

ON THE NUMBER OF ZEROS OF SUCCESSIVE DERIVATIVES OF ENTIRE FUNCTIONS OF FINITE ORDER

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In our joint paper [1]¹ published recently, we have proved among other results the following

THEOREM 2'. *If $f(z)$ is an arbitrary entire function, $M(r) = \text{Max}_{|z|=r} |f(z)|$, and $x = H(y)$ denotes the inverse function of $y = \log M(r)$, then we have*

$$(1) \quad \liminf_{k \rightarrow \infty} \frac{N_k(f(z), 1) H(k)}{k} \leq e^2.$$

Here $N_k(f(z), 1)$ denotes the number of zeros of $f^{(k)}(z)$ in the unit circle.

The aim of the present note is to prove an improvement of this theorem for entire functions of finite order ≥ 1 , contained in the following

THEOREM A. *If $f(z)$ is an arbitrary entire function of finite order $\alpha \geq 1$, $M(r) = \text{Max}_{|z|=r} |f(z)|$, and $x = H(y)$ denotes the inverse function of $y = \log M(x)$, further if $N_k(f(z), 1)$ denotes the number of zeros of $f^{(k)}(z)$ in the unit circle, then we have*

$$(2) \quad \liminf_{k \rightarrow \infty} \frac{N_k(f(z), 1) H(k)}{k} \leq e^{2 - \frac{1}{\alpha}}.$$

¹ We use this occasion to point out that the condition

$$\liminf_{r \rightarrow \infty} \frac{\log M(r)}{g(r)} < 1$$

in Theorem 2 of [1] can be replaced by the somewhat weaker condition: there exists a sequence $r_n \rightarrow +\infty$ such that $\log M(r_n) \leq g(r_n)$. It is clear from the proof that only this is actually used. Thus the following assertion is true:

THEOREM B. *Let $g(r)$ denote an arbitrary increasing function, defined in $0 < r < +\infty$, tending to $+\infty$ for $r \rightarrow +\infty$. Let $x = h(y)$ denote the inverse function of $y = g(x)$. Let us suppose that $f(z)$ is an entire function for which, putting $M(r) = \text{Max}_{|z|=r} |f(z)|$, we have*

$$\log M(r_n) \leq g(r_n) \quad (n = 1, 2, \dots)$$

where r_n is some sequence of positive numbers, tending to $+\infty$ for $n \rightarrow \infty$. Then we have

$$\liminf_{k \rightarrow \infty} \frac{N_k(f(z), 1) h(k)}{k} \leq e^2.$$

PROOF. It has been shown in [1] (formula (30), p. 132) that if $\nu(r)$ denotes the central index of the power series of $f(z)$ for $|z|=r$, then

$$(3) \quad N_{\nu(r)}(f(z), 1) \leq (\nu(r) + 1) \log \frac{1}{1 - \frac{e}{r}}.$$

It follows from (3) that

$$(4) \quad \limsup_{r \rightarrow \infty} \frac{N_{\nu(r)}(f(z), 1)r}{\nu(r)} \leq e.$$

Now we may suppose without loss of generality that $f(0) = 1$. In that case if $\mu(r)$ denotes the absolute value of the maximal term of the power series of $f(z)$ on the circle $|z|=r$, the following well-known formula is valid (see [2], Vol. II, p. 5, Problem IV. 33):

$$(5) \quad \log \mu(r) = \int_0^r \frac{\nu(t)}{t} dt.$$

It follows from (5) that if $c > 1$, taking into account that $\nu(t)$ is non-decreasing (see [2], Vol. I, p. 21, Problem I. 120), we have

$$(6) \quad \log \mu(rc) - \log \mu(r) = \int_r^{rc} \frac{\nu(t)}{t} dt \geq \nu(r) \log c.$$

On the other hand, it is known (see [2], Vol. II, p. 9, Problem IV. 60) that

$$(7) \quad \liminf_{r \rightarrow \infty} \frac{\nu(r)}{\log \mu(r)} \leq \alpha.$$

Thus to any $\varepsilon > 0$ there can be found a sequence r_n ($n = 1, 2, \dots$) for which $r_n \rightarrow \infty$ and $\nu(r_n) \leq (\alpha + \varepsilon) \log \mu(r_n)$. Applying (6) for $r = r_n$ we obtain

$$(8) \quad \nu(r_n) \left(\log c + \frac{1}{\alpha + \varepsilon} \right) \leq \log \mu(r_n c).$$

Choosing $c = e^{1 - \frac{1}{\alpha + \varepsilon}}$, it follows that

$$(9) \quad \nu(r_n) \leq \log \mu \left(r_n e^{1 - \frac{1}{\alpha + \varepsilon}} \right).$$

As $\mu(r) \leq M(r)$, (9) implies

$$(10) \quad \nu(r_n) \leq \log M \left(r_n e^{1 - \frac{1}{\alpha + \varepsilon}} \right)$$

and thus

$$(11) \quad H(\nu(r_n)) \leq r_n e^{1 - \frac{1}{\alpha + \varepsilon}}.$$

As by (4)

$$(12) \quad \limsup_{n \rightarrow \infty} \frac{N_{\nu(r_n)}(f(z), 1) r_n}{\nu(r_n)} \leq e$$

and with respect to (11), we obtain

$$(13) \quad \limsup_{n \rightarrow \infty} \frac{N_{\nu(r_n)}(f(z), 1) H(\nu(r_n))}{\nu(r_n)} \leq e^{2 - \frac{1}{\alpha + \varepsilon}}.$$

But (13) clearly implies

$$(14) \quad \liminf_{k \rightarrow \infty} \frac{N_k(f(z), 1) H(k)}{k} \leq e^{2 - \frac{1}{\alpha + \varepsilon}}.$$

As (14) is valid for any $\varepsilon > 0$, the assertion of Theorem A is proved. Especially² we have for entire functions of exponential type, with type A,

$$(15) \quad \liminf_{k \rightarrow \infty} N_k(f(z), 1) \leq Ae.$$

(Received 13 February 1957)

References

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- [2] G. PÓLYA und G. SZEGŐ, *Aufgaben und Lehrsätze aus der Analysis* (Berlin, 1925).
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- [4] N. LEVINSON, The Gontcharoff polynomials, *Duke Math. J.*, **11** (1944), pp. 729—733; and **12** (1944), p. 335.
- [5] S. S. MACINTYRE, On the bound for the Whittaker constant, *Journ. London Math. Soc.*, **22** (1947), pp. 305—311.

² Let W (WHITTAKER'S constant) denote the greatest number such that if $f(z)$ is of exponential type $A < W$, then an infinity of derivatives of $f(z)$ have no zeros in the unit circle. The exact value of W is not known. It follows from (15) that $\frac{1}{e} \leq W$. This estimate is, however, much weaker than the estimate $0,7259 \leq W$, proved by SHEILA SCOTT MACINTYRE [3]. (In footnote ⁴ of [1] we mentioned only the weaker estimate $0,7199 \leq W$, due to N. LEVINSON [4].) It has been shown also by S. S. MACINTYRE [5], that $W \leq 0,7378$.

(It has been conjectured (see [4]) that $W = \frac{2}{e}$.)