

# AN INTERSECTION PROBLEM WITH 6 EXTREMES

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## I. Introduction

Let  $X$  be a finite set of  $n$  elements. A family  $\mathcal{F}$  of the subsets of  $X$  is *intersecting* if any two members of  $\mathcal{F}$  intersect.

Erdős, Ko and Rado [3] proved that if  $\mathcal{F}$  is an intersecting set-system of  $r$ -tuples of  $X$  and  $n \geq 2r$  then  $|\mathcal{F}| \leq \binom{n-1}{r-1}$ . Equality holds in the case  $n > 2r$  if and only if the members of  $\mathcal{F}$  have a common element.

Let  $c$  be a real number,  $0 < c \leq 1$ . The *degree* of the point (that is an element)  $x$  in the set-system  $\mathcal{F}$  is denoted by  $d_{\mathcal{F}}(x)$  or simply  $d(x) = |\{F : x \in F \in \mathcal{F}\}|$ .

Erdős, Rotschild and Szemerédi [5] raised the following question: How large can be the intersecting set-system  $\mathcal{F}$  of  $r$ -tuples of  $X$  if each point has degree at most  $c|\mathcal{F}|$ ? For the case  $c = 2/3$ ,  $n > n_0(r)$  they proved that

$$(1) \quad |\mathcal{F}| \leq |\mathcal{F}_{3,2}|$$

where  $\mathcal{F}_{3,2} = \{F \subset X : |F| = r, |F \cap D| \geq 2\}$ ,  $|D| = 3$ .

Frankl [6] proved that (1) holds for any  $2/3 \leq c < 1$  if  $n$  is large enough ( $n > n_0(r, c)$ ), and he solved the cases  $3/7 < c \leq 3/5$  as well, proving the conjectures of Erdős—Rotschild—Szemerédi. The aim of this paper is to settle the missing case  $3/5 < c < 2/3$ .

## II. Results

For a finite set-system  $\mathcal{H}$  the underlying set of which is a subset of  $X$  (i.e.  $\bigcup \mathcal{H} \subset X$ ), we write  $\mathcal{F}(\mathcal{H}) = \{F \subset X : |F| = r \text{ and there exists an } H \in \mathcal{H} \text{ such that } H \subset F\}$ ,  $\mathcal{F}(\mathcal{H}) = \{F \subset X : |F| = r \text{ and } (F \cap (\bigcup \mathcal{H})) \in \mathcal{H}\}$ . Evidently,  $\mathcal{F}(\mathcal{H})$  and  $\mathcal{F}(\mathcal{H})$  are intersecting set-systems if  $\mathcal{H}$  is intersecting, and  $\mathcal{F}(\mathcal{H}) \subseteq \mathcal{F}(\mathcal{H})$ .

P. Frankl [6] proved that if  $1/2 < c \leq 3/5$  and  $n > n_0(r, c)$  then

$$\begin{aligned} |\mathcal{F}| &\leq 10 \binom{n-6}{r-3} + 15 \binom{n-6}{r-4} + 6 \binom{n-6}{r-5} + \binom{n-6}{r-6} = \\ &= 10 \binom{n-5}{r-3} + 5 \binom{n-5}{r-4} + \binom{n-5}{r-5}. \end{aligned}$$

If equality holds here then there exists a 3-uniform, 5-regular, intersecting set-system  $\mathcal{H}_1$  on a 6-element set such that  $\mathcal{F} = \mathcal{F}(\mathcal{H}_1)$ . There exists exactly one such  $\mathcal{H}_1$  (see Figure 1).

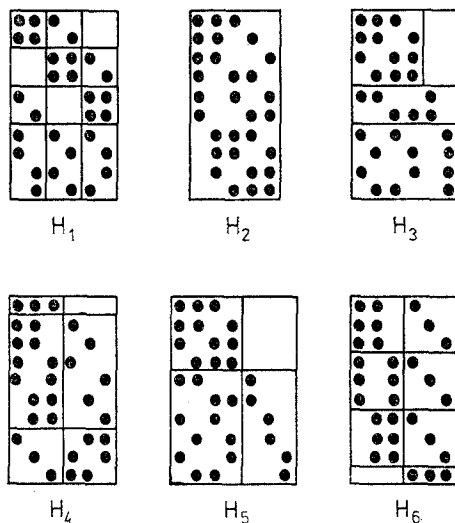


Fig. 1

We describe 6 hypergraphs. (The elements of the underlying set are denoted by positive integers, see also Figure 1.)

$$\mathcal{H}_1 = \{123, 124, 345, 346, 156, 256, 135, 146, 236, 245\},$$

$$\mathcal{H}_2 = \begin{bmatrix} 5 \\ 3 \end{bmatrix} = \{123, 124, 125, 134, 135, 145, 234, 235, 245, 345\},$$

$$\mathcal{H}_3 = \{123, 124, 134, 234, 125, 345, 136, 246, 146, 236\},$$

$$\mathcal{H}_4 = \{123, 124, 125, 134, 136, 235, 236, 156, 246, 345\},$$

$$\mathcal{H}_5 = \{123, 124, 134, 234, 125, 345, 136, 246, 147, 237\},$$

$$\mathcal{H}_6 = \{124, 125, 126, 134, 135, 136, 234, 235, 236, 456\}.$$

**THEOREM 1.** Let  $\mathcal{F}$  be an intersecting family consisting of  $r$ -element subsets of  $X$ ,  $|X|=n$ . Suppose that for some  $3/5 < c < 2/3$ , for  $n > n_0(r, c)$  and for every  $x \in X$ ,  $d_{\mathcal{F}}(x) \leq c|\mathcal{F}|$  holds. Then

$$(2) \quad |\mathcal{F}| \leq 10 \binom{n-5}{r-3} + 5 \binom{n-5}{r-4} + \binom{n-5}{r-5}.$$

Furthermore equality holds in (2) iff  $\mathcal{F} \cong \mathcal{F}(\overline{\mathcal{H}_i})$  for some  $1 \leq i \leq 6$ .

Furthermore, if  $c=1/2$  and  $n > n_0(r)$  then

$$(3) \quad |\mathcal{F}| \leq 10 \binom{n-6}{r-3},$$

and equality holds here if and only if  $\mathcal{F} = \mathcal{F}(\mathcal{H}_1)$ .

So the cardinality of a maximum  $\mathcal{F}$  is constant on the whole interval  $(1/2, 2/3)$ . Our theorem differs from the theorem of Frankl because in case  $3/5 < c < 2/3$  five more extremal systems are allowed. So we have non-isomorphic optimal families. This phenomenon is not rare in combinatorics even in the Erdős—Ko—Rado type theorems, cf. the theorem of Hilton—Milner for  $r=3$  (see [6] or [8]).

The following is a consequence of Theorem 1.

**THEOREM 2.** *Let  $\mathcal{F}$  be a family of intersecting  $r$ -subsets of  $X$ ,  $|X|=n$ . Suppose that  $|\mathcal{F}| > (10+\varepsilon) \binom{n-3}{r-2}$  where  $\varepsilon > 0$  is a positive constant. Then for  $n > n_0(r, \varepsilon)$  there exists an  $x \in X$  such that  $d(x) > (2/3 - \varepsilon)|\mathcal{F}|$ .*

(This is also an improvement of a theorem of Frankl. He proved the lower bound  $3/5 + \min(0.01, 0.01\varepsilon)$  instead of  $2/3 - \varepsilon$ .)

### III. Definitions and lemmas

Define an *edge-contraction* as the following operation on a set-system  $\mathcal{H}$ : we substitute an edge  $E \in \mathcal{H}$  by a smaller, nonempty  $E' \subsetneq E$ , and thus we get the set-system  $\mathcal{H} - \{E\} \cup \{E'\}$ . An intersecting set-system is  *$v$ -critical* if it has no multiple edges and the hypergraph obtained by contracting any of its edges is non-intersecting. That is

- (4) For all  $E \in \mathcal{H}$ ,  $x \in E$  there exists an  $F \in \mathcal{H}$  such that  $E \cap F = \{x\}$ .

Every  $v$ -critical intersecting set-system is a Sperner-family, that is

- (5) If  $E \in \mathcal{H}$  and  $F \subsetneq E$  then  $F \notin \mathcal{H}$ .

Erdős and Lovász proved the following theorem [4]:

- (6) If  $\mathcal{H}$  is a  $v$ -critical intersecting set-system and  $\max\{|E| : E \in \mathcal{H}\} = k$ , then  $|\mathcal{H}| \leq k^k$ .

We can get a  $v$ -critical intersecting set-system from any intersecting set-system  $\mathcal{F}$  by contracting its edges as far as possible and deleting all but one copy of the appearing multiple edges. This  $\mathcal{H}$  is called the *nucleus* of the set-system  $\mathcal{F}$ . Split  $\mathcal{H}$  according to the cardinality of its members:  $\mathcal{H} = \mathcal{H}^1 \cup \mathcal{H}^2 \cup \dots \cup \mathcal{H}^r$  where  $E \in \mathcal{H}^i$  implies  $|E| = i$ .

Denote by  $\mathcal{B}$  the nucleus of  $\mathcal{H}^1 \cup \mathcal{H}^2 \cup \mathcal{H}^3$ . In what follows  $\mathcal{B}$  is called the *nucleus of rank 3* of  $\mathcal{F}$ . Of course,  $\mathcal{B}$  is not unique, but this is not important.

- (7) If  $\mathcal{F}$  is an  $r$ -uniform,  $v$ -critical intersecting set-system with underlying set  $X$ ,  $|X|=n$ , then there exists a set-system  $\mathcal{B}$  such that

(a)  $\mathcal{B}$  is  $v$ -critical, intersecting and for all  $B \in \mathcal{B}$ ,  $|B| \leq 3$  (possibly  $\mathcal{B} = \emptyset$ );

(b)  $|\mathcal{F} - \mathcal{F}(\mathcal{B})| \leq r \binom{n-4}{r-4}$ .

Indeed, applying (6) we get

$$|\mathcal{F} - \mathcal{F}(\mathcal{B})| \leq \sum_{i=4}^r |\mathcal{F} \cap \mathcal{F}(\mathcal{H}^i)| \leq \sum_{i=4}^r |\mathcal{F}(\mathcal{H}^i)| \leq |\mathcal{H}| \binom{n-4}{r-4} \leq r \binom{n-4}{r-4}.$$

Q.E.D.

#### IV. The first part of the proof of Theorem 1. The main lemma

We shall consider the whole interval  $[1/2, 2/3]$ , thus we will prove the above mentioned theorem of Frankl at the same time. So let  $1/2 \leq c < 2/3$  be fixed and let  $\mathcal{F}$  be an  $r$ -uniform intersecting set-system on  $X$  with  $\max \{d_{\mathcal{F}}(x) : x \in X\} \leq c|\mathcal{F}|$ . We are looking for  $\mathcal{F}$  with maximal cardinality, hence we may suppose  $|\mathcal{F}| \cong |\mathcal{F}(\mathcal{H}_1)| = 10 \binom{n-6}{r-3}$ .

Write  $\mathcal{B}$  for the nucleus of rank 3 of  $\mathcal{F}$ . For each  $F \in \mathcal{F} \cap \mathcal{F}(\bar{\mathcal{B}})$  let us choose a  $B \in \mathcal{B}$  with  $B \subset F$ . Let  $\mathcal{F}_B$  denote the set of those members of  $\mathcal{F}$  for which  $B$  is chosen. Thus  $|\mathcal{F}| = \sum_{B \in \mathcal{B}} |\mathcal{F}_B| + |\mathcal{F} - \mathcal{F}(\bar{\mathcal{B}})|$ . Define a weight  $w(B)$

of  $B$  by  $w(B) = |\mathcal{F}_B| / \binom{n-3}{r-3}$ . Since by (7b)  $|\mathcal{F} - \mathcal{F}(\bar{\mathcal{B}})| \leq r^r \binom{n-4}{r-4}$  we get

$$(8) \quad \sum_{B \in \mathcal{B}} w(B) \cong \frac{10 \binom{n-6}{r-3}}{\binom{n-3}{r-3}} - \frac{r^r \binom{n-4}{r-4}}{\binom{n-3}{r-3}} > 9.9$$

provided  $n$  is large enough ( $n > 10r^{r+1}$ ). Moreover for any  $x \in X$  we have

$$(9) \quad \sum_{B \ni x} w(B) < \frac{2}{3} \sum w(B).$$

Indeed

$$\frac{\sum_{B \ni x} w(B)}{\sum w(B)} = \frac{\sum_{B \ni x} w(B) \binom{n-3}{r-3}}{\sum w(B) \binom{n-3}{r-3}} = \frac{\sum_{B \ni x} |\mathcal{F}_B|}{\sum |\mathcal{F}_B|} \cong \frac{d_{\mathcal{F}}(x)}{|\mathcal{F} \cap \mathcal{F}(\bar{\mathcal{B}})|} \leq \frac{c|\mathcal{F}|}{|\mathcal{F}| - O\left(\binom{n-4}{r-4}\right)} < \frac{2}{3}$$

provided  $n > n_0(r, c) \left( n > \frac{1}{(2/3) - c} 10r^{r+1} \right)$ .

The following lemma is the crucial point of the proof.

**MAIN LEMMA.** Suppose that  $\mathcal{B}$  is a  $v$ -critical, intersecting set-system of rank 3. Suppose further that there exists a non-negative weight function  $w: \mathcal{B} \rightarrow \mathbf{R}$  such that (8) and (9) hold and  $w(B) \leq 1$  if  $|B| = 3$ . Then  $\mathcal{B} \cong \mathcal{H}_i$  for some  $1 \leq i \leq 6$  (see Figure 1).

By (6)  $|\mathcal{B}| \leq 27$  thus the proof of this lemma is reduced to the investigation of finitely many "small" set-systems. After this lemma the proof of Theorem 1 is not hard. But we cannot hope for a simple proof of the lemma because its conclusion is somewhat complicated, and any proof must yield a description of the structures of the  $\mathcal{H}_i$ 's.

The following two parts of this paper (Chapters V and VI) contain only the proof of the Main Lemma. If the reader believes that the author has examined all (finitely many) cases of the  $v$ -critical intersecting set-systems of rank 3, then he or she can continue reading Chapter VII.

**V. The first part of the proof of the lemma.**  
**The nucleus of rank 3 of a maximal  $\mathcal{F}$  is 3-uniform**

The formula (9) yields that  $\mathcal{B}$  has no member with 1 element, since then  $|\mathcal{B}|=1$ . We will show that

(10)  $\mathcal{B}$  is 3-uniform.

We will prove this by way of contradiction. Denote by  $\mathcal{B}^2$  the members of  $\mathcal{B}$  with 2-elements. In what follows the points of the underlying set of  $\mathcal{B}$  will be denoted by the positive integers.

If  $|\mathcal{B}^2| \geq 4$  then its edges have a common point since  $\mathcal{B}^2$  is intersecting. E.g.  $B_1 = \{1, 2\}$ ,  $B_2 = \{1, 3\}$ ,  $B_3 = \{1, 4\}$ ,  $B_4 = \{1, 5\}$ . (See Figure 2.) By (4) there exists an edge  $B_5$  not containing the point 1. But  $B_5$  intersects  $B_1, B_2, B_3$  and  $B_4$  thus  $\{2, 3, 4, 5\} \subset B_5$ . This is a contradiction.

If  $|\mathcal{B}^2| = 3$  and the edges of  $\mathcal{B}^2$  have a common point then let this be e.g. the point 1 and  $B_1 = \{1, 2\}$ ,  $B_2 = \{1, 3\}$ ,  $B_3 = \{1, 4\}$ . (See Figure 3.) Since there exists an edge  $B_4$  not containing the point 1 we get that  $B_4 = \{2, 3, 4\}$ . There are no other edges of  $\mathcal{B}$  which do not contain the point 1. Moreover there is no other edge of  $\mathcal{B}$  which contains 1 because it would contain some  $B_i$  ( $1 \leq i \leq 3$ ) contradicting (5). Thus in this case  $\mathcal{B} = \{B_1, B_2, B_3, B_4\}$ . Considering (9) at point 1 we have  $(w_1 + w_2 + w_3) < \frac{2}{3}(w_1 + w_2 + w_3 + w_4)$ . This and the inequality  $w_4 \leq 1$  give that  $\sum w_i < 3$ . This contradicts (8) ( $w_i = w(B_i)$ ).

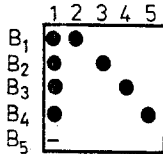


Fig. 2

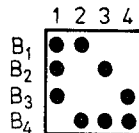


Fig. 3

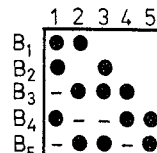
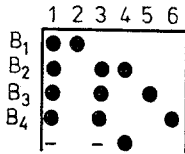
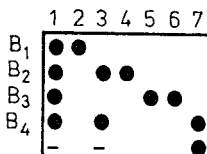


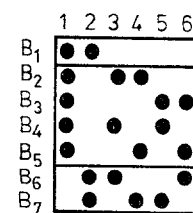
Fig. 4



a)



b)



c)

Fig. 5

If  $|\mathcal{B}^2| = 3$  and the edges of  $\mathcal{B}^2$  have no a common point then they form a triangle, i.e.  $B_1 = \{1, 2\}$ ,  $B_2 = \{1, 3\}$ ,  $B_3 = \{2, 3\}$ . The set-system  $\mathcal{B} = \{B_1, B_2, B_3\}$ , similarly to the above mentioned cases, is a maximal  $\nu$ -critical intersecting system. (I.e. if  $\mathcal{B}'$  is a  $\nu$ -critical intersecting set-system and  $\mathcal{B} \subset \mathcal{B}'$  then  $\mathcal{B} = \mathcal{B}'$ .) However for the triangle (9) does not hold.

If  $|\mathcal{B}^2|=2$  then let  $B_1=\{1, 2\}$ ,  $B_2=\{1, 3\}$  (see Figure 4). By (4) there exists an edge  $B_3$  not containing the point 1,  $B_3=\{2, 3, 4\}$ . There exists an edge  $B_4$  meeting  $B_3$  only in the point 4, i.e.  $2, 3 \notin B_4$ ,  $4 \in B_4$ , thus  $B_4=\{1, 4, 5\}$ . There exists an edge  $B_5$  which meets  $B_4$  only in the point 5, i.e.  $1, 4 \notin B_5$ ,  $5 \in B_5$ , thus  $B_5=\{2, 3, 5\}$ . The set-system  $\mathcal{B}$  has no further edges containing the point 1, and it has no further edges not containing the point 1. Hence the set-system obtained above is maximal  $v$ -critical, i.e.  $\mathcal{B}=\{B_1, \dots, B_5\}$ . Applying (9) at the point 1 we have  $(w_1+w_2+w_4) < \frac{2}{3}(w_1+w_2+w_3+w_4+w_5)$ . Moreover  $w_3, w_4, w_5 \leq 1$  hence  $\sum w_i < 6$ , but that contradicts (8).

Finally if  $|\mathcal{B}^2|=1$  then let  $B_1=\{1, 2\}$ . Applying (9) at the points 1 and 2 and summing we get  $2w_1 + (\sum_{i>1} w_i) < \frac{4}{3}(\sum w_i)$ . From this  $w_1 < \frac{1}{2}(\sum_{i>1} w_i)$  and  $(\sum w_i) < \frac{3}{2}(\sum_{i>1} w_i)$ . By (8)  $\sum w_i \geq 9$  hence  $(\sum_{i>1} w_i) > 6$ . Consequently at least 7 members of  $\mathcal{B}$  with 3 elements intersect  $B_1$ . Thus at least 4 edges ( $B_2 \dots B_5$ ) contain the point 1 (and by (5) they do not contain the point 2). There are no three sets from  $B_2 \setminus \{1\}$ ,  $B_3 \setminus \{1\}$ ,  $B_4 \setminus \{1\}$ ,  $B_5 \setminus \{1\}$  which have a common point because if we suppose on the contrary that (see Figure 5a)  $B_2=\{1, 3, 4\}$ ,  $B_3=\{1, 3, 5\}$ ,  $B_4=\{1, 3, 6\}$  then we get a contradiction applying (4) to the edges  $B_2$  at the point 4. Consequently among the sets  $B_i - \{1\}$  ( $2 \leq i \leq 5$ ) there are two disjoint, e.g.  $B_2=\{1, 3, 4\}$ ,  $B_3=\{1, 5, 6\}$  (see Figure 5b and 5c). If the edge  $B_4$  (or  $B_5$ ) would contain a further point (say 7) then we immediately get a contradiction applying (4) to the edge  $B_4$  (or  $B_5$ ) at the point 7 (see Figure 5b). Thus  $B_4 \setminus \{1\}, B_5 \setminus \{1\} \subset \{3, 4, 5, 6\}$  and they are disjoint (see Figure 5c). Consequently there is no further edge containing the point 1. The edges not containing 1 contain the point 2 and intersect  $\{3, 4, 5, 6\}$  in  $\{3, 6\}$  or  $\{4, 5\}$ . Thus there are only at most six 3-element edges of  $\mathcal{B}$  which contradicts the assumption  $(\sum_{i>1} w_i) > 6$ .

Consequently  $\mathcal{B}^2 = \emptyset$  and this completes the proof of (10).

## VI. Proof of the main lemma (last part).

The nucleus of rank 3 of a maximal  $\mathcal{F}$  is  $\mathcal{H}_i$  ( $1 \leq i \leq 6$ )

By (9) there is no point contained in all the edges of  $\mathcal{B}$ . Further there is no pair  $\{x, y\}$  covering all the edges of  $\mathcal{B}$  (i.e.  $\forall B \in \mathcal{B}, \{x, y\} \cap B \neq \emptyset$ ). Indeed, if we suppose the contrary then joining the edge  $\{x, y\}$  with weight 0 to  $\mathcal{B}$  we get an intersecting set-system which satisfies the assumptions of the Lemma. But (10) says that this is impossible. Consequently

(11) For all the points  $x, y$  there exists an edge  $B \in \mathcal{B}$  such that  $B \cap \{x, y\} = \emptyset$ .

Since  $(\sum w_i) > 9$  we get  $|\mathcal{B}| \geq 10$ . Then we can apply a theorem of Deza [1] which in this case states: If at least 8 3-element sets are given so that any two of them intersect in exactly 1 element, then all the sets have a common point. Consequently  $\mathcal{B}$  has two edges ( $B_1$  and  $B_2$ ) intersecting in 2 elements. E.g.  $B_1=\{1, 2, 3\}$ ,  $B_2=\{1, 2, 4\}$  (see Fig. 6).

Firstly, suppose that

(12) there do not exist  $B', B'', B''' \in \mathcal{B}$  such that  $|B' \cap B'' \cap B'''| = 2$ .

We will prove that in this case  $\mathcal{B} = \mathcal{H}_1$ .

By (11) there exists an edge  $B_3$  such that  $1, 2 \notin B_3$ , say  $B_3 = \{3, 4, 5\}$ . We will show that  $d_{\mathcal{B}}(1) \leq 5$  (and similarly  $d_{\mathcal{B}}(2) \leq 5$ ). Indeed, if  $d_{\mathcal{B}}(1) \geq 6$ , then there exists at least 4 edges ( $B_4, B_5, B_6, B_7$ ) which contain the point 1 and by (12) do not contain the point 2. Also by (12) there are no two of them which contain the point 3 (or the point 4) (see Figure 6a). Thus there are two edges ( $B_6, B_7$ ) which do not contain the points 3, 4 (and 2) e.g.  $B_6 = \{1, 5, 6\}$ ,  $B_7 = \{1, 5, 7\}$ , see Fig. 6b. Then there is no edge not containing the points  $\{1, 5\}$ ; however it meets all the edges  $B_1, B_2, B_3, B_6, B_7$ . This contradicts (11).

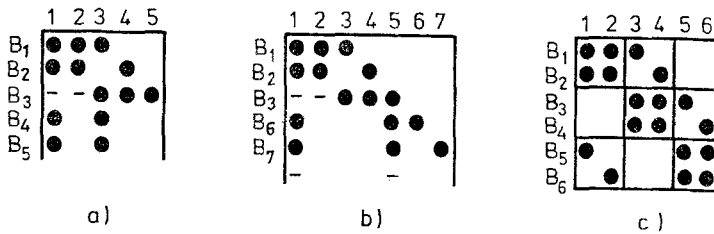


Fig. 6

Thus, by  $|\mathcal{B}| \geq 10$  and  $d(1), d(2) \leq 5$ , there are at least (and by (12) at most) two edges which are disjoint from the points  $\{1, 2\}$ . They contain the points 3 and 4 (see Figure 6c)  $B_3 = \{3, 4, 5\}$ ,  $B_4 = \{3, 4, 6\}$ . Since  $|B_3 \cap B_4| = 2$  we again can say that  $d(3) \leq 5$ ,  $d(4) \leq 5$  and there exists exactly two further edges ( $B_5, B_6$ ) which are disjoint from  $\{3, 4\}$ . Consequently  $B_5 = \{5, 6, 1\}$ ,  $B_6 = \{5, 6, 2\}$ . Then the minimal number of points covering the system  $\{B_1, \dots, B_6\}$  is 3, hence the set  $\{1, 2, \dots, 6\}$  contains all the edges of  $\mathcal{B}$ . Thus  $d(1) = \dots = d(6) = 5$  and  $|\mathcal{B}| = 10$ . For each pair of points from  $\{1, \dots, 6\}$  there is an edge  $B_i$  ( $1 \leq i \leq 6$ ) containing it, thus by (12) all the intersections  $B_\alpha \cap B_\beta$  ( $7 \leq \alpha, \beta \leq 10$ ) have only 1 point in common. Moreover  $B_\alpha$  ( $7 \leq \alpha \leq 10$ ) intersects each of the sets  $\{1, 2\}$ ,  $\{3, 4\}$ ,  $\{5, 6\}$  in one point only. This last two properties (up to isomorphisms) uniquely define the edges  $B_7, \dots, B_{10}$ . (Since the edges  $B_7, \dots, B_{10}$  and the sets  $\{1, 2, x\}$ ,  $\{3, 4, x\}$ ,  $\{5, 6, x\}$  form the finite projective plane of order 2.) So we get  $\mathcal{H}_1$ .

Now we suppose that (12) does not hold, i.e.  $|B_1 \cap B_2 \cap B_3| = 2$ ,  $B_1 = \{1, 2, 3\}$ ,  $B_2 = \{1, 2, 4\}$ ,  $B_3 = \{1, 2, 5\}$ . (See Figure 7.) By (11) there exists an edge  $B_4$  which does not contain 1 and 2, thus  $B_4 = \{3, 4, 5\}$ . An arbitrary other edge  $B$  of  $\mathcal{B}$

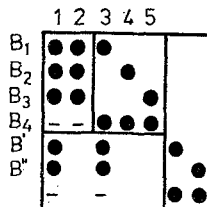


Fig. 7

is called *inner* or *outer* according to whether it is contained in  $\{1, 2, 3, 4, 5\}$  or not. I.e. either  $|B \cap \{1, 2\}|=1$  and  $|B \cap \{3, 4, 5\}|=2$  or  $|B \cap \{1, 2\}|=|B \cap \{3, 4, 5\}|=|B \setminus \{1, \dots, 5\}|=1$ , respectively.

First of all we show that there are no two outer edges  $B', B''$  which intersect  $\{1, \dots, 5\}$  at the same points. Suppose on the contrary that  $B'=\{1, 3, x\}$ ,  $B''=\{1, 3, y\}$  ( $x, y>5$ ) then applying (11) to the points 1 and 3 we get a contradiction (see Fig. 7). Hence the number of outer edges (and the number of inner edges, too) is at most 6. These 6 and 6 sets form 6 complementary pairs (e.g. the complement of the outer edge  $\{1, 4, x\}$  is the inner edge  $\{2, 3, 5\}$ ). Naturally,  $\mathcal{B}$  contains at most one member of each complementary pair, thus  $|\mathcal{B} - \{B_1, B_2, B_3, B_4\}| \leq 6$ . It follows that  $|\mathcal{B}| \leq 10$ , thus

$$(13) \quad |\mathcal{B}| = 10.$$

This yields  $d_{\mathcal{B}}(x) \leq 6$  for all points  $x$ , because  $d(x) \geq 7$  would imply  $\sum_{B \ni x} w(B) \leq 3$  thus writing (9) at the point  $x$  we get

$$\left(\sum_{B \ni x} w(B)\right) < \frac{2}{3} \left(\sum_{B \ni x} w(B) + \sum_{B \not\ni x} w(B)\right) \leq \frac{2}{3} \left(\sum_{B \ni x} w(B) + 3\right),$$

i.e.  $\sum_{B \ni x} w(B) < 6$ , and this contradicts (8). All the edges  $B_5, \dots, B_{10}$  intersect the set  $\{1, 2\}$  in (exactly) one element, hence we get  $d_{\mathcal{B}}(1)=d_{\mathcal{B}}(2)=6$ . Let us denote the number of outer edges containing the points 1 and 2 by  $\alpha$  and  $\beta$ , respectively. By (13) one member of each complementary pair (consisting of one outer and inner set) belongs to  $\mathcal{B}$ . Thus there are exactly  $3-\beta$  outer sets containing 1 belonging to  $\mathcal{B}$ . Hence  $6=d_{\mathcal{B}}(1)=3+\alpha+(3-\beta)$ , consequently  $\alpha=\beta$ .

If  $\alpha=\beta=0$ , i.e. every edge of  $\mathcal{B}$  is inner then we get  $\mathcal{H}_2$ , the set-system of all 3-tuples of the underlying-set  $\{1, 2, \dots, 5\}$  (see Fig. 1).

If  $\alpha=\beta=1$  then let the unique outer edge containing the point 1 be  $B_5$  (see Fig. 8a), e.g.  $B_5=\{1, 3, 6\}$ . By (4) there is an edge  $B_6$  such that  $1, 3 \notin B_6$ ,  $6 \in B_6$ , i.e.  $B_6=\{2, 4, 6\}$ . All the other edges are inner and determined uniquely. Hence we get  $\mathcal{H}_3$ .

If  $\alpha=\beta=2$  then there are only two inner edges ( $B_5$  and  $B_6$ , see Fig. 8bc). As  $\alpha=\beta$  the edges  $B_5$  and  $B_6$  intersect  $\{1, 2\}$  in different points. There are two cases. First case:  $|B_5 \cap B_6|=2$ , e.g.  $B_5=\{1, 3, 4\}$ ,  $B_6=\{2, 3, 4\}$ , see Fig. 8b. Then the traces of the outer edges of  $\mathcal{B}$  on the set  $\{1, \dots, 5\}$  are  $\{1, 3\}$ ,  $\{2, 4\}$ ,  $\{2, 3\}$  and  $\{1, 4\}$ . Here the first two traces are disjoint, and the last two are disjoint, too. Thus they have a common outer point, i.e.  $B_7=\{1, 3, x\}$ ,  $B_8=\{2, 4, x\}$  and  $B_9=\{2, 3, y\}$ ,  $B_{10}=\{1, 4, y\}$ . If  $x=y$  then we get  $\mathcal{H}_3$  again and if  $x \neq y$  we get  $\mathcal{H}_5(x, y>5)$ . Second case:  $|B_5 \cap B_6|=1$ , e.g.  $B_5=\{1, 3, 4\}$ ,  $B_6=\{2, 3, 5\}$ , see Fig. 8c. The traces of the outer edges on  $\{1, \dots, 5\}$  are:  $\{1, 3\}$ ,  $\{2, 4\}$ ,  $\{2, 3\}$ ,  $\{1, 5\}$ . The outer edges corresponding to these traces are  $\{1, 3, x\}$ ,  $\{2, 4, x\}$ ,  $\{2, 3, y\}$ ,  $\{1, 5, y\}$ . Hence if  $x$  coincides with  $y$  we get the set-system  $\mathcal{H}_4$ , and if they are different ( $x>y>5$ ) we get again  $\mathcal{H}_5$ .

If  $\alpha=\beta=3$ , i.e. all the edges  $B_i$  ( $5 \leq i \leq 10$ ) are outer then we can order the 6 traces in such a way that any trace is disjoint from its successor e.g.  $\{1, 3\}$ ,  $\{2, 4\}$ ,  $\{1, 5\}$ ,  $\{2, 3\}$ ,  $\{1, 4\}$ ,  $\{2, 5\}$  (see Figure 8d). This implies that all  $B_i$  ( $5 \leq i \leq 10$ ) have a common outer point. This gives  $\mathcal{H}_6$ .



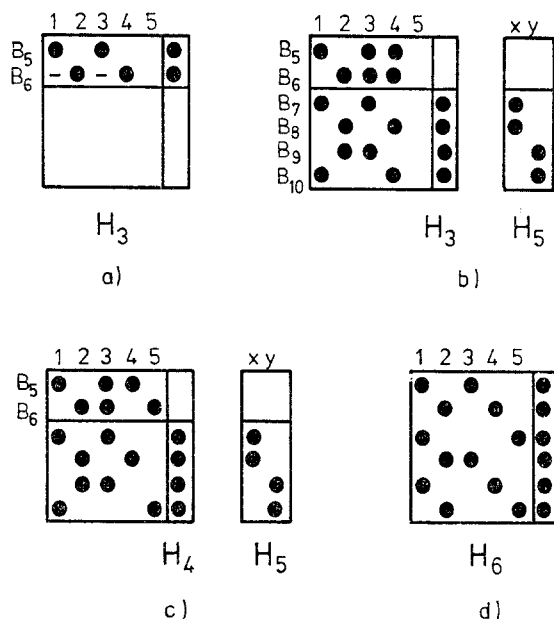


Fig. 8

### VII. Proof of Theorem 1 (last part)

The Main Lemma implies that if  $|\mathcal{F}| \geq 10 \binom{n-6}{r-3}$  and  $n > n_0(r, c)$  then the  $v$ -critical nucleus  $B$  of rank 3 of  $\mathcal{F}$  is  $\mathcal{H}_i$  (for some  $1 \leq i \leq 6$ ). As  $\sum w(B) > 9.9$  by (8) we have that  $w(B) > 0.9$  for each  $B \in \mathcal{H}_i$ . This means that  $|\{F \in \mathcal{F} : F \supset B\}| \geq |\mathcal{F}_B| > 0.9 \binom{n-3}{r-3}$ . Let us choose  $F_0 \in \mathcal{F}$  arbitrarily. If  $F_0 \cap B = \emptyset$  for some  $B \in \mathcal{H}_i$  then since  $\mathcal{F}$  is intersecting we get

$$0.9 \binom{n-3}{r-3} < |\{F \in \mathcal{F} : F \supset B\}| \leq \sum_{x \in F_0} |\{F \in \mathcal{F} : F \supset B \cup \{x\}\}| \leq r \binom{n-4}{r-4}.$$

This leads to a contradiction if  $n > n_0(r)$ . Hence

$$(14) \quad \text{If } B \in \mathcal{H}_i \text{ and } F \in \mathcal{F} \text{ then } B \cap F \neq \emptyset.$$

It is easy to see (it follows from the constructions described in Chapter VI) that if a set  $F$  intersects all the edges of  $\mathcal{H}_i$  then it contains one of them. (This fact is trivial for  $\mathcal{H}_2$ . Let  $i = 1, 3, 4$  or  $6$ , i.e.  $\mathcal{H}_i$  is a set-system on 6 points. If  $F$  does not contain edges of  $\mathcal{H}_i$  then  $(\cup \mathcal{H}_i) \setminus F$  meets all the edges of  $\mathcal{H}_i$ , too. Hence  $|F \cap (\cup \mathcal{H}_i)| = |(\cup \mathcal{H}_i) \setminus F| = 3$ . However one of these two sets is an edge of  $\mathcal{H}_i$ . This leads to a contradiction. Finally if  $F$  meets all the edges of  $\mathcal{H}_5$  (see Fig. 1) then  $|F \cap \{1, 2, 3, 4\}| \geq 2$ . If  $|F \cap \{1, 2, 3, 4\}| > 2$  then we are ready, and if

$|F \cap \{1, 2, 3, 4\}| = 2$  then  $|F \cap \{5, 6, 7\}| \geq 1$  and it is easy to check that there is a  $B \in \mathcal{H}_5$  with  $B \subset F$ .) Hence

(15) If  $F \in \mathcal{F}$  then there exists a  $B \in \mathcal{H}_i$  such that  $B \subset F$ . That is  $\mathcal{F} \subset \mathcal{F}(\overline{\mathcal{H}_i})$ .

Clearly

$$\mathcal{F}(\overline{\mathcal{H}_2}) = 10 \binom{n-5}{r-3} + \binom{5}{4} \binom{n-5}{r-4} + \binom{5}{5} \binom{n-5}{r-5}$$

and

$$\mathcal{F}(\overline{\mathcal{H}_1}) = \mathcal{F}(\overline{\mathcal{H}_3}) = \mathcal{F}(\overline{\mathcal{H}_4}) = \mathcal{F}(\overline{\mathcal{H}_6}) = 10 \binom{n-6}{r-3} + \binom{6}{4} \binom{n-6}{r-4} + \binom{6}{5} \binom{n-6}{r-5} + \binom{6}{6} \binom{n-6}{r-6}$$

and

$$\mathcal{F}(\overline{\mathcal{H}_5}) = 10 \binom{n-7}{r-3} + \left( \binom{7}{4} - 10 \right) \binom{n-7}{r-4} + \binom{7}{5} \binom{n-7}{r-5} + \binom{7}{6} \binom{n-7}{r-6} + \binom{7}{7} \binom{n-7}{r-7}.$$

An easy computation shows that these three numerical expressions are equal. Thus Theorem 1 is proved.

### VIII. Summary, remarks

As a matter of fact we have proved a more general theorem.

**THEOREM 3.** Let  $\mathcal{F}$  be a family of intersecting  $r$ -subsets of  $X$ ,  $|X| = n$ . Suppose that for some  $1/2 \leq c < 2/3$  and for every  $x \in X$ ,  $d_{\mathcal{F}}|x| \leq c|\mathcal{F}|$ , and that

$$|\mathcal{F}| \geq 9 \binom{n-3}{r-3} + \frac{20}{(2/3)-c} r^{r+1} \binom{n-4}{r-4}.$$

Then  $\mathcal{F} \subset \mathcal{F}(\overline{\mathcal{H}_i})$  for some  $1 \leq i \leq 6$  (See Fig. 1).

If  $c = 1/2$  then the extremal set-system is  $\mathcal{F}(\mathcal{H}_1)$ . If  $c$  is a little bit greater than  $1/2$  then for the extremal set-system  $\mathcal{F}$  we get:  $\mathcal{F}(\mathcal{H}_1) \subset \mathcal{F} \subset \mathcal{F}(\overline{\mathcal{H}_1})$ . However if  $c > 1/2 + r/n$  then the extremal set-system is the whole  $\mathcal{F}(\overline{\mathcal{H}_1})$  since  $(\max_{x \in X} d_{\mathcal{F}(\overline{\mathcal{H}_1})}(x)) / |\mathcal{F}(\overline{\mathcal{H}_1})| < 1/2 + r/n$  if  $n > n_0(r)$ .  $\mathcal{F}(\overline{\mathcal{H}_1})$  is the unique extremum as long as  $c \leq \frac{3}{5}$ , and there are five further extrema only if  $c > 3/5$ .

In fact the proof presented above is a slight improvement of a method due to P. Frankl. The crucial observation is that the nucleus  $\mathcal{B}$  of  $\mathcal{F}$  is  $v$ -critical in this proof. P. Frankl used a different nucleus which was not  $v$ -critical. Indeed, in Chapters IV—VI we built up the set-systems  $\mathcal{H}_1, \dots, \mathcal{H}_6$  using (4). Further results can be found in the paper [7].

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