

## ON THE DENSITY OF SOME SEQUENCES OF NUMBERS (II)

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The functions  $f(m)$  and  $\phi(m)$  are called additive and multiplicative respectively if they are defined for non-negative integers  $m$ , and if, for  $(m_1, m_2) = 1$ ,

$$f(m_1 m_2) = f(m_1) + f(m_2),$$

$$\phi(m_1 m_2) = \phi(m_1) \phi(m_2).$$

In my paper "On the density of some sequences of numbers†" I proved the following

**THEOREM.** *Let the additive function  $f(m)$  satisfy the following conditions :*

$$(1) f(m) \geq 0,$$

$$(2) f(p_1) \neq f(p_2) \text{ if } p_1, p_2 \text{ are different primes.}$$

Further let  $N(f; c, d)$  denote the number of positive integers  $m$  not exceeding  $n$ , for which

$$c \leq f(m) \leq d,$$

where  $c, d$  are given constants; when  $d = \infty$ , write  $N(f; c)$  for  $N(f; c, \infty)$ . Then  $N(f; c)/n$  tends to a limit as  $n \rightarrow \infty$ .

I shall now prove that condition (2) is superfluous. Just as in (I), it is sufficient to consider the case when  $f$  is such that  $f(p) = f(p^\alpha)$ , for any positive integer  $\alpha$ . I use throughout the notation of (I).

The case in which  $\sum_p \frac{f(p)}{p}$  diverges may be settled just as in (I).

Suppose then that  $\sum_p \frac{f(p)}{p}$  is convergent.

First take the case in which  $\sum_{f(p) \neq 0} \frac{1}{p}$  converges. Denote by  $a_1, a_2, \dots$  the integers composed of the primes  $p$  for which  $f(p) \neq 0$ . Evidently

$$\sum \frac{1}{a_i} = \prod_{f(p) \neq 0} \frac{1}{1 - (1/p)}$$

converges.

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† *Journal London Math. Soc.*, 10 (1935), 120-125.

Let us denote by  $a(m)$  the greatest  $a_i$  contained in  $m$ . Since  $\sum_{f(p) \neq 0} \frac{1}{p}$  converges, it easily follows from the sieve of Eratosthenes that the density of integers not divisible by any  $p$ , with  $f(p) \neq 0$ , is equal to  $\prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right)$ . Hence the density of the integers  $m$  for which  $a(m) = a_i$  is

$$\frac{1}{a_i} \prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right).$$

Finally, since  $\sum 1/a_i$  converges, the density of the integers for which  $f(m) \geq c$  is equal to

$$\prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right) \sum_{f(a_i) \geq c} \frac{1}{a_i}.$$

And so the theorem holds.

Take next the case in which  $\sum_{f(p) \neq 0} \frac{1}{p}$  diverges. The proof is similar to that of (I). We require the same lemmas, and nothing is to be altered except that Lemma 1 of (I) must be proved without using the hypothesis

$$f(p_1) \neq f(p_2).$$

LEMMA 1 of (I). We can find a positive number  $\delta$  such that, for all sufficiently large  $n$ ,

$$N(f; c, c + \delta) < \epsilon n.$$

The new proof requires two lemmas. The first is the same as Lemma 2 of (I), namely:

LEMMA 1. Let  $f_k(m) = \sum_{\substack{p|m \\ p \leq p_k}} f(p)$ , where  $p_k$  denotes the  $k$ -th prime.

Then the number of integers  $m \leq n$ , for which

$$f(m) - f_k(m) > \delta,$$

is less than  $\frac{1}{2}\epsilon n$  for sufficiently large  $k = k(\epsilon)$ .

The proof of this did not involve the hypothesis  $f(p_1) \neq f(p_2)$ .

Now we split the integers  $m \leq n$  for which  $c \leq f(m) \leq c + \delta$  into two classes, putting in the first class those for which  $f(m) - f_k(m) > \delta$ , and in the second class the others. By Lemma 1, the number of integers of the first class is less than  $\frac{1}{2}\epsilon n$ . For the integers of the second class,

$$c - \delta \leq f_k(m) \leq c + \delta;$$

hence we see that Lemma 1 of (I) will be proved if we can show that the number of integers  $m \leq n$  for which  $c - \delta \leq f_k(m) \leq c + \delta$  is less than  $\frac{1}{2}\epsilon n$  for sufficiently large  $k = k(\epsilon)$ .

We now denote

- (1) by  $q_i$  the primes less than or equal to  $k$  for which  $f(q_i) > 2\delta$ ,
- (2) by  $r_i$  the other primes less than or equal to  $k$ ,
- (3) by  $\alpha_i$  the squarefree integers composed of primes less than or equal to  $k$  for which  $c - \delta \leq f(\alpha) \leq c + \delta$ ,
- (4) by  $\beta_1, \beta_2, \dots$  the squarefree integers composed of the  $q_i$ ,
- (5) by  $\gamma_1, \gamma_2, \dots$  the squarefree integers composed of the  $r_i$ ,
- (6) by  $d_\alpha(m)$  the number of divisors of  $m$  among the  $\alpha_i$ ,
- (7) by  $d_\gamma(m)$  the number of divisors of  $m$  among the  $\gamma_i$ ,
- (8) by  $d_k(m)$  the number of divisors of  $m$  among the squarefree integers composed of primes less than or equal to  $k$ ,
- (9) by  $c_1, c_2, c_3$  absolute constants.

Now choose  $\delta$  so small and  $k$  so great that

$$\sum \frac{1}{q_i} > A = A(\epsilon),$$

where  $A$  is sufficiently large. This is possible since  $\sum_{f(p) \neq 0} \frac{1}{p}$  diverges.

We then prove\*

LEMMA 2. 
$$\sum \frac{1}{\alpha_i} \leq \epsilon^2 \log k.$$

We evidently have

$$\sum_{l=1}^M d_\alpha(l) = \sum_{\alpha_i} \left[ \frac{M}{\alpha_i} \right] > \sum_{\alpha_i} \frac{M}{\alpha_i} - M. \tag{1}$$

We write 
$$\sum_{l=1}^M d_\alpha(l) = \Sigma_1 + \Sigma_2,$$

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\* The proof runs similarly to that of Behrend, "On sequences of numbers not divisible one by another", *Journal London Math. Soc.*, 10 (1935), 42-44.

where  $\Sigma_1$  contains the  $l$ 's having less than  $A$  divisors among the  $q_i$ , and  $\Sigma_2$  all the other  $l$ 's. Then

$$\begin{aligned} \Sigma_1 &< 2^A \sum_{l=1}^M d_\gamma(l) = 2^A \sum_{\gamma_i} \left[ \frac{M}{\gamma_i} \right] \leq M 2^A \prod_{r_i} \left( 1 + \frac{1}{r_i} \right) = M 2^A \frac{\prod_{p \leq k} \left( 1 + \frac{1}{p} \right)}{\prod_{q_i} \left( 1 + \frac{1}{q_i} \right)} \\ &\leq \frac{c_1 M 2^A \log k}{e^A} < \epsilon^3 M \log k, \end{aligned}$$

for sufficiently large  $A = A(\epsilon)$ .

We now estimate  $\Sigma_2$ . Let  $l$  be an integer of  $\Sigma_2$ , then, if  $\beta = q_1 q_2 \dots q_x$ ,  $\gamma = r_1 r_2 \dots r_y$ , we have

$$l = \beta \gamma t,$$

where  $x \geq A$  and  $t$  is composed of primes greater than  $k$  and the factors of  $\beta \gamma$ .

We estimate  $d_\alpha(l)$  as follows. Any  $\alpha | l$  is of the form  $\alpha = \beta_i \gamma_j$ , where  $\beta_i | \beta$ ,  $\gamma_j | \gamma$ . The  $\beta_i$ 's belonging to the same  $\gamma_r$  cannot divide one another, for if we had  $\alpha_1 = \beta_1 \gamma_1$ ,  $\alpha_2 = \beta_2 \gamma_1$ , and  $\beta_1 | \beta_2$ , then

$$2\delta \geq f(\alpha_2) - f(\alpha_1) = f(\beta_2) - f(\beta_1) > 2\delta,$$

an evident contradiction. From a theorem of Sperner\* it follows immediately that a set of divisors of the product  $q_1 q_2 \dots q_x$ , of which no one is divisible by any other, has at most  $\binom{x}{[\frac{1}{2}x]}$  elements.

Further, from Stirling's formula

$$(2\pi)^{\frac{1}{2}} n^{n+\frac{1}{2}} e^{-n} < n! \leq (2\pi)^{\frac{1}{2}} n^{n+\frac{1}{2}} e^{-n} e^{\frac{1}{4n}},$$

we easily deduce that

$$\binom{x}{[\frac{1}{2}x]} \leq \frac{2^x}{x^{\frac{1}{2}}} \leq \frac{2^x}{A^{\frac{1}{2}}},$$

so that

$$d_\alpha(l) \leq \frac{2^{x+y}}{A^{\frac{1}{2}}} \leq \frac{d_k(l)}{A^{\frac{1}{2}}}.$$

Hence

$$\Sigma_2 < \sum_{l=1}^M d_\alpha(l) \leq \sum_{l=1}^M \frac{d_k(l)}{A^{\frac{1}{2}}} \leq \frac{M}{A^{\frac{1}{2}}} \prod_{p \leq k} \left( 1 + \frac{1}{p} \right) \leq \frac{c_2 M \log k}{A^{\frac{1}{2}}} < \epsilon^3 M \log k$$

for sufficiently large  $A$ .

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\* Sperner, "Ein Satz über Untermengen einer endlichen Menge", *Math. Zeitschrift*, 27 (1928), 544-548.

Finally, from (1), we have

$$\sum \frac{1}{\alpha_i} < 2\epsilon^3 \log k + 1 < \epsilon^2 \log k,$$

and so Lemma 2 is proved.

We now prove our main theorem.

We split the integers  $m \leq n$  for which  $c - \delta \leq f_k(m) \leq c + \delta$  into two classes. In the first class are the integers for which  $m$  is divisible by a square greater than  $1/\epsilon^4$ , and in the second class the other integers. The number of integers of the first class is evidently less than or equal to

$$\sum_{r < 1/\epsilon^2} \frac{n}{r^2} < c_1 \epsilon^2 n.$$

The number of integers of the second class we estimate as follows. We write  $K(m) = \prod_{\substack{p \leq k \\ p|m}} p$ . Since  $c - \delta \leq f_k(m) = f[K(m)] \leq c + \delta$ ,  $K(m)$  is evidently

an  $\alpha$ . The integers  $m$  of the second class for which  $K(m) = \alpha_i$  are of the form  $\alpha_i \mu t$ , where  $\mu$  is composed of the prime factors of  $\alpha_i$  and  $t$  is composed of primes greater than  $k$ ;  $m$  is divisible by a square greater than or equal to  $\mu$ , for, if  $\mu = p_1^{2\alpha_1} p_2^{2\alpha_2} \dots p_1'^{2\beta_1+1} \dots$ ,  $m$  is divisible by

$$p_1^{2\alpha_1} p_2^{2\alpha_2} \dots p_1'^{2\beta_1+2} \dots$$

Thus  $\mu < 1/\epsilon^4$ . Hence it easily follows from the sieve of Eratosthenes that the number of integers  $m$  of the second class for which  $K(m) = \alpha_i$  is less than or equal to

$$\frac{1}{\alpha_i} \left\{ c_2 n \prod_{p < k} \left( 1 - \frac{1}{p} \right) \sum_{\mu < 1/\epsilon^4} \frac{1}{\mu} \right\}.$$

Hence the number of the integers of the second class is less than or equal to

$$c_2 n \prod_{p \leq k} \left( 1 - \frac{1}{p} \right) \sum \frac{1}{\alpha_i} \sum_{\mu < 1/\epsilon^4} \frac{1}{\mu} < c_3 n \epsilon^2 \log \frac{1}{\epsilon^4} < \frac{1}{4} \epsilon n;$$

hence the result.

Similar results hold for multiplicative functions, since, if  $\phi(m)$  is multiplicative,  $\log \phi(m)$  is additive. Hence we find that, if  $\phi(m) \geq 1$ ,  $N(\phi; c)/n$  tends to a limit as  $n \rightarrow \infty$ .

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