.
 \end{aligned}$$

Furthermore, by (2), (14) and (40), we obtain that if c_3 is sufficiently large (in terms of Ω) then

$$\begin{aligned}
 (47) \quad & \frac{31}{30} \sum_{y^{1/2\Omega} f_A(x) < p \leq x} \frac{1}{p} < \frac{31}{30} \left(\log \frac{\log x}{\log y^{1/2\Omega} f_A(x)} + c_{20} \right) = \\
 & = \frac{31}{30} \left(\log \frac{\log x}{\log x^{1/2\Omega} (f_A(x))^{1/3}} + c_{20} \right) = \frac{31}{30} \left(\log 2\Omega (f_A(x))^{4/3} + c_{20} \right) < \\
 & < \frac{31}{30} \cdot \frac{134}{100} \log f_A(x) < \frac{139}{100} \log f_A(x).
 \end{aligned}$$

We obtain from (45), (46) and (47) that

$$\begin{aligned}
 (48) \quad & |S| \leq \sum_{\substack{n \leq x \\ \omega(n, y^{1/2\Omega} f_A(x), x) > \frac{139}{100} \log f_A(x)}} 1 \leq \sum_{\substack{n \leq x \\ \omega(n, y^{1/2\Omega} f_A(x), x) \geq \frac{31}{30} \\ \sum_{y^{1/2\Omega} f_A(x) < p \leq x} 1/p}} 1 < \\
 & < x (f_A(x))^{-6 \cdot 10^{-4}}.
 \end{aligned}$$

(43), (44) and (48) yield that

$$\frac{1}{16} x f_A(x) < \sum_{n \leq x} \varphi(n) \leq |S| D_A(x) < x (f_A(x))^{-6 \cdot 10^{-4}} D_A(x)$$

hence

$$D_A(x) > \frac{(f_A(x))^{6 \cdot 10^{-4}}}{16} f_A(x).$$

If c_3 in (2) is sufficiently large in terms of Ω then this implies (4). Thus (4) holds also in Case 2.2 and this completes the proof of Theorem 2.

3. Proof of Corollary 1. By using Theorem 2 with $x^{1+1/(f_A(x))^{1/4}}$ in place of x , we obtain (5) (with $y = x^{1+1/(f_A(x))^{1/4}}$).

PROOF of Corollary 2.

Put

$$(49) \quad c_6 = \max(2c_3, 3).$$

Then by using Theorem 1 with $A \cap [0, x^{1-1/(f_A(x))^{1/3}}]$ and 2Ω in place of A and Ω , respectively, we obtain that for sufficiently large x (6) and (7) imply

$$f_A(x^{1-1/(f_A(x))^{1/3}}) \cong \frac{f_A(x)}{2}.$$

Thus we have

$$(50) \quad \sum_{x^{1-1/(f_A(x))^{1/3}} < a \leq x} \frac{1}{a} = f_A(x) - f_A(x^{1-1/(f_A(x))^{1/3}}) \cong \frac{f_A(x)}{2}.$$

On the other hand,

$$(51) \quad \sum_{x^{1-1/(f_A(x))^{1/3}} < a \leq x} \frac{1}{a} \cong \sum_{x^{1-1/(f_A(x))^{1/3}} < a \leq x} \frac{1}{x^{1-1/(f_A(x))^{1/3}}} = \\ = \frac{1}{x^{1-1/(f_A(x))^{1/3}}} \sum_{x^{1-1/(f_A(x))^{1/3}} < a \leq x} 1 \cong \frac{N_A(x)}{x^{1-1/(f_A(x))^{1/3}}}.$$

With respect to (6) and (49), (50) and (51) yield that

$$N_A(x) \cong \frac{f_A(x)}{2} x^{1-1/(f_A(x))^{1/3}} > x^{1-1/(f_A(x))^{1/3}}$$

which completes the proof of Corollary 2.

4. Proof of Theorem 3. Assume that (8) and (9) hold, and define y by

$$y^{2^t} = x^{1/2},$$

i.e.,

$$(52) \quad y = x^{1/2^{t+1}}.$$

For $j=1, 2, \dots, t$, let A_j denote the set consisting of the integers a such that

$$x/y^{2^j} < a \leq x/y^{2^{j-1}}$$

and

$$p(a) > y^{2^j}.$$

Let

$$A = \bigcup_{j=1}^t A_j.$$

We are going to show that this sequence A satisfies (10), (11) and (12) (provided that c_6, c_8 are sufficiently small and $c_7, c_9, c_{10}, c_{11}, X_4$ are sufficiently large).

In order to estimate $f_A(x)$, we need the following

LEMMA 3. *There exist absolute constants c_{21}, c_{22} such that if $u \geq 3, v \geq u^2$ then we have*

$$c_{21} < \sum_{\substack{v < n \leq vu \\ p(n) > u^2}} \frac{1}{n} < c_{22}.$$

This lemma is a consequence of the estimate

$$c_{23} \frac{x}{\log y} < \sum_{\substack{n \leq x \\ p(n) > y}} 1 < c_{24} \frac{x}{\log y} \quad (\text{where } 3 \leq y < x^{5/6})$$

which can be proved easily by using standard methods of the prime number theory (see e.g. [7]).

For $1 \leq j \leq t$, put $v = x/y^{2^j}$, $u = y^{2^{j-1}}$. Then by (8), (9) and (52), for sufficiently small c_7 and sufficiently large X_4 we have

$$u = y^{2^{j-1}} \geq y = x^{1/2^{t+1}} > x^{1/2^{c_7 \log \log x + 1}} = \exp\left(\frac{\log x}{2(\log x)^{c_7 \log 2}}\right) \geq \exp((\log x)^{1/2}) \geq 3$$

and

$$u^2 = \frac{u^2}{v} v = \frac{y^{2^j}}{x/y^{2^j}} v = \frac{y^{2^{j+1}}}{x} v \leq \frac{y^{2^{t+1}}}{x} v = v,$$

thus Lemma 3 can be applied. We obtain that

$$c_{21} < f_{A_j}(x) = \sum_{a \in A_j} \frac{1}{a} = \sum_{\substack{x/y^{2^j} < a \leq x/y^{2^{j-1}} \\ p(a) > y^{2^j}}} \frac{1}{a} < c_{22}$$

hence

$$(53) \quad c_{21} t < f_A(x) = \sum_{j=1}^t f_{A_j}(x) < c_{22} t.$$

Furthermore, by the construction of the sequence A we have

$$(54) \quad A \cap \left[\frac{x}{y}, x\right] = \emptyset$$

where, by (53),

$$(55) \quad \frac{x}{y} = x^{1-1/2^{t+1}} < x^{1-1/2^{c_{21}^{-1} f_A(x)+1}} < x^{1-1/c_{23}^{f_A(x)}}.$$

(54) and (55) yield (11).

Finally, by the construction of the sequences A_j , if $n \leq x$, $a \in A_j$ and $a' \in A_j$ then a/n , a'/n cannot hold simultaneously so that

$$d_{A_j}(n) \leq 1 \quad \text{for all } 1 \leq j \leq t \text{ and } n \leq x,$$

hence with respect to (53),

$$d_A(n) = \sum_{j=1}^t d_{A_j}(n) \leq \sum_{j=1}^t 1 < t < \frac{1}{c_{21}} f_A(x) \quad \text{for all } n \leq x$$

which proves (12) and this completes the proof of Theorem 3.

5. Theorem 2 shows that for relatively small y , $D_A(y)/f_A(x) \rightarrow +\infty$, while for $y = \exp((\log x)^2)$, the proof of Theorem 2 in [2] yields a good (near best possible)

lower bound for $D_A(y)$ (in terms of $f_A(x)$). One might like to seek for results "mid-way" these theorems, i.e., one might like to estimate $D_A(y)$ (in terms of $f_A(x)$) e.g. for $y=x^2$. In fact, the following problems of this type can be raised:

PROBLEM 1. Find a possibly small function $\varphi(x)$ such that for all $\Omega > 0$, $x > X_5(\Omega)$ and

$$f_A(x) > \varphi(x)$$

imply that

$$(56) \quad D_A(x^2) > (\log x)^\Omega.$$

In fact, we can show that (56) follows from $f_A(x) > (\log x)^\varepsilon$, $x > X_6(\varepsilon, \Omega)$ where ε is arbitrary small but fixed positive number (independent of Ω). However, perhaps, it is sufficient to assume that

$$f_A(x) > \exp(c_{24}(\Omega)(\log \log x)^{1/2}).$$

Our results in Part II suggest that our assumption for $f_A(x)$ if true cannot be improved very much.

PROBLEM 2. Is it true that for all $\Omega > 0$, there exist constants $c_{25} = c_{25}(\Omega)$ and $X_7 = X_7(\Omega)$ such that $x > X_7$ and

$$f_A(x) > c_{25}$$

imply that

$$D_A(x^2) > (f_A(x))^{\Omega?}$$

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