

ON AN ELEMENTARY PROOF OF SOME ASYMPTOTIC FORMULAS IN THE THEORY OF PARTITIONS

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Denote by $p(n)$ the number of partitions of n . Hardy and Ramanujan¹ proved in their classical paper that

$$p(n) \sim \frac{1}{4n3^{\frac{1}{2}}} e^{cn^{\frac{3}{4}}}, \quad c = \pi\left(\frac{2}{3}\right)^{\frac{3}{2}},$$

using complex function theory. The main purpose of the present paper is to give an elementary proof of this formula. But we can only prove with our elementary method that

$$(1) \quad p(n) \sim \frac{a}{n} e^{cn^{\frac{3}{4}}}$$

and are unable to prove that $a = 1/4.3^{\frac{1}{2}}$.

Our method will be very similar to that used in a previous paper.² The starting point will be the following identity:

$$(2) \quad np(n) = \sum_{v=1}^n \sum_{k=1}^v vp(n-kv), \quad p(0) = p(-m) = 0.$$

(We easily obtain (2) by adding up all the $p(n)$ partitions of n , and noting that v occurs in $p(n-v)$ partitions.) (2) is of course well known. In fact, Hardy and Ramanujan state in their paper³ that by using (2) they have obtained an elementary proof of

$$(3) \quad \log p(n) \sim cn^{\frac{3}{4}}.$$

The proof of (3) is indeed easy. First we show that

$$(4) \quad p(n) < e^{cn^{\frac{3}{4}}}.$$

We use induction. (4) clearly holds for $n = 1$. By (2) and the induction hypothesis we have

$$np(n) < \sum_{v=1}^n \sum_{\substack{k=1 \\ kv < n}}^v ve^{c(n-kv)^{\frac{3}{4}}} < \sum_{v=1}^n \sum_{k=1}^{\infty} ve^{cn^{\frac{3}{4}} - ckv/2n^{\frac{1}{4}}} = e^{cn^{\frac{3}{4}}} \sum_{k=1}^{\infty} \frac{e^{-kc/2n^{\frac{1}{4}}}}{(1 - e^{-kc/2n^{\frac{1}{4}}})^2}.$$

¹ Hardy, Ramanujan, *Asymptotic formulae in combinatory analysis*, Proc. London Math. Soc. 17, (1918), pp. 75-115.

² Erdős, *On some asymptotic formulas in the theory of factorisation numerorum*, these Annals 42, (1941), pp. 989-993.

³ Hardy, Ramanujan, *ibid*, p. 79.

Now it is easy to see that for all real x , $\frac{e^{-x}}{(1 - e^{-x})^2} < \frac{1}{x^2}$. Thus

$$np(n) < e^{cn^{\frac{1}{2}}} \sum_{k=1}^{\infty} \frac{4n}{c^2 k^2} = ne^{cn^{\frac{1}{2}}},$$

which proves (4).

Similarly but with slightly longer calculations, we can prove that for every $\epsilon > 0$ there exists an $A > 0$ such that

$$(5) \quad p(n) > \frac{1}{A} e^{(c-\epsilon)n^{\frac{1}{2}}}.$$

(4) and (5) clearly imply (3).

To prove (1) we need the following

LEMMA 1:

$$(6) \quad \sum = \sum_{v=1}^{\infty} \sum_{\substack{k=1 \\ kv < n}}^{\infty} \frac{ve^{c(n-kv)^{\frac{1}{2}}}}{n - kv} = e^{cn^{\frac{1}{2}}} \left[1 + O\left(\frac{1}{n^{\frac{1}{2}+\epsilon}}\right) \right],$$

for some fixed $\epsilon > 0$.

PROOF. We omit as many details as possible, since the proof is quite straight forward and uninteresting. We evidently have by expanding $1/(n - kv)$ and omitting the terms with $kv > n^{\frac{1}{2}+\epsilon}$

$$\begin{aligned} \sum_{v=1}^n \sum_{\substack{k=1 \\ kv < n}}^n \frac{ve^{c(n-kv)^{\frac{1}{2}}}}{n - kv} &= \frac{1}{n} \sum_{v=1}^{\infty} \sum_{\substack{k=1 \\ kv < n}}^{\infty} ve^{c(n-kv)^{\frac{1}{2}}} + \frac{1}{n^2} \sum_{v=1}^{\infty} \sum_{\substack{k=1 \\ kv < n}}^{\infty} kv^2 e^{c(n-kv)^{\frac{1}{2}}} \\ &+ O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) = \sum_1 + \sum_2 + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right). \end{aligned}$$

Now

$$\sum_2 = \frac{e^{cn^{\frac{1}{2}}}}{n^2} \sum_{v=1}^{\infty} \sum_{k=1}^{\infty} kv^2 e^{-ckv/2n^{\frac{1}{2}}} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right).$$

(It is easy to see that the other terms of $e^{c(n-kv)^{\frac{1}{2}}}$ can be neglected and that the summation for v and k can be extended to ∞ .) Thus

$$\begin{aligned} \sum_2 &= \frac{e^{cn^{\frac{1}{2}}}}{n^2} \sum_{k=1}^{\infty} \frac{2k}{(1 - e^{-kc/2n^{\frac{1}{2}}})^3} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) = e^{cn^{\frac{1}{2}}} \sum_{k=1}^{\infty} \frac{2k \cdot 8n^{\frac{1}{2}}}{k^3 c^3} \\ &+ O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) = \frac{4}{c} \frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}}} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right). \end{aligned}$$

On the other hand

$$\begin{aligned} \sum_1 &= \frac{1}{n} \sum_{v=1}^{\infty} \sum_{k=1}^{\infty} v e^{-ckv/2n^{\frac{1}{2}} - ck^2v^2/8n^{\frac{3}{2}}} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) \\ &= \frac{e^{cn^{\frac{1}{2}}}}{n} \left(\sum_{v=1}^{\infty} \sum_{k=1}^{\infty} v e^{-ckv/2n^{\frac{1}{2}}} - \frac{ck^2v^3}{8n^{\frac{3}{2}}} e^{-ckv/2n^{\frac{1}{2}}} \right) = \sum_1' - \sum_1'' + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right). \\ \sum_1'' &= \frac{ce^{cn^{\frac{1}{2}}}}{8n^{\frac{3}{2}}} \sum_{v=1}^{\infty} \sum_{k=1}^{\infty} k^2 v^3 e^{-ckv/2n^{\frac{1}{2}}} = \frac{ce^{cn^{\frac{1}{2}}}}{8n^{\frac{3}{2}}} \sum_{k=1}^{\infty} \frac{6k^2}{(1 - e^{-ck/2n^{\frac{1}{2}}})^4} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) \\ &= \frac{ce^{cn^{\frac{1}{2}}}}{8n^{\frac{3}{2}}} \sum_{k=1}^{\infty} \frac{6k^2 \cdot 16n^2}{k^4 c^4} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right) = \frac{3}{c} \frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}}} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right). \\ \sum_1' &= \frac{e^{cn^{\frac{1}{2}}}}{n} \sum_{v=1}^{\infty} \sum_{k=1}^{\infty} v e^{-ckv/2n^{\frac{1}{2}}} = \frac{e^{cn^{\frac{1}{2}}}}{n} \sum_{k=1}^{\infty} \frac{e^{-ck/2n^{\frac{1}{2}}}}{(1 - e^{-ck/2n^{\frac{1}{2}}})^2}. \end{aligned}$$

A simple calculation shows that

$$\frac{e^{-x}}{(1 - e^{-x})^2} = \frac{1}{x^2} + O(1), \quad \text{i.e.} \quad \frac{e^{-ck/2n^{\frac{1}{2}}}}{(1 - e^{-ck/2n^{\frac{1}{2}}})^2} = \frac{4n}{c^2 k^2} + O(1).$$

Hence

$$\sum_1' = \frac{e^{cn^{\frac{1}{2}}}}{n} \sum_{k=1}^u \frac{4n}{c^2 k^2} + \sum_{k>u} \frac{e^{-ck/2n^{\frac{1}{2}}}}{(1 - e^{-ck/2n^{\frac{1}{2}}})^2} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right), \quad u = [n^{\frac{1}{2}}].$$

But

$$\sum_{k=1}^u \frac{4n}{c^2 k^2} = \frac{4n}{c^2} \frac{\pi^2}{6} - \frac{4n}{c^2} \sum_{k>u} \frac{1}{k^2} = n - \frac{4n}{c^2 u} + O\left(\frac{n}{u^2}\right).$$

And

$$\begin{aligned} \sum_{k>u} \frac{e^{-ck/2n^{\frac{1}{2}}}}{(1 - e^{-ck/2n^{\frac{1}{2}}})^2} &= \int_u^{\infty} \frac{e^{-cx/2n^{\frac{1}{2}}}}{(1 - e^{-cx/2n^{\frac{1}{2}}})^2} dx + O\left(\frac{1}{u^2}\right) \\ &= \frac{2n^{\frac{1}{2}}}{c(1 - e^{-cu/2n^{\frac{1}{2}}})} - \frac{n^{\frac{1}{2}}}{c} + O\left(\frac{1}{u^2}\right) = \frac{4n}{c^2 u} - \frac{n^{\frac{1}{2}}}{c} + O\left(\frac{1}{n^{\frac{1}{2}+\epsilon}}\right). \end{aligned}$$

Thus finally

$$\sum_1' = e^{cn^{\frac{1}{2}}} - \frac{e^{cn^{\frac{1}{2}}}}{cn^{\frac{1}{2}}} + O\left(\frac{e^{cn^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}}\right).$$

Hence

$$\Sigma = \sum_1' - \sum_1'' + \sum_2 = e^{cn^{\frac{1}{2}}} \left[1 + O\left(\frac{1}{n^{\frac{1}{2}+\epsilon}}\right) \right]$$

which proves the lemma.

Next we show that

$$(7) \quad 0 < \liminf \frac{np(n)}{e^{cn^{\frac{1}{2}}}} \leq \limsup \frac{np(n)}{e^{cn^{\frac{1}{2}}}} < \infty.$$

To prove (7) write

$$(8) \quad c_1^{(n)} = \max_{m \leq n} \frac{mp(m)}{e^{cm^{\frac{1}{2}}}}.$$

Clearly by (8) and (6) and (2)

$$(n+1)p(n+1) \leq c_1^{(n)} \sum_{v=1}^n \sum_{\substack{k=1 \\ kv < n}} \frac{ve^{c(n+1-kv)^{\frac{1}{2}}}}{n+1-kv} < c_1^{(n)} e^{c(n+1)^{\frac{1}{2}}} \left(1 + \frac{b_1}{n^{\frac{1}{2}+\epsilon}}\right)^4.$$

Write

$$\frac{(n+j)p(n+j)}{e^{c(n+j)^{\frac{1}{2}}}} = c_1^{(n)} \left(1 + \frac{b_j}{n^{\frac{1}{2}+\epsilon}}\right), \quad j = 1, 2, \dots.$$

Then

$$\begin{aligned} (n+r+1)p(n+r+1) &< c_1^{(n)} \sum_{v=1}^n \sum_{\substack{k=1 \\ kv \leq n+r}} \frac{ve^{c(n+r+1-kv)^{\frac{1}{2}}}}{n+r+1-kv} \\ &\quad + c_1^{(n)} \frac{\max_{j \leq r} b_j}{n^{\frac{1}{2}+\epsilon}} \sum_{v=1}^n \sum_{\substack{k=1 \\ kv \leq r}} \frac{ve^{c(n+r+1-kv)^{\frac{1}{2}}}}{n+r+1-kv} \\ &< c_1^{(n)} e^{c(n+r+1)^{\frac{1}{2}}} \left(1 + \frac{b_1}{n^{\frac{1}{2}+\epsilon}} + \frac{\max_{j \leq r} b_j}{n^{\frac{1}{2}+\epsilon}} \frac{r^2 e^{c(n+r+1)^{\frac{1}{2}}}}{n}\right), \end{aligned}$$

since

$$\sum_{kv \leq r} v \leq r^2.$$

Hence

$$b_{r+1} < b_1 + \frac{r^2 \max_{j \leq r} b_j}{n}.$$

We show that, for $r^2 \leq n/2$, $b_{r+1} \leq 2b_1$. We use induction. We have

$$b_{r+1} < b_1 + \frac{r^2 \cdot 2b_1}{n} \leq 2b_1.$$

* b_1 is chosen such that for every $m > 0$

$$\sum_v \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m-kv} < e^{c(m)^{\frac{1}{2}}} \left(1 + \frac{b_1}{m^{\frac{1}{2}+\epsilon}}\right).$$

Thus

$$c_1^{\lceil n + (\frac{1}{2}n)^{\frac{1}{2}} \rceil} \leq c_1^{(n)} \left(1 + \frac{2b_1}{n^{\frac{1}{2} + \epsilon}} \right).$$

Or

$$c_1^{\lceil (m+1)^2 \rceil} < c_1^{(m^2)} \left(1 + \frac{10b_1}{n^{\frac{1}{2} + \epsilon}} \right);$$

and since $\sum m^{1/1+\epsilon}$ converges we see that $\limsup c_1^{(n)} < \infty$; i.e. $\limsup np(n)/e^{cn^{\frac{1}{2}}} < \infty$. Similarly we can show that $\liminf np(n)/e^{cn^{\frac{1}{2}}} > 0$, which completes the proof of (7).

Next we prove that

$$(9) \quad \liminf \frac{np(n)}{e^{cn^{\frac{1}{2}}}} = \limsup \frac{np(n)}{e^{cn^{\frac{1}{2}}}}$$

and this will complete the proof of (1).

Suppose that (9) does not hold; write

$$(10) \quad \liminf \frac{np(n)}{e^{cn^{\frac{1}{2}}}} = d, \quad \limsup \frac{np(n)}{e^{cn^{\frac{1}{2}}}} = D.$$

Now choose n large and such that

$$\frac{np(n)}{e^{cn^{\frac{1}{2}}}} > D - \epsilon.$$

Then since $p(n)$ is an increasing function of n there exists a c_2 such that for every m in the range $n \leq m \leq n + c_2 n^{\frac{1}{2}}$

$$\frac{mp(m)}{e^{cm^{\frac{1}{2}}}} > \frac{d + D}{2}.$$

Now we claim that for every r_1 there exists a $\delta_{r_1} = \delta(r_1)$ such that, for $n \leq m \leq n + r_1 n^{\frac{1}{2}}$,

$$(11) \quad \frac{mp(m)}{e^{cm^{\frac{1}{2}}}} > d + \delta_{r_1}.$$

We prove (11) as follows: We evidently have by our lemma

$$mp(m) \geq d \sum_{\substack{v=1 \\ kv < m}} \sum_{k=1} \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} + \frac{D - d}{2} \sum_{n \leq m - kv \leq n + c_2 n^{\frac{1}{2}}} \sum_{k=1} \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} - o(e^{cm^{\frac{1}{2}}})^5$$

⁵ The term $o(e^{cm^{\frac{1}{2}}})$ is present because d is the lower limit and not the lower bound of $\frac{mp(m)}{e^{cm^{\frac{1}{2}}}}$.

$$\begin{aligned}
 &> de^{cm^{\frac{1}{2}}} + \frac{D-d}{2} \frac{e^{cn^{\frac{1}{2}}}}{m} \sum_{n \leq m-v \leq n+c_2 n^{\frac{1}{2}}} v - o(e^{cm^{\frac{1}{2}}}) > de^{cm^{\frac{1}{2}}} + c_3 e^{cn^{\frac{1}{2}}} - o(e^{cm^{\frac{1}{2}}}) \\
 &> (d + \delta_{r_1})e^{cm^{\frac{1}{2}}}, \quad \left(\text{i.e. } \frac{e^{cn^{\frac{1}{2}}}}{e^{cm^{\frac{1}{2}}}} > c_4 \right).
 \end{aligned}$$

which proves (11).

Suppose $2n \geq m \geq n + sn^{\frac{1}{2}}$, s sufficiently large; we show that

$$(12) \quad \sum_{\substack{v=1 \\ m-kv < n}} \sum_{k=1} v \frac{e^{c(m-kv)^{\frac{1}{2}}}}{m - kv} < \frac{e^{cm^{\frac{1}{2}}}}{s^{10}}.$$

Clearly

$$\begin{aligned}
 \sum_{\substack{v \\ 0 < m-kv < n}} \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} &\leq \sum_{\substack{v \\ kv > sn^{\frac{1}{2}}}} \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} \\
 &< e^{cm^{\frac{1}{2}}} \sum_{\substack{v \\ \frac{1}{2}m > kv > sn^{\frac{1}{2}}}} \sum_k \frac{2ve^{-ckv/2m^{\frac{1}{2}}}}{m} + \sum_{\substack{v \\ m > kv \geq \frac{1}{2}m}} \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} \\
 &< e^{cm^{\frac{1}{2}}} \sum_{\substack{v \\ \frac{1}{2}m > kv > sn^{\frac{1}{2}}}} \sum_k \frac{2ve^{-ckv/2m^{\frac{1}{2}}}}{m} + m^2 e^{c(\frac{1}{2}m)^{\frac{1}{2}}},
 \end{aligned}$$

since

$$\sum_{\substack{v \\ kv < x}} \sum_k v \leq x^2.$$

Further

$$\begin{aligned}
 \sum_{\substack{v \\ \frac{1}{2}m > kv > sn^{\frac{1}{2}}}} \sum_k ve^{-ckv/2m^{\frac{1}{2}}} &< \sum_{u=1}^m \sum_{\substack{v \\ (u+1)sn^{\frac{1}{2}} \geq kv > usn^{\frac{1}{2}}}} \sum_k ve^{-cusn^{\frac{1}{2}}/2m^{\frac{1}{2}}} \\
 &< \sum_{u=1}^m \sum_{\substack{v=1 \\ kv \leq (u+1)sn^{\frac{1}{2}}}} \sum_{k=1} ve^{-cus/4} < \sum_{u=1}^m (u+1)^2 s^2 ne^{-cus/4}.
 \end{aligned}$$

Thus

$$\sum_{\substack{v \\ \frac{1}{2}m > kv > sn^{\frac{1}{2}}}} \sum_k ve^{-ckv/2m^{\frac{1}{2}}} < ms^2 \sum_{u=1}^{\infty} (u+1)^2 e^{-cus/4} < \frac{m}{4s^{10}}$$

for sufficiently large s . Hence finally

$$\sum_{\substack{v=1 \\ m-kv < n}} \sum_{k=1} \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} < \frac{e^{cm^{\frac{1}{2}}}}{2s^{10}} + m^2 e^{c(\frac{1}{2}m)^{\frac{1}{2}}} < \frac{e^{cm^{\frac{1}{2}}}}{s^{10}}$$

for sufficiently large m and s (since $s < n^{\frac{1}{2}}$).

Consider now the intervals $n + tn^{\frac{1}{2}}, n + (t + 1)n^{\frac{1}{2}}, t > r_1, t + 1 < n^{\frac{1}{2}}$. Split it into t^2 equal parts. Write

$$\min \frac{mp(m)}{e^{cm^{\frac{1}{2}}}} = d + \delta_t^u, \quad n \leq m \leq n + \left(t + \frac{u + 1}{t^2}\right)n^{\frac{1}{2}}$$

and put $\delta_t^{t^2-1} = \delta_t$. Now let $n + (t + u/t^2)n^{\frac{1}{2}} \leq m \leq n + (t + (u + 1)/t^2)n^{\frac{1}{2}}$; then we have

$$mp(m) > d \sum_{v=1} \sum_{\substack{k=1 \\ kv < m}} \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} + \delta_t^{(u-1)} \sum'_v \sum'_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} - o(e^{cm^{\frac{1}{2}}}),$$

where the primes indicate that the summation is extended only over those v and k for which $n \leq m - kv \leq n + (t + u/t^2)n^{\frac{1}{2}}$. Further by Lemma 1

$$\begin{aligned} mp(m) \geq (d + \delta_t^{(u-1)})e^{cm^{\frac{1}{2}}} - \delta_t^{(u-1)} \sum'' \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} \\ - \delta_t^{(u-1)} \sum''' \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} - o(e^{cm^{\frac{1}{2}}}), \end{aligned}$$

where in \sum'' the summation is extended only over those v and k for which $m - kv \leq n$, and in \sum''' the summation is extended only over those v and k for which $m - kv \geq n + (t + u/t^2)n^{\frac{1}{2}}$. We have by (11)

$$\sum'' < \frac{e^{cm^{\frac{1}{2}}}}{t^{10}}.$$

Further we have

$$\sum''' < \frac{n}{t^4} \frac{2e^{cm^{\frac{1}{2}}}}{m} < \frac{2e^{cm^{\frac{1}{2}}}}{t^4}.$$

Hence finally

$$mp(m) > e^{cm^{\frac{1}{2}}} \left(d + \delta_t^{(u-1)} - \frac{3\delta_t^{(u-1)}}{t^4} \right) - o(e^{cm^{\frac{1}{2}}}).$$

Hence

$$\delta_t^{(u)} > \delta_t^{(u-1)} \left(1 - \frac{3}{t^4} \right) - o(1).$$

Thus if t is fixed, independent of n , we have

$$\delta_{t+1} > \delta_t \left(1 - \frac{3}{t^4} \right)^{t^2} - o(1),$$

therefore

$$\delta_t > \delta_{r_1} \prod_{u > r_1} \left(1 - \frac{3}{u^4} \right)^{u^2} - o(1).$$

But $\prod_u (1 - 3/u^4)^{u^2}$ converges; thus, if r_1 was sufficiently large, we have $\delta_i > \delta_{r_1}/2$. Now choose r_2 sufficiently large; then we have $\delta_{r_2} > \delta_{r_1}/2$, i.e. for $n \leq m \leq n + r_2 n^{\frac{1}{2}}$,

$$\frac{mp(m)}{e^{cm^{\frac{1}{2}}}} > d + \frac{\delta_{r_1}}{2}.$$

Consider the interval $n + tn^{\frac{1}{2}}, n + (t+1)n^{\frac{1}{2}}, t > r_2$. Split it into t^2 equal parts. $\delta_i^{(u)}$ and δ_i have the same meaning as before. Suppose $n + (t + u/t^2)n^{\frac{1}{2}} \leq m \leq n + (t + (u+1)/t^2)n^{\frac{1}{2}}$; then evidently

$$mp(m) > (d + \delta_i^{(u-1)}) \sum'_v \sum'_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv},$$

where the primes indicate that the summation is extended only over those v and k for which $n \leq m - kv \leq n + n^{\frac{1}{2}}(t + u/t^2)$.

Now

$$\sum'_v \sum'_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} = \sum_{v=1} \sum_{\substack{k=1 \\ kv < m}} \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} - \Sigma'' - \Sigma''',$$

where Σ'' and Σ''' are defined as before. By (12) and the previous estimate of Σ''' we have

$$\Sigma'' < \frac{e^{cm^{\frac{1}{2}}}}{t^{10}}, \quad \Sigma''' < \frac{2e^{cm^{\frac{1}{2}}}}{t^4}.$$

Hence by Lemma 1

$$mp(m) > e^{cm^{\frac{1}{2}}}(d + \delta_i^{(u-1)}) \left(1 - \frac{3}{t^4}\right) - \frac{b_1(d + \delta_i^{(u-1)})e^{cm^{\frac{1}{2}}}}{n^{\frac{1}{2}+\epsilon}};$$

i.e.

$$d + \delta_i^{(u)} > (d + \delta_i^{(u-1)}) \left(1 - \frac{3}{t^4}\right) - \frac{b_1(d + \delta_i^{(u-1)})}{n^{\frac{1}{2}+\epsilon}},$$

and

$$d + \delta_{t+1} > (d + \delta_t) \left(1 - \frac{3}{t^4}\right)^{t^2} - \frac{b_1 t^2 (d + \delta_t^{(u-1)})}{n^{\frac{1}{2}+\epsilon}},$$

or

$$d + \delta_s > \left(d + \frac{\delta_{r_1}}{2}\right) \prod_{t > r_2} \left(1 - \frac{3}{t^4}\right)^{t^2} - \frac{b_2 s^3}{n^{\frac{1}{2}+\epsilon}}.$$

For sufficiently large r_2 we have,

$$\left(d + \frac{\delta_{r_1}}{2}\right) \prod_{t > r_2} \left(1 - \frac{3}{t^4}\right)^{t^2} > d + \frac{\delta_{r_1}}{4},$$

and if $s \leq (\log n)^2$ and n is sufficiently large,

$$\delta_s > \frac{\delta_{r_1}}{8};$$

that is, for $n \leq m \leq n + n^{\frac{1}{2}}(\log n)^2$

$$\frac{mp(m)}{e^{cm^{\frac{1}{2}}}} > d + \frac{\delta_{r_1}}{8}.$$

Now suppose $m > n + n^{\frac{1}{2}}(\log n)^2$; we shall show that

$$\sum = \sum_v \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} < \frac{e^{cm^{\frac{1}{2}}}}{m}.$$

$0 < m - kv < n$

We have

$$\sum < m^2 e^{cn^{\frac{1}{2}}} < m^2 e^{cm^{\frac{1}{2}} - 10c \log m} < \frac{e^{cm^{\frac{1}{2}}}}{m}$$

for sufficiently large n . Hence by Lemma 1,

$$\sum_v \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} > e^{cm^{\frac{1}{2}}} \left(1 - \frac{b'_1}{n^{\frac{1}{2} + \epsilon}}\right). \quad 6$$

Now we continue as in the proof of (7). Suppose $t > n + n^{\frac{1}{2}}(\log n)^2$; write

$$d + \delta_t = \min \frac{mp(m)}{e^{cm^{\frac{1}{2}}}}, \quad n \leq m \leq t.$$

Then

$$(t + 1)p(t + 1) \geq (d + \delta_t) \sum_v \sum_k \frac{ve^{c(t-kv)^{\frac{1}{2}}}}{t - kv} > (d + \delta_t)e^{ct^{\frac{1}{2}}} \left(1 - \frac{b'_1}{t^{\frac{1}{2} + \epsilon}}\right).$$

Write

$$\frac{(t + r)p(t + r)}{e^{c(t+r)^{\frac{1}{2}}}} = (d + \delta_t) \left(1 - \frac{b'_r}{t^{\frac{1}{2} + \epsilon}}\right).$$

Then as in the proof of (7) we have

$$\begin{aligned} (t + j + 1)p(t + j + 1) &> (d + \delta_t) \sum_v \sum_k \frac{ve^{c(t+j+1-kv)^{\frac{1}{2}}}}{t + j + 1 - kv} \\ &\quad - (d + \delta_t) \frac{\max_{r \leq j} b'_r}{t^{\frac{1}{2} + \epsilon}} \frac{j^2}{t} e^{c(t+j+1)^{\frac{1}{2}}} \\ &> (d + \delta_t)e^{c(t+j+1)^{\frac{1}{2}}} \left(1 - \frac{b'_1}{(t + j + 1)^{\frac{1}{2}}}\right) - (d + \delta_t) \frac{\max_{r \leq j} b'_r}{t^{\frac{1}{2} + \epsilon}} \frac{j^2}{t} e^{c(t+j+1)^{\frac{1}{2}}} \\ &= (d + \delta_t)e^{c(t+j+1)^{\frac{1}{2}}} \left(1 - \frac{b'_{j+1}}{t^{\frac{1}{2} + \epsilon}}\right), \end{aligned}$$

⁶ As in footnote 4 b'_1 is chosen such that for every $m > n + n^{\frac{1}{2}}(\log n)^2$

$$\sum_v \sum_k \frac{ve^{c(m-kv)^{\frac{1}{2}}}}{m - kv} > e^{cm^{\frac{1}{2}}} \left(1 - \frac{b'_1}{m^{\frac{1}{2} + \epsilon}}\right).$$

$n < m - kv$

where

$$b'_{i+1} < b'_i + \max_{r \leq i} b'_r \cdot \frac{j^2}{t}.$$

We show that for $j^2 < t/2$ we have, $b'_{i+1} < 2b'_i$. We use induction; we have

$$b'_{i+1} < b'_i + \frac{2b'_i}{2} = 2b'_i.$$

Thus

$$d + \delta_{\lfloor t+1/2 \rfloor} > (d + \delta_i) \left(1 - \frac{2b'_i}{t^{1+\epsilon}}\right).$$

That is,

$$d + \delta_{(s+1)^2} > (d + \delta_{s^2}) \left(1 - \frac{10b'_i}{s^{1+\epsilon}}\right).$$

Therefore

$$d + \delta_{u^2} > \left(d + \frac{\delta_{r_1}}{8}\right) \prod_{v > \log n} \left(1 - \frac{10b'_i}{v^{1+\epsilon}}\right) > d + \frac{\delta_{r_1}}{10},$$

which contradicts (10); and this completes the proof of (1).

As can be seen, the main idea of our proof is rather simple; unfortunately the details are long and cumbersome. By the same method we can prove the following result: Let m be a fixed integer. Denote by $p_{a_1, a_2, \dots, a_r}^{(m)}(n)$ the number of partitions of n into integers congruent to one of the numbers $a_1, a_2, \dots, a_r \pmod{m}$. Then

$$(13) \quad p_{a_1, a_2, \dots, a_r}^{(m)}(n) \sim \frac{a}{n^\alpha} e^{cn^{\frac{1}{2}}}, \quad ((a_1, a_2, \dots, a_r) = 1)$$

where C depends on m and r , and α and a depend on m, a_1, a_2, \dots, a_r .

The same method will work if we consider partitions of n into r th powers. Denote the number of partitions of n into r th powers by $p_r(n)$, Hardy, Ramanujan and Wright⁷ proved that

$$(14) \quad p_r^{(n)} \sim c_1 n^{1/(r+1)-\frac{1}{2}} e^{c_2 n^{1/(r+1)}}.$$

Clearly as in the case of $p(n)$ we have

$$np_r(n) = \sum_v \sum_{\substack{k \\ vk < n}} v^r p_r(n - kv^r).$$

⁷ Hardy, Ramanujan, *ibid.* p. 111. Maitland Wright, *Acta Math.* 63, (1934), pp. 143-191. Wright proves a very much sharper result than (13).

To prove (14) we should only have to prove the analogue of our lemma, namely

$$(15) \quad \sum_v \sum_{\substack{k \\ v^r k < n}} (n - v^r k)^{1/(r+1) - \frac{1}{2}} e^{c_2(n - v^r k)^{1/(r+1)}} = n^{1/(r+1) - \frac{1}{2}} e^{c_2 n^{1/(r+1)}} \left[1 + O\left(\frac{1}{n^{1 - (1/(r+1)) + \epsilon}}\right) \right].$$

If (15) is proved the proof of (14) proceeds as in the case of $p(n)$.

I have not worked out a proof of (15); it seems likely that a proof would be longer than that of Lemma 1, but would not present any particular difficulties.

Recently Ingham⁸ proved a Tauberian theorem from which (1) and (14) follow as corollaries. In fact his Theorem 2 gives a more general result, from which (13) also follows as a very special case.

Denote by $P_r(n)$ the number of partitions of n into powers of r . Clearly

$$nP_r(n) = \sum_v \sum_{\substack{k \\ r^v k < n}} r^v P_r(n - r^v k).$$

It might be possible to get an asymptotic formula for $P_r(n)$ by our method. I have not succeeded so far. But we can show without difficulty that

$$(16) \quad \log P_r(n) \sim \frac{(\log n)^2}{2 \log r}.$$

We have

$$f(x) = \sum_{n=0}^{\infty} P_r(n) x^n = \prod_{v=1}^{\infty} \frac{1}{1 - x^{r^v}}.$$

It is easy to see that for $0 \leq x \leq 1$,

$$(17) \quad c_1 \left(\frac{1}{1-x}\right)^{(1/(2 \log a)) \log 1/(1-x)} < f(x) < c_2 \left(\frac{1}{1-x}\right)^{(1/(2 \log a)) \log 1/(1-x)}.$$

Thus

$$P_r(n) \left(1 - \frac{1}{n}\right)^n < f\left(1 - \frac{1}{n}\right) < c_2 n^{(\log n)/(2 \log a)};$$

that is

$$P_r(n) < c_3 n^{(\log n)/(2 \log a)}, \quad \log P_r(n) < (1 - \epsilon) \frac{(\log n)^2}{2 \log a} \quad \text{for } n > n_0.$$

Suppose now that for a certain large n $\log(P_r(n)) < (1 - \epsilon)(\log n)^2/2 \log a$; then, since for $m < n$ $P_r(m) \leq P_r(n)$ we have

$$(18) \quad f(x) < e^{(1-\epsilon) \cdot (\log n)^2/(2 \log a)} \sum_{k=0}^n x^k + \sum_{k>n} c_3 k^{(\log k)/(2 \log a)} x^k,$$

⁸ A. E. Ingham, *A Tauberian Theorem for Partitions*, these Annals, 42 (1941), p. 1083.

and a simple calculation shows that (18) contradicts (17). (Choose $x = (1 - \delta)n$, $\delta = \delta(\epsilon)$). The same method would of course give

$$\log(p(n)) \sim \pi \left(\frac{2n}{3}\right)^{\frac{1}{2}}.$$

We can also prove the following results:

I. Let $a_1 < a_2 < \dots$ be an infinite sequence of integers of density α , such that the a 's have no common factor. Denote by $p'(n)$ the number of partitions of n into the a 's. Then

$$(19) \quad \log(p'(n)) \sim c(\alpha n)^{\frac{1}{2}}. \quad (c = \pi(\frac{2}{3})^{\frac{1}{2}})$$

II. Let $a_1 < a_2 < \dots$ be an infinite sequence of integers of density α , such that every sufficiently large m can be expressed as the sum of different a 's. Then denote by $P'(n)$ the number of partitions of n into different a 's. Then

$$(20) \quad \log P'(n) \sim c \left(\frac{\alpha}{2} n\right)^{\frac{1}{2}}.$$

We shall sketch the proof of II; the proof of I is similar but simpler. Denote by $P(n)$ the number of partitions of n into different summands: it is well known that⁹

$$(21) \quad \log P(n) \sim c \left(\frac{n}{2}\right)^{\frac{1}{2}}.$$

First we show that

$$(22) \quad \limsup \frac{\log P'(n)}{c \left(\frac{\alpha}{2} n\right)^{\frac{1}{2}}} \leq 1.$$

To the partition $n = a_{i_1} + a_{i_2} + \dots + a_{i_r}$ we make correspond the partition $i_1 + i_2 + \dots + i_r$. For $i > i_0$ we have $i < a_i(\alpha + \epsilon)$ therefore $i_1 + i_2 + \dots + i_k < n(\alpha + \epsilon) + i_0^2$. Thus each partition of n into the a 's is mapped into a partition of integers $\leq n(\alpha + 2\epsilon)$ with all integers as summands; hence from (20) we obtain (22). Next we prove that

$$(23) \quad \liminf \frac{\log P'(n)}{c \left(\frac{\alpha}{2} n\right)^{\frac{1}{2}}} \geq 1.$$

Split the sequence a_i into two disjoint sequences b_1, b_2, \dots and c_1, c_2, \dots where the b 's have density 0 and every sufficiently large integer is the sum of different b 's and the c 's are the remaining a 's. It is easy to see that we can find the b 's with the required property; also the density of the c 's is clearly α . Denote by $Q(n)$ the number of partitions of n into the c 's. Now associate

⁹ A well known result of Euler states that the number of partitions of n into odd integers equals the number of partitions of n into different summands. Thus (20) follows from i .

with the partition $n = i_1 + i_2 + \dots + i_k$, $i_1 < i_2 < \dots < i_k$ the partition $c_{i_1} + c_{i_2} + \dots + c_{i_k}$; as before, we have

$$\frac{n}{\alpha + \epsilon} < c_{i_1} + c_{i_2} + \dots + c_{i_k} < \frac{n}{\alpha - \epsilon}.$$

Hence for at least one $n/(\alpha + \epsilon) < m < n/(\alpha - \epsilon)$, $Q(m) > p(n)(\alpha - \epsilon)/n$. Thus there exists a sequence of integers $x_1 < x_2 < \dots$ with $\lim x_{i+1}/x_i = 1$ and

$$24) \quad \liminf \frac{\log Q(x_i)}{c \left(\frac{\alpha}{2} x_i \right)^{\frac{1}{2}}} = 1.$$

Now suppose $x_j \leq m < x_{j+1}$. Choose x_i such that $\epsilon m > m - x_i > C$. Then $m - x_i$ is a sum of different b 's, hence $P(m) \geq Q(x_i)$. Thus (23) follows from (24), and this completes the proof of II.

It might be worth while to mention the following problem: Let $a_1 < a_2 < \dots$ be an infinite sequence of integers, such that $\log P(n) \sim c(\alpha n)^{\frac{1}{2}}$. Does it then follow that the density of the a 's is α . I cannot decide this problem. Perhaps the following result might be of some interest in this connection: Let $a_1 < a_2 \dots$ be an infinite sequence of integers. $f(n)$ denotes the number of a 's $\leq n$, and $\varphi(n)$ denotes the number of solutions of $a_i + a_j \leq n$. It can be shown trivially that if $\lim f(n)/n^\alpha = c_1$ then $\lim \varphi(n)/n^{2\alpha} = c_2$. But the converse is also true, and can be simply proved by using a Tauberian theorem of Hardy and Littlewood.¹⁰ We have

$$(f(z))^2 = \left(\sum_{i=1}^{\infty} z^{a_i} \right)^2 = \sum_{k=1}^{\infty} d_k z^k$$

and, since $\sum d_k = \varphi(n) \sim c_2 n^{2\alpha}$, we evidently have

$$f(z) \underset{z \rightarrow 1}{\sim} \frac{c_3}{(1-z)^\alpha}$$

and hence by the theorem of Hardy and Littlewood,

$$f(n) = \sum_{a_k \leq n} 1 \sim c_1 n^\alpha.$$

By the same methods that were used in proving II, we can prove the following result: Denote by $R(n)$ the number of partitions of n into integers relatively prime to n . We have

$$\log R(n) \sim c(\varphi(n))^{\frac{1}{2}}.$$

Similarly, if we denote by $R'(n)$ the number of partitions of n into different integers relatively prime to n , we have

$$\log R'(n) \sim c \left(\frac{\varphi(n)}{2} \right)^{\frac{1}{2}}.$$

¹⁰ Hardy-Littlewood, *Tauberian Theorems*, Proc. London Math. Soc. 13, (1914), pp. 174-191.

I have not succeeded in finding asymptotic formulas for $R(n)$ and $R'(n)$. This problem seems rather difficult.

March 12, 1942.

In the meantime I have proved the above conjecture. Consider

$$f(x) = \sum_{n=1}^{\infty} P(n)x^n = \prod_{k=1}^{\infty} \frac{1}{1-x^{\alpha_k}}.$$

If we assume that $\log P(n) \sim a(n)^{\frac{1}{2}}$, we obtain by a simple calculation

$$\log f(x) \underset{x \rightarrow 1}{\sim} \frac{\pi^2}{6} \frac{\alpha}{1-x}.$$

But

$$\log f(x) = \sum x^{a_i} + \frac{1}{2} \sum_i x^{2a_i} + \dots = \sum_{k=1}^{\infty} b_k x^k.$$

Denote by $A(n)$ the number of a 's not exceeding n . We have

$$B(n) = \sum_{k=1}^n b_k = \sum_{k=1}^{\infty} \frac{1}{k} A\left(\frac{n}{k}\right).$$

Thus

$$A(n) = \sum_{k=1}^{\infty} \frac{u(k)}{k} B\left(\frac{n}{k}\right).$$

But by the well known Tauberian theorem of Hardy-Littlewood,¹¹ we have

$$B(n) \sim \frac{\alpha\pi^2}{6} n.$$

Hence

$$A(n) \sim \sum_{k=1}^{\infty} \frac{u(k)}{k^2} \frac{\alpha\pi^2}{6} n \sim \alpha n. \quad \text{q.e.d.}$$

Similarly we can show that if $\log P'(n) = c[(\alpha/2)n]^{\frac{1}{2}}$, the density of the a 's is α .

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¹¹ Hardy-Littlewood, *ibid.*