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THE NUMBER OF MULTINOMIAL COEFFICIENTS

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The problem is to find the number of multinomial coefficients

$$(1) \quad \frac{n!}{i_1! i_2! \cdots i_r! (n-k)!}, \quad \sum_{j=1}^r i_j = k,$$

which are less than x , excluding the cases $r=1=i_1$ and $r=1=k$ for which (1) assumes the value n . The values of (1) are thus restricted by

$$(2) \quad i_1 \leq i_2 \leq \cdots \leq i_r \leq n - k \leq n - 2.$$

One of the writers [1] proved earlier that these multinomial coefficients have density zero; we now prove the following stronger result.

THEOREM. *The number of multinomial coefficients (1) which satisfy (2) and which are less than a fixed $x > 0$ is $(1 + \sqrt{2})x^{1/2} + o(x^{1/2})$.*

To prove this we divide the values (1) into 3 classes and treat each class separately. The first class, $f_1(x)$ in number, contains those having $k=2$; the second class, $f_2(x)$ in number, those with $3 \leq k \leq n/2$; the third class, $f_3(x)$ in number, those with $k > n/2$. We prove that

$$(3) \quad f_1(x) = (1 + \sqrt{2})x^{1/2} + o(x^{1/2}), \quad f_2(x) = o(x^{1/2}), \quad f_3(x) = o(x^{1/2}),$$

which will establish the theorem.

Class 1. For $k=2$ the values (1) are the two types, $n(n-1)/2$ and $n(n-1)$. Now $n(n-1) < x$ for $x^{1/2} + o(x^{1/2})$ values of n , and $n(n-1)/2 < x$ for $(2x)^{1/2} + o(x^{1/2})$ values of n . We must eliminate duplicates, that is cases where

$$(4) \quad n(n-1) = m(m-1)/2.$$

We show that (4) has at most $c \log x$ solutions $< x$, and this will establish the first equation (3). Solving (4) for m we find that solutions exist if and only if $8n^2 - 8n + 1$ is a perfect square, say u^2 , and replacing $2n-1$ by z , we have (4) reduced to $u^2 - 2z^2 = 1$. The positive integral solutions of this equation are given (cf. [3]) by $u + z\sqrt{2} = (3 + 2\sqrt{2})^r$ for $r=1, 2, \dots$, and the number of these less than x is of the order of $c \log x$.

Class 2. For any fixed k and n , the equation $k = \sum i_j$ indicates that the number of values of (1) is $p(k)$, the number of partitions of k into positive integers. The smallest of these $p(k)$ values is $\binom{n}{k}$, and so the admissible values of n and k will satisfy $\binom{n}{k} < x$. Now

$$(5) \quad \binom{n}{k} = \prod_{j=0}^{k-1} \frac{n-j}{k-j} > \left(\frac{n}{k}\right)^k.$$

Hence the admissible values of n and k satisfy $\binom{n}{k} < x$ or $n < kx^{1/k}$. Thus for each k the maximum number of values of n is $kx^{1/k}$ and so

$$(6) \quad f_2(x) < \sum_k (kx^{1/k})p(k),$$

the sum ranging over the admissible values of k . By definition of $f_2(x)$ the smallest k is $k=3$. To get an upper bound of k in terms of x we observe that the largest k corresponds to $n=2k$. Using $\binom{n}{k} < x$ again, we have that admissible values of k satisfy $\binom{2k}{k} < x$ and so satisfy $2^k < x$ since $2^k < \binom{2k}{k}$ by (5). Thus the range in the sum (6) can be taken as $k=3$ to $k=c \log x$.

Now [2] $p(k) < e^{c_1\sqrt{k}}$, so $p(c \log x) < e^{c_1(c \log x)^{1/2}} < x^\epsilon$ for arbitrary $\epsilon > 0$ with x

sufficiently large. Maximizing each part of (6) gives

$$f_2(x) < (c \log x)(c \log x)x^{1/3}x^e = o(x^{1/2}).$$

Class 3. Every value (1) in this class is clearly

$$\geq \frac{n!}{\left[\frac{n}{2}\right]! \left\{n - \left[\frac{n}{2}\right]\right\}!}.$$

Thus each admissible value of n satisfies $n \leq 2h+1$ where h is chosen so that $\binom{2h}{h}$ exceeds x . Replacing $\binom{2h}{h}$ by 2^h as previously we see that $n < c \log x$. For any fixed n the number of values of (1) is maximized by $p(n)$. Thus $f_3(x) < c \log x \cdot p(c \log x) = o(x^{1/2})$, and the proof of (3) is complete.

A more careful analysis would improve the theorem to yield the estimate

$$(1 + \sqrt{2})x^{1/2} + c_3x^{1/3} + \dots + c_mx^{1/m} + o(x^{1/m})$$

for every m . This could be proved by isolation of the cases $k=2, 3, \dots, m$ for special treatment, where here we stopped at $k=2$.

References

1. Ivan Niven, The asymptotic density of sequences, Bull. Amer. Math. Soc., vol. 57, 1951, pp. 420-434, Theorem 2.
2. G. H. Hardy and S. Ramanujan, Asymptotic formulae in combinatory analysis, Proc. London Math. Soc. (2), vol. 17, 1918, pp. 75-115; or, Paul Erdős, On an elementary proof of some asymptotic formulas in the theory of partitions, Annals of Math. (2), vol. 43, 1942, pp. 437-450.
3. Nagell, T., Introduction to Number Theory, John Wiley (1952), Theorem 104, p. 197.