



On the lattice diameter of a convex polygon

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The lattice diameter, $\ell(P)$, of a convex polygon P in R^2 measures the longest string of integer points on a line contained in P . We relate the lattice diameter to the area and to the lattice width of P , $w_l(P)$. We show, e.g., that $w_l \leq \frac{4}{3}\ell + 1$, thus giving a discrete analogue of Blaschke's theorem. © 2001 Elsevier Science B.V. All rights reserved.

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1. The area of lattice polygons

Let P be a convex, closed, non-empty lattice polygon, i.e., $P = \text{conv}(P \cap \mathbb{Z}^2)$. The lattice diameter, $\ell(P)$, measures the longest string of integer points on a line contained in P

$$\ell(P) = \max \{|P \cap \mathbb{Z}^2 \cap L| - 1 : L \text{ is a line}\}.$$

Thus $\ell(P) = 0$, if and only if P consists of a single lattice point, and for the square $Q^1 = [0, \ell] \times [0, \ell]$ and for the special pentagon $Q^2 = \text{conv}(\{(x, y) \in \mathbb{Z}^2 : 0 \leq x, y \leq \ell\} \cup \{(\ell + 1, \ell + 1)\} \setminus \{(0, 0)\})$ (for $\ell \in \mathbb{Z}^+$) one has $\ell(Q^1) = \ell(Q^2) = \ell$. (See Fig. 1.) This definition is due to Stolarsky and Corzatt [5] who proved several properties of $\ell(P)$. The lattice diameter is invariant under the group of unimodular affine transformations

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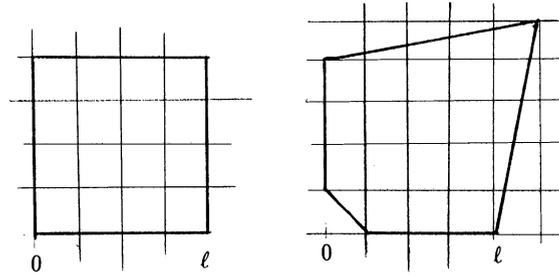


Fig. 1.

$SL(2, \mathbb{Z})$; these are lattice preserving mappings $R^2 \rightarrow R^2$ also preserving parallel lines and area.

A simple consequence of the definition is the following fact on lattice points contained in P which first appeared in the literature in Rabinowitz [10].

$$(P \cap \mathbb{Z}^2) \cap ((\ell(P) + 1)z + (P \cap \mathbb{Z}^2)) = \emptyset \quad \text{for every } z \in \mathbb{Z}^2, z \neq (0, 0). \quad (1)$$

To see this we note that the common point to P , \mathbb{Z}^2 , and $(\ell(P) + 1)z + P$ would be of the form $(\ell(P) + 1)z + x$ with $x \in (P \cap \mathbb{Z}^2)$ implying that the string of $\ell(P) + 2$ integer points $x, x + z, \dots, x + (\ell(P) + 1)z$ all belong to P contradicting the definition of the lattice diameter. Eq. (1) implies that $\{(\ell(P) + 1)z + (P \cap \mathbb{Z}^2)\}_{z \in \mathbb{Z}^2}$ form a “packing” in \mathbb{Z}^2 which shows, in turn, that P contains at most $(\ell(P) + 1)^2$ lattice points,

$$|P \cap \mathbb{Z}^2| \leq (\ell(P) + 1)^2. \quad (2)$$

An elementary argument and (1) imply that $(\ell(P) + 1)\mathbb{Z}^2 + P$ is a packing in R^2 by translates of P so that

$$\text{area}(P) \leq (\ell(P) + 1)^2. \quad (3)$$

For higher dimension the volume of P is not bounded by a function of $\ell(P)$; there are empty simplices $S \subset R^d$ (i.e., $S \cap \mathbb{Z}^d = \text{vert}(S)$) having arbitrarily large volume (see [11,4,12]), e.g., one can take (in R^3) $S = \text{conv}(\{(0, 0, 0), (1, 0, 0), (0, 1, 0), (1, 1, k)\})$.

Let $a(k)$ denote the maximal area a convex lattice polygon P with $\ell(P) \leq k$ can have. The square, i.e., Example Q^1 , implies $a(k) \geq k^2$. Alarcon [1] observed that this is far from being optimal, $\text{area}(Q^2) = k^2 + k - 1/2$. He also showed $a(1) = 1.5$, $a(2) = 5.5$, $a(3) = 11.5$ and $a(4) = 21$, and improved (3) to $a(k) \leq k^2 + 2k - 2$ for $k \geq 5$. Our first result is that $a(k)$ is very close to the upper bound (3).

Theorem 1. For $k \geq 5$ there exists a convex lattice polygon Q^3 with $\ell(Q^3) = k$ and $\text{area}(Q^3) = k^2 + 2k - 4$.

The construction $Q^3 = Q^3(k)$ is an octagon with vertices $(-1, 0)$, $(0, k - 1)$, $(2, k)$, $(k - 1, k + 1)$, $(k + 1, k)$, $(k, 1)$, $(k - 2, 0)$, and $(1, -1)$, see Fig. 2. In fact, for $k > 5$ the polygon Q^3 is indeed an octagon with only these eight vertices on its boundary and with $(k + 1)^2 - 8$ interior points. For $k = 5$ two of its boundary points, $(2, k)$ and

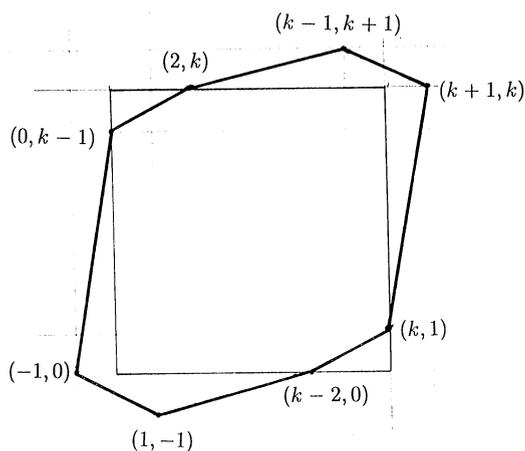


Fig. 2.

$(k-2, 0)$, are not vertices, it becomes a hexagon. Thus Pick’s theorem [9] on the area of lattice polygons, i.e.,

$$\text{area}(P) = |\text{int}(P) \cap \mathbb{Z}^2| - 1 + \frac{|\partial(P) \cap \mathbb{Z}^2|}{2}$$

implies $\text{area}(Q^3) = (k^2 + 2k - 7) - 1 + \frac{8}{2}$, as claimed. (This can be shown directly as well.) Alarcon’s improvement of (3) also utilizes Pick’s theorem, he shows that a maximal P has at least 4 vertices. We conjecture that Q^3 is extremal, $a(k) = k^2 + 2k - 4$.

2. Slopes of diameters

Bang [2] solved Tarski’s plank problem by showing that if a compact convex set in R^2 can be covered by n strips of widths w_1, w_2, \dots, w_n then it can be covered with one strip of width $\sum_{1 \leq i \leq n} w_i$. Corzatt [5] conjectured the following discrete analogue. If the set of lattice points contained in the lattice polygon P can be covered by n lines, $(P \cap \mathbb{Z}^2) \subset (L_1 \cup L_2 \cup \dots \cup L_n)$, then there exists a set of covering lines $\mathcal{L} = \{L'_1, \dots, L'_n\}$, $(P \cap \mathbb{Z}^2) \subset (L'_1 \cup L'_2 \cup \dots \cup L'_n)$ such that the lines in \mathcal{L}' have at most four different slopes. This problem motivated Alarcon [1] to ask the maximum number of diameter directions of a lattice polygon.

A non-zero vector $u \in \mathbb{Z}^2$ is a *diameter direction* for the convex lattice polygon P if there is an integer z such that $z, z + u, \dots, z + \ell(P)u$ all belong to P . Such a u is necessarily a *primitive* vector, i.e., its coordinates are coprime. Write $N(P)$ for the number of diameter directions of P . The triangle with vertices $(-1, -1)$, $(1, 0)$, $(0, 1)$ and baricenter $(0, 0)$ has 6 different diameter directions. Here we prove that

$$N(P) \leq 4,$$

for all convex lattice polygons with $\ell(P) > 1$. This is done by a good description (Theorem 2 below) of convex lattice polygons P that are maximal to containment with respect to $\ell(P) = \ell$.

Write \mathcal{M}_ℓ for the collection of maximal convex lattice polygons, i.e., $P \in \mathcal{M}_\ell$ if $\ell(P) = \ell$, and for any convex lattice polygon P' properly containing P , $\ell(P') > \ell$. One more definition: given primitive vectors $u, b \in \mathbb{Z}^2$ (non-parallel) and $z \in \mathbb{Z}^2$, the *half-open slab* $S(u, b, z)$ is defined as

$$S(u, b, z) = \{z + \alpha u + \beta b : 0 \leq \alpha < \ell + 1, -\infty < \beta < +\infty\}.$$

Theorem 2. *If $P \in \mathcal{M}_\ell$ then one of the following 3 cases holds.*

- (i) *P has exactly two diameter directions, u_1 and u_2 , say. They form a basis of \mathbb{Z}^2 . Further, there are points $z_1, z_2 \in \mathbb{Z}^2$ and primitive vectors b_1 and b_2 such that $z_i, z_i + u_i, \dots, z_i + \ell u_i \in P$ and*

$$P = \text{conv}(\mathbb{Z}^2 \cap S(u_1, b_1, z_1) \cap S(u_2, b_2, z_2)). \quad (4)$$

- (ii) *P has exactly three diameter directions, u_1, u_2, u_3 . Any two of them form a basis of \mathbb{Z}^2 thus $u_3 = \pm u_1 \pm u_2$. Further, there are points $z_i \in \mathbb{Z}^2$ and primitive vectors b_i ($i = 1, 2, 3$) such that $z_i, z_i + u_i, \dots, z_i + \ell u_i \in P$ and*

$$P = \text{conv}\left(\mathbb{Z}^2 \cap \bigcap_{1 \leq i \leq 3} S(u_i, b_i, z_i)\right). \quad (5)$$

- (iii) *P has exactly four diameter directions. Then (mod $\text{SL}(2, \mathbb{Z})$, i.e., up to a lattice preserving affine transformation) P is either the square Q^1 or the special pentagon Q^2 . (See again Fig. 1.)*

The proof is postponed to Section 4.

3. Width and covering radius

The lattice diameter is the natural counterpart of the *lattice width*, $w_l(P)$, which is defined as

$$w_l(P) = \min_{u \in \mathbb{Z}^2, u \neq (0,0)} \left(\max_{x, y \in P} u(x - y) \right).$$

The lattice width is also invariant under the group of unimodular affine transformations $\text{SL}(2, \mathbb{Z})$. Thus $w_l(P) = 0$ if and only if P can be covered by a single line. For the square we have $w_l(Q^1) = \ell$ and for the special pentagon Q^2 in Example 1, we have $w_l(Q^2) = \ell + 1 > \ell(Q^2) = \ell$. In general, in Section 5, we prove the following consequence of Theorem 2.

Theorem 3. *$w_l(P) \leq \lfloor \frac{4}{3} \ell(P) \rfloor + 1$ and for given ℓ this upper bound is best possible.*

The following example, Q^4 , shows that here equality can hold if ℓ is of the form $3t + 1$. The polygon $Q^4 = Q^4(t)$ is a triangle with vertices $(0, 0)$, $(4t + 2, 2t + 1)$, and $(2t + 1, 4t + 2)$; it has lattice diameter $\ell = 3t + 1$ and lattice width $w_l(Q^4) = 4t + 2$. For the other values of ℓ we obtain equality by considering the triangle $(0, 0)$, $(t, 2t + 1)$, $(2t + 1, t + 1)$. Its width is $2t + 1$ and its diameter is $\lfloor (3t + 1)/2 \rfloor$.

The following example, Q^5 , shows that there are other completely different polygons with almost equality in Theorem 3. Let $Q^5 = Q^5(\ell)$ be a hexagon with vertices $(0, 0)$, $(\frac{1}{3}\ell, -\frac{1}{3}\ell)$, $(\ell, 0)$, $(\frac{4}{3}\ell, \frac{2}{3}\ell)$, (ℓ, ℓ) , and $(\frac{1}{3}\ell, \frac{2}{3}\ell)$. We have $\ell(Q^5) = \ell$, and $w_l(Q^5) = \frac{4}{3}\ell$ for every $\ell \in \mathbb{Z}^+$, ℓ is divisible by 3.

Schnell [13] showed (in a slightly different form) another upper bound for the lattice width of an arbitrary convex, closed planar region C

$$w_l(C) \leq \frac{4}{3} \text{area}(C)\mu_2(C), \tag{6}$$

where $\mu_2 := \mu_2(C)$ is the *covering radius*, i.e., the smallest positive real x such that the union of the regions of the form $z + xC$ for $z \in \mathbb{Z}^2$ covers the plane. For more about covering minima see Kannan and Lovász [8], or the survey of Gritzmann and Wills [7].

Although (6) frequently gives a better bound than Theorem 3, there are several examples, like Q^6 below, when $\ell(P)$ is smaller than $\text{area}(P)\mu_2(P)$. Let $Q^6 = Q^6(t)$ be a tilted square of side length $\sqrt{160}t$ with vertices $(t, -3t)$, $(13t, t)$, $(9t, 13t)$, $(-3t, 9t)$, where $t \in \mathbb{Z}^+$. It contains the inscribed square $(0, 0)$, $(10t, 0)$, $(10t, 10t)$, $(0, 10t)$ and its covering radius is $\mu_2 = 1/(10t)$. On the other hand, it is easy to see that $\text{area}(Q^6)\mu_2 = 16t$ is at least 1.2 times larger than $\ell(Q^6) = \lfloor (40/3)t \rfloor$. We conjecture that in general Schnell's bound is at most $(1 + \sqrt{2})/2 = 1.207\dots$ times larger than $\ell(C)$.

Another upper bound for the lattice width is due to Fejes-Tóth and Makai [6]

$$w_l(C) \leq \sqrt{\frac{8}{3} \text{area}(C)}. \tag{7}$$

This is also sharp for some cases, like for the triangle $(0, t)$, $(t, 0)$, $(-t, -t)$, but again Q^6 shows that it could exceed the bound of Theorem 3 by more than 50%.

4. The maximal polygons, the Proof of Theorem 2

We start with a statement that applies to every convex lattice polygon.

Lemma 1. *Assume P is a convex lattice polygon and $u \in \mathbb{Z}^2$, $u \neq (0, 0)$. Then there is a longest segment $[z, v]$ contained in P and parallel with u such that z is a vertex of P . Further, for every such longest segment $[z, v]$, v lies on an edge $[v_1v_2]$ of P so that the line through z and parallel with $[v_1v_2]$ is tangent to P .*

The *proof* is simple and can be found in [3]. \square

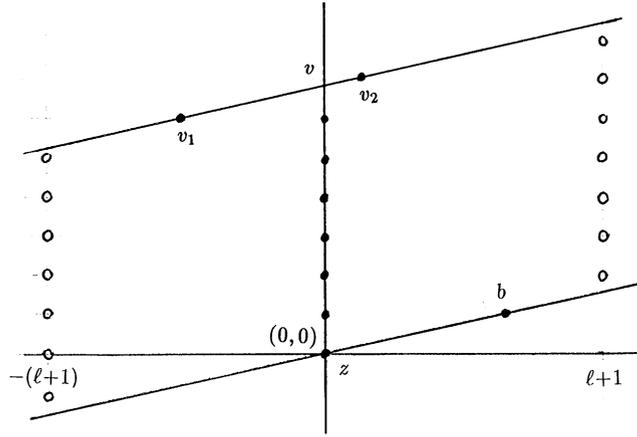


Fig. 3.

Consider now $P \in \mathcal{M}_\ell$ (with $\ell \geq 1$) and let u be a diameter direction for P . Apply Lemma 1 to get a longest segment $[z, v]$ with z a vertex. As $[z, v]$ is a longest segment in direction u , $z, z + u, \dots, z + \ell u \in P \cap \mathbb{Z}^2$. Thus $[z, v]$ contains a lattice diameter.

Applying a suitable lattice preserving affine transformation we may assume $u = (0, 1)$, $z = (0, 0)$ and $v_2 - v_1 = b = (b_x, b_y)$ with $0 \leq 2b_y \leq b_x$, here $[v_1, v_2]$ is the edge of P specified by Lemma 1. We conclude that P lies in the half-open slab $S(u, b, z)$, see Fig. 3.

As the area of the z, v_1, v_2 triangle is at most $\text{area}(P) \leq (\ell + 1)^2$ by (3) and the area of the $z + (\ell + 1)u, v_1, v_2$ triangle is at least $\frac{1}{2}$, we obtain that P is contained in the slightly narrower half-open slab

$$S'(u, b, z) := \left\{ z + \alpha u + \beta b : 0 \leq \alpha < \ell + 1 - \frac{1}{2\ell + 2}, \quad -\infty < \beta < +\infty \right\}. \quad (8)$$

It follows from (1) that $(\pm(\ell + 1), k) \notin P$ for all $k \in \mathbb{Z}$. Assume now that some $q = (q_x, q_y) \in \mathbb{Z}^2$ with $q_x > \ell + 1$ belongs to P . The triangle $T := \text{conv}\{(0, 0), (0, \ell), q\}$ meets the line $x = \ell + 1$ in a segment of length $\ell(q_x - \ell - 1)/q_x$. This segment must be lattice point free, so its length is less than 1, implying $q_x < \ell + 3$ for $\ell > 2$. The case $\ell \leq 2$ is obvious, so from now on we always suppose $\ell > 2$. A simple computation reveals that T contains a lattice point from the line $x = \ell + 1$ unless $q = (\ell + 2, \ell + 1)$.

We treat first this case $q = (\ell + 2, \ell + 1) \in P$ (which leads to case (iii) as we shall see soon). First $\text{conv}\{(0, 0), v, q\} \subset P$ shows $(0, \ell), (1, \ell), \dots, (\ell, \ell) \in P$ and $(0, 0), (1, 1), \dots, (\ell, \ell) \in P$. So $(0, 1), (1, 0)$ and $(1, 1)$ are diameter directions. As the line $x = \ell + 1$ contains no lattice point of P we have $(\ell + 1, \ell + 1)$ and $(\ell + 1, \ell) \notin P \cap \mathbb{Z}^2$. As $(\ell + 2, \ell + 1) \in P$ this implies that $(k, \ell + 1) \notin P$ and $(k, k - 1) \notin P$ for all $k \leq \ell + 1$. We obtain that for all $(x, y) \in P \cap \mathbb{Z}^2$ other than $(\ell + 2, \ell + 1)$ we have $y \leq \ell$ and $x \leq y$. Further, $(k, -1) \notin P$ and $(k, \ell + 1 + k) \notin P$ for all $k \in \{-1, -2, \dots, -(\ell + 1)\}$. Also, $(-\ell, 0) \notin P$ since otherwise $(-\ell, 0), (-\ell + 2, 1), \dots, (\ell + 2, \ell + 1)$ all belong to P implying $\ell(P) > \ell$. Fig. 4 shows the room left for P after these restrictions.

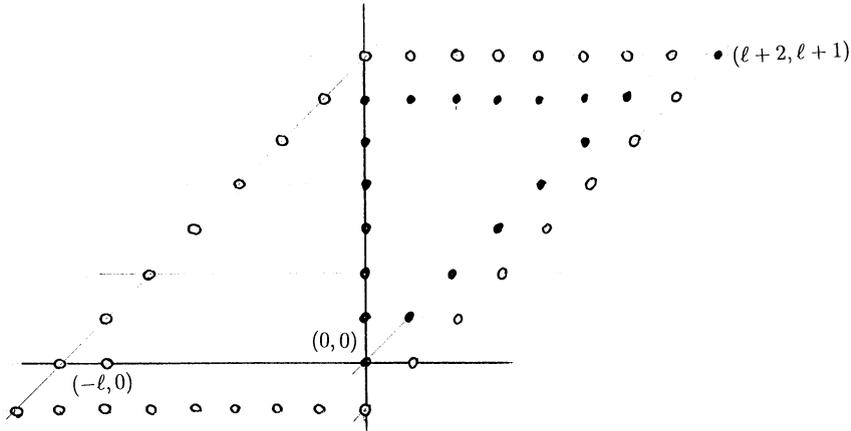


Fig. 4.

The maximality of P implies now that P equals $\text{conv}\{(\ell + 2, \ell + 1), (0, 0), (-\ell + 1, 0), (-\ell + 1, 1), (0, \ell)\}$. This is one of the special cases of (iii), the lattice preserving affine transformation $(x, y) \rightarrow (x - y + \ell, y)$ carries P to the “almost-square” special pentagon Q^2 of Fig. 1.

From now on we assume that $|x| \leq \ell$ for all $(x, y) \in P$. Thus P is confined to the parallelogram of Fig. 3 bounded by the lines $x = \pm \ell$ and two other lines parallel to b . There are only six lattice directions in this parallelogram which can have a chord containing $\ell + 1$ integer points. They are $(0, 1), (1, 0), (1, 1), (1, -1), (2, 1)$ and $(2, -1)$. To simplify matters we state

Claim 2. *If u_1 and u_2 are diameter directions with $\det(u_1, u_2) = 2$ of $P \in \mathcal{M}_\ell$ then the diameter segments $[z_1, z_1 + \ell u_1]$ and $[z_2, z_2 + \ell u_2]$ meet either at their midpoints or one segment is off by u_i . In these cases (mod $\text{SL}(2, \mathbb{Z}^2)$) P is either the square Q^1 or the almost square, Q^2 , cf. Fig. 1.*

Proof. As we have seen above, we may suppose that $u_1 = (0, 1)$, $P \subset Q$ as in Fig. 3 and $u_2 = (2, 1)$ or $u_2 = (2, -1)$. The latter case leads to the square with vertices $(-\ell, \ell), (0, 0), (\ell, 0)$, and $(0, \ell)$. When $u_2 = (2, 1)$ the diameters are $\{(0, 0), (0, 1), \dots, (0, \ell)\}$ and $\{(-\ell, i), (-\ell + 2, i + 1), \dots, (\ell, i + \ell)\} \subset P$. Considering the string of $\ell + 2$ lattice points from $(-1, i - 1)$ to $(\ell, i + \ell)$ it follows that $(-1, i - 1) \notin P$. Since $(-1, 1) \in \text{conv}((-\ell, i), (0, 0), (0, \ell)) \subset P$, it follows $i \leq 1$. Using a symmetric argument we obtain that $i \in \{-1, 0, 1\}$ and can finish the proof as in the case $q = (\ell + 2, \ell + 1) \in P$ above. \square

Assume now that P has exactly k diameter directions, u_1, \dots, u_k . Assume that P is not affinely equivalent to Q^1 neither Q^2 . Then by the above Claim $\det(u_i, u_j) = \pm 1$ for any two diameter directions. This implies that $k \leq 3$. The diameters are $z_i, z_i + u_i, \dots, z_i + \ell u_i$ ($i = 1, \dots, k$) with suitable directions b_i of the edge opposite to z_i of P (see Lemma 1).

Define

$$Q = \bigcap_{1 \leq i \leq k} S(u_i, b_i, z_i).$$

Clearly $P \subset Q$. We claim $\ell(Q) = \ell$, so again by the maximality of P , $P = \text{conv}(Q \cap \mathbb{Z}^2)$, finishing the proof.

Assume, on the contrary, that there exists a lattice point $q \in (Q \setminus P)$, and suppose that among these points q is one of the closest to P . Add this point to P , consider $P' := \text{conv}(P \cup \{q\})$. So q is the only new lattice point in P' , $P' \cap \mathbb{Z}^2 = P \cap \mathbb{Z}^2 \cup \{q\}$. The maximality of P implies that $\ell(P') > \ell(P)$, thus q creates a new longer diameter segment $q, q + u, \dots, q + (\ell + 1)u \in P' \cap \mathbb{Z}^2$ with $u \neq (0, 0)$. As $\ell + 1$ of these points belong to P , we obtain that u is a diameter direction of P , too. However $S(u_i, b_i, z_i)$ contains no segments of direction u_i longer than ℓ . Thus u has to be different from u_1, \dots, u_k , contradicting that P has exactly k diameter directions. Evidently, since P is not infinite, there are at least two diameter directions, $k = 2$ or 3 . \square

5. Bounding the width, the Proof of Theorem 3

As w_l is an integer for a lattice polygon we have to prove only $w_l < (\frac{4}{3})(\ell + 1)$. We give a sketch for the convex set

$$Q = \bigcap_{1 \leq i \leq k} S_i,$$

where $S_i = S'(u_i, b_i, z_i)$ are the half-open slabs in (4) and (5) of Theorem 2 modified in (8). Denote the width of the slabs by L . By (8) we have $L = \ell + 1 - 1/(2\ell + 2) < \ell + 1$.

Applying a suitable $SL(2, \mathbb{Z}^2)$ mapping we may assume that $u_1 = (1, 0)$, $u_2 = (0, 1)$ and u_3 , if exists, is $(1, 1)$ or $(-1, -1)$. We will use the fact (which is easy to establish) that the lattice width of Q is realized in one of the directions $(0, 1)$, $(1, 0)$, $(1, 1)$, and $(-1, 1)$. The lattice width of Q in direction $q \in \mathbb{Z}^2$ is $w_l(q, Q) := \max_{x, y \in Q} q(x - y)$.

In case (i) of Theorem 2 (see Fig. 5) $x = u$ follows from computing the area of Q in two ways. Similarity of triangles implies $z : x = (L - x) : y$. We get

$$\begin{aligned} w_l((1, 0), Q) &= L + y - x, & w_l((0, 1), Q) &= L + z - x, \\ w_l((-1, 1), Q) &= 2L + y - 2x - z, & w_l((1, 1), Q) &= 2L + z - 2x - y. \end{aligned} \tag{9}$$

Then

$$w_l(Q) = \min(L + y - x, L + z - x) = L - x + \min\left(y, \frac{(L - x)x}{y}\right)$$

and a simple analysis shows

$$w_l(Q) \leq \frac{1 + \sqrt{2}}{2} L \approx 1.207 \dots L.$$

In case (ii) see Fig. 6.

For the left-hand-side hexagon note that the position of S_3 does not influence the width of Q as long as S_3 cuts off two opposite vertices of the parallelogram $S_1 \cap S_2$. So we may place S_3 so as to contain the isosceles and right angle triangle of Fig. 6. Reflecting inwards the three small triangles and comparing areas gives

$$\frac{1}{2}m_1L + \frac{1}{2}m_2L + \frac{1}{2}m_3\sqrt{2}L \leq \frac{1}{2}L^2$$

implying

$$\min(m_1, m_2, \sqrt{2}m_3) \leq \frac{1}{3}L.$$

Further, $w_l((1, 0), Q) = L + m_2$, $w_l((0, 1), Q) = L + m_1$, and $w_l((-1, 1), Q) = L + \sqrt{2}m_3$. So $w_l(Q) \leq \frac{4}{3}L$.

For the other hexagon of Fig. 6 the computations in (9) can easily be applied.

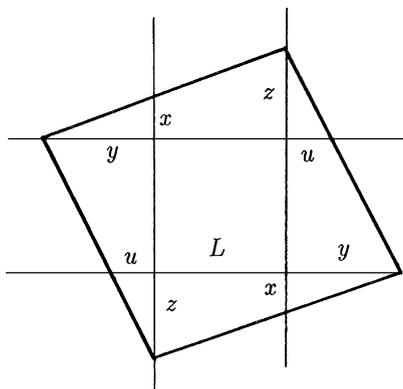


Fig. 5.

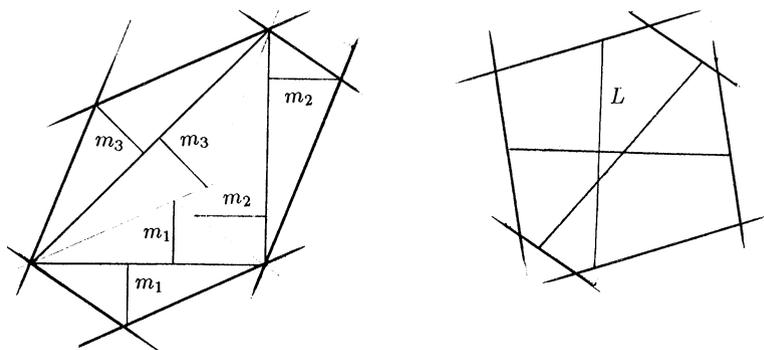


Fig. 6.

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