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A stability version for a theorem of Erdős on nonhamiltonian graphs



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ABSTRACT

Let n,d be integers with $1 \le d \le \lfloor \frac{n-1}{2} \rfloor$, and set $h(n,d) := \binom{n-d}{2} + d^2$ and $e(n,d) := \max\{h(n,d),h(n,\lfloor \frac{n-1}{2} \rfloor)\}$. Because h(n,d) is quadratic in d, there exists a $d_0(n) = (n/6) + O(1)$ such that

$$e(n, 1) > e(n, 2) > \cdots > e(n, d_0) = e(n, d_0 + 1) = \cdots = e\left(n, \left|\frac{n-1}{2}\right|\right).$$

A theorem by Erdős states that for $d \leq \lfloor \frac{n-1}{2} \rfloor$, any n-vertex nonhamiltonian graph G with minimum degree $\delta(G) \geq d$ has at most e(n,d) edges, and for $d > d_0(n)$ the unique sharpness example is simply the graph $K_n - E(K_{\lceil (n+1)/2 \rceil})$. Erdős also presented a sharpness example $H_{n,d}$ for each $1 \leq d \leq d_0(n)$.

We show that if $d < d_0(n)$ and a 2-connected, nonhamiltonian n-vertex graph G with $\delta(G) \ge d$ has more than e(n, d+1) edges, then G is a subgraph of $H_{n,d}$. Note that $e(n, d) - e(n, d+1) = n - 3d - 2 \ge n/2$ whenever $d < d_0(n) - 1$.

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1. Introduction

We use standard notation. In particular, V(G) denotes the vertex set of a graph G, E(G) denotes the edge set of G, and e(G) = |E(G)|. Also, if $v \in V(G)$, then N(v) denotes the neighborhood of v and d(v) = |N(v)|. Ore [3] proved the following Turán-type result:

Theorem 1 (Ore [3]). If G is a nonhamiltonian graph on n vertices, then $e(G) \leq {n-1 \choose 2} + 1$.

This bound is achieved only for the n-vertex graph obtained from the complete graph K_{n-1} by adding a vertex of degree 1. Erdős [2] refined the bound in terms of the minimum degree of the graph:

Theorem 2 (*Erdős* [2]). Let n, d be integers with $1 \le d \le \lfloor \frac{n-1}{2} \rfloor$, and set $h(n,d) := \binom{n-d}{2} + d^2$. If G is a nonhamiltonian graph on n vertices with minimum degree $\delta(G) \ge d$, then

$$e(G) \leq \max \left\{ h(n, d), h\left(n, \left\lfloor \frac{n-1}{2} \right\rfloor \right) \right\} =: e(n, d).$$

This bound is sharp for all $1 \le d \le \lfloor \frac{n-1}{2} \rfloor$.

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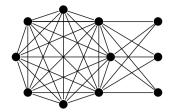


Fig. 1. $H_{11,3}$.

To show the sharpness of the bound, for $n, d \in \mathbb{N}$ with $d \leq \lfloor \frac{n-1}{2} \rfloor$, consider the graph $H_{n,d}$ obtained from a copy of K_{n-d} , say with vertex set A, by adding d vertices of degree d each of which is adjacent to the same d vertices in A. An example of $H_{11,3}$ is given in Fig. 1.

By construction, $H_{n,d}$ has minimum degree d, is nonhamiltonian, and $e(H_{n,d}) = \binom{n-d}{2} + d^2 = h(n,d)$. Elementary calculation shows that $h(n,d) > h(n, \lfloor \frac{n-1}{2} \rfloor)$ in the range $1 \le d \le \lfloor \frac{n-1}{2} \rfloor$ if and only if d < (n+1)/6 and n is odd or d < (n+4)/6 and n is even. Hence there exists a $d_0 := d_0(n)$ such that

$$e(n, 1) > e(n, 2) > \cdots > e(n, d_0) = e(n, d_0 + 1) = \cdots = e\left(n, \left\lfloor \frac{n-1}{2} \right\rfloor\right),$$

where $d_0(n) := \left\lceil \frac{n+1}{6} \right\rceil$ if n is odd, and $d_0(n) := \left\lceil \frac{n+4}{6} \right\rceil$ if n is even. Let $H'_{n,d}$ denote the graph that is an edge-disjoint union of two complete graphs K_{n-d} and K_{d+1} sharing one vertex.

The result of this note is the following refinement of Theorem 2.

Theorem 3. Let $n \ge 3$ and $d \le \lfloor \frac{n-1}{2} \rfloor$. Suppose that G is an n-vertex nonhamiltonian graph with minimum degree $\delta(G) \ge d$ such that

$$e(G) > e(n, d+1) = \max\left\{h(n, d+1), h\left(n, \left\lfloor \frac{n-1}{2} \right\rfloor\right)\right\}. \tag{1}$$

(So we have $d < d_0(n)$.) Then G is a subgraph of either $H_{n,d}$ or $H'_{n,d}$.

This is a stability result in the sense that for d < n/6, each 2-connected, nonhamiltonian n-vertex graph with minimum degree at least d and "close" to h(n,d) edges is a subgraph of the extremal graph $H_{n,d}$. Note that h(n,d)-h(n,d+1)=n-3d-2 is at least n/2 for $d < d_0 - 1$. Note also that $e(H'_{n,d}) > e(n,d+1)$ only when $d = O(\sqrt{n})$.

We will use the following well-known theorems of Pósa.

Theorem 4 (Pósa [4]). Let $n \ge 3$. If G is a nonhamiltonian n-vertex graph, then there exists $1 \le k \le \lfloor \frac{n-1}{2} \rfloor$ such that G has a set of k vertices with degree at most k.

Theorem 5 (Pósa [5]). Let $n \ge 3$, $1 \le \ell < n$ and let G be an n-vertex graph such that

 $d(u) + d(v) \ge n + \ell$ for every non-edge uv in G. Then for every linear forest F with ℓ edges contained in G, the graph G has a hamiltonian cycle containing all edges of F.

2. Proof of Theorem 3

Call a graph G saturated if G is nonhamiltonian but for each $uv \notin E(G)$, G + uv has a hamiltonian cycle. Ore's proof [3] of Dirac's Theorem [1] yields that

for every *n*-vertex saturated graph *G* and for each
$$uv \notin E(G)$$
, $d(u) + d(v) \le n - 1$. (2)

First we show two facts on saturated graphs with many edges.

Lemma 6. Let G be a saturated n-vertex graph with $e(G) > h(n, \lfloor \frac{n-1}{2} \rfloor)$. Then for some $1 \le k \le \lfloor \frac{n-1}{2} \rfloor$, V(G) contains a subset D of k vertices of degree at most k such that G - D is a complete graph.

Proof. Since *G* is nonhamiltonian, by Theorem 4, there exists some $1 \le k \le \lfloor \frac{n-1}{2} \rfloor$ such that *G* has *k* vertices with degree at most *k*. Pick the maximum such *k*, and let *D* be the set of the vertices with degree at most *k*. Since $e(G) > h(n, \lfloor \frac{n-1}{2} \rfloor)$, $k < \lfloor \frac{n-1}{2} \rfloor$. So, by the maximality of k, |D| = k.

Suppose there exist $x, y \in V(G) - D$ such that $xy \notin E(G)$. Among all such pairs, choose x and y with the maximum d(x). Since $y \notin D$, d(y) > k. Let $D' := V(G) - N(x) - \{x\}$ and k' := |D'| = n - 1 - d(x). By (2),

$$d(z) < n - 1 - d(x) = k' \text{ for all } z \in D'.$$

$$\tag{3}$$

So D' is a set of k' vertices of degree at most k'. Since $y \in D'$, $k' \ge d(y) > k$. Thus by the maximality of k, we get $k' = n - 1 - d(x) > \left\lfloor \frac{n-1}{2} \right\rfloor$. Equivalently, $d(x) < \lceil \frac{n-1}{2} \rceil$. For all $z \in D' + \{x\}$, either $z \in D$ where $d(z) \le k \le \left\lfloor \frac{n-1}{2} \right\rfloor$, or $z \in V(G) - D$, and so $d(z) \le d(x) \le \left\lfloor \frac{n-1}{2} \right\rfloor$. It follows that $e(G) \le h(n, \left\lfloor \frac{n-1}{2} \right\rfloor)$, a contradiction. \square

Lemma 7. Under the conditions of Lemma 6, if $k = \delta(G)$, then $G = H_{n,\delta(G)}$ or $G = H'_{n,\delta(G)}$.

Proof. Set $d := \delta(G)$, and let D be a set of d vertices with degree at most d. Let $u \in D$. Since $\delta(G) \ge |D| = d$, u has a neighbor $w \in V(G) - D$. Consider any $v \in D - \{u\}$. By Lemma 6, w is adjacent to all of $V(G) - D - \{w\}$. It also is adjacent to u, therefore its degree is at least n - d. We obtain

$$d(w) + d(v) > (n - d) + d = n.$$

Then by (2), w is adjacent to v, and hence w is adjacent to all vertices of D.

Let W be the set of vertices in V(G) - D having a neighbor in D. We have obtained that $W \neq \emptyset$ and

$$N(u) \cap (V(G) - D) = W \text{ for all } u \in D.$$

$$\tag{4}$$

Let $G' = G[D \cup W]$. If |W| = 1, then $G = H'_{n,d}$. If |V(G')| = 2d, then by (4), each vertex $u \in D$ has the same d neighbors in V(G) - D. Because d(u) = d, D is an independent set. Thus $G = H_{n,d}$. Otherwise, $d + 2 \le |V(G')| \le 2d - 1$, $|D| \ge 2$. Fix a pair of vertices $w_1, w_2 \in W$. For any $x, y \in V(G')$,

$$d(x) + d(y) \ge d + d \ge |V(G')| + 1.$$

Therefore by Theorem 5, G' has a hamiltonian cycle G' that uses the edge W_1W_2 . Since $G'' := G - (V(G') - \{w_1, w_2\})$ is a complete graph, it contains a hamiltonian W_1 , W_2 -path P. Then $P \cup (G - w_1w_2)$ is a hamiltonian cycle of G, a contradiction. \Box

Proof of Theorem 3. Suppose that an n-vertex, nonhamiltonian graph G satisfies the constraints of Theorem 3 for some $1 \le d \le \left\lfloor \frac{n-1}{2} \right\rfloor$. We may assume G is saturated, since if a graph containing G is a subgraph of $H_{n,d}$ or $H'_{n,d}$, then G is as well. By Lemma 6, G has a set D of $k \le \left\lfloor \frac{n-1}{2} \right\rfloor$ vertices with degree at most k such that G - D is a complete graph. Therefore $e(G) \le {n-k \choose 2} + k^2 = h(n,k)$. If $k \ge d+1$, then $e(G) \le \max\{h(n,d+1),h(n,\left\lfloor \frac{n-1}{2} \right\rfloor)\} = e(n,d+1)$, a contradiction. Thus $k \le d$. Furthermore, $k \ge \delta(G) \ge d$, and hence k = d. Also, since $e(G) > h(n,\left\lfloor \frac{n-1}{2} \right\rfloor)$, we have $d+1 \le d_0(n) \le (n+8)/6$. Applying Lemma 7 completes the proof. \square

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