

# A NEW GENERALIZATION OF THE ERDŐS—KO—RADO THEOREM

PETER FRANKL and ZOLTÁN FÜREDI

Dedicated to Paul Erdős on his seventieth birthday

Received 10 January 1983

Let  $\mathcal{F}$  be a family of  $k$ -subsets of an  $n$ -set. Let  $s$  be a fixed integer satisfying  $k \leq s \leq 3k$ . Suppose that for  $F_1, F_2, F_3 \in \mathcal{F}$   $|F_1 \cup F_2 \cup F_3| \leq s$  implies  $F_1 \cap F_2 \cap F_3 \neq \emptyset$ . Katona asked what is the maximum cardinality,  $f(n, k, s)$  of such a system. The Erdős—Ko—Rado theorem implies  $f(n, k, s) = \binom{n-1}{k-1}$  for  $s = 3k$  and  $n \geq 2k$ . In this paper we show that  $f(n, k, s) = \binom{n-1}{k-1}$  holds for  $n \geq n_0(k)$  if and only if  $s \leq 2k$ .

Equality holds only if every member of  $\mathcal{F}$  contains a fixed element of the underlying set. Further we solve the problem for  $k = 3, s = 5, n \geq 3000$ . This result sharpens a theorem of Bollobás.

## 1. Introduction

The simplest version of the Erdős—Ko—Rado theorem is the following

**Theorem 1.** [4] *Let  $\mathcal{F}$  be a collection of  $k$ -element subsets of an  $n$ -set  $X$ . Suppose  $F \cap F' \neq \emptyset$  for  $F, F' \in \mathcal{F}$ . Then for  $n > 2k$*

$$(1) \quad |\mathcal{F}| \leq \binom{n-1}{k-1},$$

*and equality holds iff for some  $x \in X$  we have*

$$(2) \quad \mathcal{F} = \{F \subset X \mid |F| = k, x \in F\}.$$

In Frankl [5] the following is proven

**Theorem 2.** *Let  $\mathcal{F}$  be a collection of  $k$ -element subsets of an  $n$ -set  $X$ , and let  $t \geq 2$ . Suppose that, for every  $F_1, F_2, \dots, F_t \in \mathcal{F}$ ,  $F_1 \cap \dots \cap F_t \neq \emptyset$  holds. Then for  $n > (t/t-1)k$  (1) holds. Equality is possible only for  $\mathcal{F}$  satisfying (2).*

Katona raised the following problem, concerning the case  $t = 3$  of Theorem 2. What happens if, for some integer  $s$ , we require  $F_1 \cap F_2 \cap F_3 \neq \emptyset$  only for triples satisfying  $|F_1 \cup F_2 \cup F_3| \leq s$ ? For which values of  $s$  does the condition entail (1)? In this paper we investigate this problem for  $n \geq n_0(k)$ , and show that (1) holds whenever  $s \leq 2k$ .

## 2. Results

**Theorem 3.** Let  $\mathcal{F}$  be a collection of  $k$ -element subsets of the  $n$ -set  $X$ . Suppose that for any  $F_1, F_2, F_3 \in \mathcal{F}$ , satisfying  $|F_1 \cup F_2 \cup F_3| \leq 2k$   $F_1 \cap F_2 \cap F_3 \neq \emptyset$  holds. Then there is a number  $n_0(k)$  such that, for  $n > n_0(k)$

$$|\mathcal{F}| \leq \binom{n-1}{k-1},$$

and equality holds only if  $\mathcal{F}$  is a family consisting of all the  $k$ -subsets containing a fixed element. Moreover  $n_0(3)=5$ ,  $n_0(k) \leq k^2 + 3k$ .

It is somewhat surprising that the extremal family is unchanged in the range  $2k \leq s \leq 3k$ .

However for  $s < 2k$  the situation is completely different, as it is shown by the following construction.

Let us consider a partition of  $X$  into  $k$  sets  $X_1, \dots, X_k$  with  $\left\lfloor \frac{n}{k} \right\rfloor \leq |X_i| \leq \left\lfloor \frac{n}{k} \right\rfloor + 1$ .

Let us define

$$(3) \quad \mathcal{G} = \{G \subset X \mid |G \cap X_i| = 1 \text{ for } 1 \leq i \leq k\}.$$

Suppose now  $G_1 \cap G_2 \cap G_3 = \emptyset$  for some  $G_1, G_2, G_3 \in \mathcal{G}$ . Then obviously for every  $1 \leq i \leq k$  we have

$$|(G_1 \cup G_2 \cup G_3) \cap X_i| \leq 2.$$

From this we immediately obtain  $|G_1 \cup G_2 \cup G_3| \leq 2k$ , in other words  $|G_1 \cup G_2 \cup G_3| \leq 2k-1$  implies  $G_1 \cap G_2 \cap G_3 \neq \emptyset$ , i.e.  $\mathcal{G}$  satisfies the condition of Katona.  $|\mathcal{G}| \leq \left\lfloor \frac{n}{k} \right\rfloor^k$  which is of greater order of magnitude than  $\binom{n-1}{k-1}$ .

**Conjecture.** Let  $\mathcal{F}$  be a family of  $k$ -subsets of  $X$ ,  $|X|=n$ . Suppose  $F_1, F_2, F_3 \in \mathcal{F}$   $|F_1 \cup F_2 \cup F_3| \leq 2k-1$  implies  $F_1 \cap F_2 \cap F_3 \neq \emptyset$ . Then for  $n \geq n_0(k)$  and  $\mathcal{G}$  defined above

$$|\mathcal{F}| \leq |\mathcal{G}|,$$

with equality iff  $\mathcal{F} = \mathcal{G}$ .

**Theorem 4.** If  $k=3$  and  $n \geq 3000$  then  $f(n, 3, 5) = \left\lfloor \frac{n}{3} \right\rfloor \left\lfloor \frac{n+1}{3} \right\rfloor \left\lfloor \frac{n+2}{3} \right\rfloor$ .

This result is a sharpening of the following theorem.

**Theorem 5.** (Bollobás [1]) Let  $\mathcal{F}$  be a family of 3-subsets of  $X$ ,  $|X|=n$ . Suppose that for  $F_1, F_2, F_3 \in \mathcal{F}$  we have  $F_1 \Delta F_2 \Delta F_3 \neq \emptyset$  ( $\Delta$  denotes the symmetric difference). Then  $|\mathcal{F}| \leq |\mathcal{G}|$  with equality holding only if  $\mathcal{F}$  is isomorphic to  $\mathcal{G}$ .

Thus Bollobás excludes the configuration when  $F_1, F_2, F_3$  are three different 3-subset of a 4-set, while Theorem 4 permits it. However Bollobás's result holds for every  $n$  while we assume  $n \geq 3000$ , and our theorem is definitely not true for  $n \leq 10$ .

As for  $k=3, s \leq 4$ , trivially  $f(n, 3, s) = \binom{n}{3}$  holds, therefore Katona's problem is solved for  $k=3$ , except when  $s=5$ ,  $n < 3000$ .

### 3. The proof of Theorem 3

When  $k=2$ ,  $\mathcal{F}$  is a simple graph containing no triangles or path of length 3, so it is the union of vertex disjoint stars, thus Theorem 3 is true. From now on assume that  $k \geq 3$ .

We proceed in a similar way as in Frankl [6]. First we prove that (1) holds asymptotically. Let  $m(n, k, 1)$  denote the maximum number of  $k$ -subsets of an  $n$ -set, such that no two intersect in a singleton.

Then we have:

**Lemma 1.** *If  $\mathcal{F}$  satisfies the conditions of Theorem 3, then*

$$(4) \quad |\mathcal{F}| \leq \binom{n}{k-1} + m(n, k, 1).$$

**Proof.** Let  $\mathcal{F}_0$  be the family of those subsets of  $\mathcal{F}$  which contain a  $(k-1)$ -subset not contained in any other member of  $\mathcal{F}$ , i.e.  $\mathcal{F}_0 = \{F \in \mathcal{F} | \exists G \subset F, |G|=k-1, G \subset F' \in \mathcal{F} \text{ implies } F'=F\}$ , and define  $\mathcal{F}_1 = \mathcal{F} - \mathcal{F}_0$ .

Clearly  $|\mathcal{F}_0| \leq \binom{n}{k-1}$ . Hence it suffices to prove  $|\mathcal{F}_1| \leq m(n, k, 1)$ . Suppose the contrary, then we can find  $F_1, F_2 \in \mathcal{F}_1$  such that  $|F_1 \cap F_2| = 1$ . Let  $F_1 \cap F_2 = \{x\}$ . As  $F_1 \notin \mathcal{F}_0$  there is an  $F_3 \in \mathcal{F}$ ,  $F_1 \neq F_3$  such that  $(F_1 - \{x\}) \subset F_3$ . But in this case  $F_1 \cap F_2 \cap F_3 = \emptyset$  and  $|F_1 \cup F_2 \cup F_3| \leq |F_1 \cup F_2| + 1 = 2k$ , a contradiction. ■

The problem of determining  $m(n, k, 1)$  was raised by Erdős and Sós (see [2]), who determined  $m(n, 3, 1)$ , in particular they proved  $m(n, 3, 1) \leq n$ , and conjectured  $m(n, k, 1) = \binom{n-2}{k-2}$  for  $n \geq 2k$ . This was proved by Frankl [7] for  $n > n_0(k)$ . Since

$$\binom{n}{k-1} = \binom{n-1}{k-1} + \binom{n-1}{k-2}, \text{ Lemma 1 yields}$$

**Corollary 1.** *If  $\mathcal{F}$  satisfies the conditions of Theorem 3, then for  $n > n_0(k)$*

$$(5) \quad |\mathcal{F}| \leq \binom{n-1}{k-1} + \binom{n-1}{k-2} + \binom{n-2}{k-2} < (1+3k/n) \binom{n-1}{k-1}.$$

In the proof of Lemma 1 we used only:

**Proposition 0.** *If  $F_1, F_2 \in \mathcal{F}$  and  $F_1 \cap F_2 = \{x\}$  then there are no sets  $F'_1$  or  $F'_2$  in  $\mathcal{F}$  satisfying  $(F_1 - \{x\}) \subset F'_1$  or  $(F_2 - \{x\}) \subset F'_2$ . ■*

For  $x \in X$  let  $\mathcal{D}(x)$  denote the family of sets  $F \in \mathcal{F}$  with  $x \in F$ , and  $\mathcal{D}_0(x)$  the family of sets  $F \in \mathcal{F}$  with  $x \in F$  such that  $F - \{x\}$  is not contained in any other  $F' \in \mathcal{F}$ . Let further  $|\mathcal{D}(x)| = d(x)$ ,  $|\mathcal{D}_0(x)| = d_0(x)$ . Clearly we have

$$(6) \quad \sum_{x \in X} d(x) = k|\mathcal{F}|,$$

$$(7) \quad \sum_{x \in X} d_0(x) \leq \binom{n}{k-1}.$$

In view of Proposition 0 we have

**Proposition 1.** Suppose  $F_1, F_2 \in \mathcal{F}$  and  $F_1 \cap F_2 = \{x\}$ . Then  $F_1, F_2 \in \mathcal{D}_0(x)$ . ■

Let us set  $\mathcal{A}(x) = \{F - \{x\} \mid F \in (\mathcal{D}(x) - \mathcal{D}_0(x))\} = \{F - \{x\} \mid x \in F \in \mathcal{F} \text{ and } \exists F' \in \mathcal{F} \text{ with } (F - \{x\}) \subset F'\}$ . Then Proposition 1 yields:

**Proposition 2.** For  $A, A' \in \mathcal{A}(x)$  we have  $A \cap A' \neq \emptyset$ . ■

We will use the following theorem of Hilton and Milner:

**Theorem 6.** [9] Let  $\mathcal{A}$  be a collection of  $r$ -element subsets of an  $n$ -set,  $n \geq 2r$ . Suppose that  $A \cap A' \neq \emptyset$  for  $A, A' \in \mathcal{A}$  and  $|\mathcal{A}| > \binom{n-1}{r-1} - \binom{n-r-1}{r-1} + 1$ . Then there exists an element  $y$  such that  $y \in A$  for every  $A \in \mathcal{A}$ . ■

**Proposition 3.** If  $r \geq 2$ ,  $n \geq 2r$ , then

$$(8) \quad \binom{n-1}{r-1} - \binom{n-r-1}{r-1} + 1 \equiv r \binom{n-2}{r-2} + 1.$$

**Proof.** It follows from

$$\binom{n-1}{r-1} - \binom{n-r-1}{r-1} = \sum_{i=0}^{r-1} \binom{n-2-i}{r-2}. \quad \blacksquare$$

**Proposition 4.** If  $|\mathcal{A}(x)| > k \binom{n-3}{k-3}$  then there exists  $y \in (X - x)$  such that  $y \in F$  for every  $F \in \mathcal{D}(x)$ .

**Proof.** In view of Propositions 2 and 3 we can find  $y \in (X - x)$  such that  $y \in A$  for every  $A \in \mathcal{A}(x)$ . Suppose that for some  $F \in \mathcal{D}_0(x)$  we have  $y \notin F$ . In view of Proposition 1 for every  $A \in \mathcal{A}(x)$  we have  $A \cap F \neq \emptyset$ , yielding  $|\mathcal{A}(x)| \leq k \binom{n-3}{k-3}$ , a contradiction. ■

Call the point  $x \in X$  *good* if there exists a  $y \neq x$  such that  $x \in F \in \mathcal{F}$  entails  $y \in F$ . If  $x$  is good then fix one such  $y$  and denote it by  $f(x)$ .

**Corollary 2.** If  $|\mathcal{A}(x)| > k \binom{n-3}{k-3}$  then  $x$  is good. ■

We assume from now on that  $|\mathcal{F}| \geq \binom{n-1}{k-1}$  and that there is no vertex  $z \in X$  which is contained in every member of  $\mathcal{F}$ .

**Lemma 2.** If  $x$  is good then  $d(x) \leq \binom{n-2}{k-2} - (k-1) \binom{n-k-2}{k-3}$ .

**Proof.** By the indirect assumption there exists  $F_0 \in \mathcal{F}$  with  $f(x) \notin F_0$ . As  $x$  is good and  $f(x) \notin F_0$  we have  $x \notin F_0$ . Let us consider  $k$ -subsets of the following form:  $F = G \cup \{y\} \cup \{x, f(x)\}$ , where  $y \in F_0$ ,  $G \subset X - (F_0 \cup \{x, f(x)\})$ ,  $|G| = k-3$ . The total number of such  $k$ -sets is  $k \binom{n-k-2}{k-3}$ . As for given  $G_0$  and  $y_1, y_2 \in F_0$  the intersection

of the three sets  $F_0, G_0 \cup \{y_1, x, f(x)\}, G_0 \cup \{y_2, x, f(x)\}$  is empty and their union of cardinality less than  $2k$ , so at most one set of the form  $G_0 \cup \{y, x, f(x)\}$  ( $y \in F_0$ ) belongs to  $\mathcal{F}$ . Consequently, at most  $\binom{n-k-2}{k-3}$  sets of the form  $\{G \cup \{y, x, f(x)\} \mid y \in F_0, G \subset (X - F_0 \cup \{x, f(x)\}), |G| = k-3\}$  belong to  $\mathcal{F}$ . This means that at least  $(k-1)\binom{n-k-2}{k-3}$  sets are missing from the  $\binom{n-2}{k-2}$  possible  $k$ -sets containing  $\{x, f(x)\}$ . ■

**Lemma 3.** *If  $n > k^2 - k$  then there exists at least one good vertex  $x$ .*

**Proof.** Suppose the contrary then using (6), (7) and Corollary 2 we deduce

$$k \binom{n-1}{k-1} \leq k|\mathcal{F}| = \sum_{x \in X} d(x) = \sum_{x \in X} d_0(x) + \sum_{x \in X} |\mathcal{A}(x)| \leq \binom{n}{k-1} + nk \binom{n-3}{k-3}.$$

It is easy to see that the right hand side is less than  $k \binom{n-1}{k-1}$  for  $n > k^2 - k$ , a contradiction. ■

We prove Theorem 3 for  $k=3$ ,  $n \geq 5 = n_0(3)$ . For  $n=5, 6$  it follows from Theorem 2. We apply induction on  $n$ .

Let  $\mathcal{F}$  be a family satisfying the assumptions but not the statement. As  $n \geq 7$ , by Lemmas 2 and 3, we can find  $x \in X$  with  $d(x) < (n-2)$ . Then  $\mathcal{F} - \mathcal{D}(x)$  is a family satisfying the assumptions on  $X - \{x\}$ . We may use the induction hypothesis  $|\mathcal{F} - \mathcal{D}(x)| \leq \binom{n-2}{2}$ , yielding  $|\mathcal{F}| < \binom{n-2}{2} + (n-2) = \binom{n-1}{2}$  which concludes the proof.

From now on we assume that  $k \geq 4$ . Let us suppose  $n > k^2 - k$ . Suppose the statement of the theorem is false for  $\mathcal{F}$ . Then by  $n > k^2 - k$  there exists  $x \in X$  with  $d(x) \leq \binom{n-2}{k-2} - (k-1) \binom{n-k-2}{k-3}$ . Let  $X_1 = X - \{x\}$ , and  $\mathcal{F}_1 = \mathcal{F} - \mathcal{D}(x)$ . If  $X_i, \mathcal{F}_i$  are defined with  $|\mathcal{F}_i| > \binom{|X_i|-1}{k-1}$  then let  $x \in X_i$  with  $d(x) \leq \binom{|X_i|-2}{k-2} - (k-1) \cdot \binom{|X_i|-k-2}{k-3}$  (such a vertex exists certainly for  $|X_i| > k^2 - k$ ).

Let  $X_{i+1} = X_i - \{x\}$ ,  $\mathcal{F}_{i+1} = \mathcal{F}_i - \mathcal{D}(x)$ . Let  $j$  be the index for which  $|X_j| = k^2 - k$ , i.e.,  $j = n - k^2 + k$ . Then we have

$$(9) \quad |\mathcal{F}_j| \leq |\mathcal{F}| - \sum_{i=0}^{j-1} \left( \binom{n-2-i}{k-2} - (k-1) \binom{n-k-2-i}{k-3} \right) \leq \binom{n-1}{k-1} - \sum_{i=0}^{j-1} \binom{n-2-i}{k-2} \\ + (k-1) \sum_{i=0}^{j-1} \binom{n-k-2-i}{k-3} = \binom{k^2-k-1}{k-1} + (k-1) \left( \binom{n-k-1}{k-2} - \binom{k^2-2k-1}{k-2} \right).$$

On the other hand  $\mathcal{F}_j$  is a family of  $k$ -subsets of the  $(k^2 - k)$ -element set  $X_j$ , thus by Lemma 1

$$(10) \quad |\mathcal{F}_j| \leq \binom{k^2-k}{k-1} + m(k^2-k, k, 1).$$

**Proposition 5.** For  $n > 2k$  we have

$$m(n, k, 1) \cong \frac{n}{k} \binom{n-2}{k-2}.$$

**Proof.** Let  $\mathcal{G}$  be a family of  $k$ -subsets of an  $n$ -set which does not contain two members intersecting in a singleton. Then for every vertex  $x$ ,  $\mathcal{G}_x = \{G - \{x\} : x \in G \in \mathcal{G}\}$  is an intersecting family of  $(k-1)$ -subsets of an  $(n-1)$ -set. Thus by the Erdős—Ko—Rado theorem (Theorem 1) we have  $|\mathcal{G}_x| \leq \binom{n-2}{k-2}$ . Therefore

$$|\mathcal{G}| = \frac{1}{k} \sum_x |\mathcal{G}_x| \leq \frac{n}{k} \binom{n-2}{k-2}. \quad \blacksquare$$

Combining (10) with Proposition 5, we obtain

$$(11) \quad |\mathcal{F}_j| \leq \binom{k^2-k}{k-1} + (k-1) \binom{k^2-k-2}{k-2}.$$

However, for  $n \leq k^2 + 3k$ , (11) contradicts (9), which concludes the proof of Theorem 3.

**Remark 1.** Proposition 4 remains true for  $|\mathcal{A}(x)| > \binom{n-2}{k-2} - \binom{n-k-1}{k-2} + 1$ . Using this one can prove Lemma 3 for  $n > k^2/(1.5 \log k)$  and in this way the upper bound  $n_0(k) \leq k^2 + 3k$  can be improved to  $n_0(k) < k^2/\log k$ . But it is still far from the real value of  $n_0(k)$  which we conjecture to be  $\lceil 3k/2 \rceil$ . We can prove this for  $k=4, 5$ .

#### 4. The proof of Theorem 4.

With the family  $\mathcal{F}$  let us associate the graph  $\mathcal{A}$  whose vertex set is  $X$  and whose edges are all the 2-sets which are contained in some  $F \in \mathcal{F}$ .

Let us recall now a result of Erdős [3]. For simplicity we state it only for a special case.

**Theorem 7.** [3] Let  $\mathcal{F}$  be a family of 3-subsets of  $X$ ,  $|X|=n$ . Suppose that  $\mathcal{A}$  contains no complete subgraph on 4 vertices. Then for  $\mathcal{F}$  the assertion of Theorem 4 holds.

Let  $s$  be the greatest number for which  $\mathcal{A}$  contains a complete subgraph on  $s$  vertices.

If  $s=3$  then Theorem 7 yields the statement of our theorem. For  $s \geq 4$  we will proceed in a similar way as with the proof of Theorem 3. Let  $t = \min(s, 5)$ . Let  $x_1, \dots, x_t$  be the vertices of a complete subgraph of  $\mathcal{A}$ . By Turán's theorem [9] we have for  $t=s=4$

$$(12) \quad |\mathcal{A}| \leq \frac{3}{8} n^2,$$

Let  $\mathcal{B}_1, \mathcal{B}_2, \mathcal{B}_3$  be the collection of members  $B$  of  $\mathcal{F}$  for which  $|B \cap \{x_1, \dots, x_t\}|$

$= 1, 2, 3$ , respectively. Obviously, we have

$$(13) \quad |\mathcal{B}_3| \leq \binom{t}{3},$$

and

$$(14) \quad |\mathcal{B}_2| \leq \binom{t}{2}(n-t).$$

For  $1 \leq i < j \leq t$ , let us choose  $z_{i,j} \in X$  such that  $\{x_i, x_j, z_{i,j}\} \in F$ . This is possible since  $\{x_i, x_j\} \in \mathcal{A}$ . Let  $Z$  be the set of different  $z_{i,j}$ 's. Of course  $|Z| \leq \binom{t}{2}$ .

**Proposition 6.** *If for  $1 \leq i < j \leq t$ , and for  $y_1, y_2 \in X$  both  $\{x_i, y_1, y_2\}$  and  $\{x_j, y_1, y_2\}$  belong to  $\mathcal{F}$ , then either  $y_1$  or  $y_2$  belongs to  $Z$ .*

**Proof.** Let us write  $z = z_{i,j}$ . By definition  $\{x_i, x_j, z\} \in \mathcal{F}$ . Then  $|\{x_i, x_j, z\} \cup \{x_i, y_1, y_2\} \cup \{x_j, y_1, y_2\}| \leq 5$ , consequently the intersection of the 3 sets is non-empty, i.e.,  $z = y_1$  or  $z = y_2$ , as desired. ■

**Proposition 7.**

$$(15) \quad |\mathcal{B}_1| \leq 2 \binom{t}{2}(n-t) + \frac{t-1}{8} n^2.$$

**Proof.** Our first claim is that the first term is an upper bound for the number of  $F \in \mathcal{F}$  with  $|F \cap \{x_1, \dots, x_t\}| = 1$ ,  $F \cap Z \neq \emptyset$ . For  $z \in Z$  let  $m(z)$  denote the multiplicity of  $Z$ , i.e. the number of pairs  $(i, j)$ ,  $1 \leq i < j \leq t$  with  $z = z_{i,j}$ . For  $z \in Z$  and  $y \in X - \{x_1, \dots, x_t\}$  let  $D(z, y)$  denote the set of  $x_i$ ,  $1 \leq i \leq t$  such that  $\{z, y, x_i\} \in \mathcal{F}$ . If  $y \notin Z$  then by Proposition 6 for  $x_i, x_j \in D(z, y)$  we have  $z = z_{i,j}$ . If  $y \in Z$  then the only other possibility is  $y = z_{i,j}$ . Thus for  $y \notin Z$  we have  $m(z) \leq \binom{|D(z, y)|}{2}$ , in particular  $2m(z) \leq |D(z, y)|$  holds. Similarly, if  $y \in Z$  then  $2m(z) + 2m(y) \leq |D(z, y)|$ . Summing up these inequalities for all pairs  $z \in Z$ ,  $y \in X - \{x_1, \dots, x_t\}$ , considering the pairs with  $y \in Z$  only once and taking into consideration  $\sum_{z \in Z} m(z)$

$= \binom{t}{2}$  we obtain our first claim. In view of Proposition 6 and (12) the second term is an upper bound for  $|\mathcal{A}|$ , which is at least the number of  $F \in \mathcal{F}$  with  $|F \cap \{x_1, \dots, x_t\}| = 1$ ,  $F \cap Z \neq \emptyset$ . ■

Now summing (13), (14) and (15) we obtain

$$(16) \quad \min_{1 \leq i \leq t} d(x_i) \leq \frac{1}{t} \sum_{i=1}^t d(x_i) = \frac{1}{t} (3|\mathcal{B}_3| + 2|\mathcal{B}_2| + |\mathcal{B}_1|)$$

$$\leq \begin{cases} \frac{3}{32} n^2 + 6n - 21 & \text{for } t = 4 \\ \frac{1}{10} n^2 + 8n - 37 & \text{for } t = 5 \end{cases} \leq \frac{1}{10} n^2 + 8n - 37 \quad (\text{if } n \geq 8).$$

Suppose now that  $n > 3000$ ,  $|\mathcal{F}| \cong \left\lfloor \frac{n}{3} \right\rfloor \left\lfloor \frac{n+1}{3} \right\rfloor \left\lfloor \frac{n+2}{3} \right\rfloor$  and the theorem is false. By (16) we can take an  $x_1 \in X$ ,  $X_1 = X - \{x_1\}$  and  $\mathcal{F}_1 = \{F \in \mathcal{F} | x_1 \notin F\}$  such that  $d(x_1) \cong \frac{1}{10}n^2 + 8n - 37$ . Then, in view of (16),  $|\mathcal{F}_1| > \left\lfloor \frac{n-1}{3} \right\rfloor \left\lfloor \frac{n}{3} \right\rfloor \left\lfloor \frac{n+1}{3} \right\rfloor = |\mathcal{G}_{n-1}|$  and we can argue in the same way for  $\mathcal{F}_1$  as we did for  $\mathcal{F}$ . Let  $q$  be the first integer with  $|X_q| \cong 750$ . Then  $q = n - 750$ . For the cardinality of  $\mathcal{F}_q$  we deduce

$$\begin{aligned}
 (17) \quad |\mathcal{F}_q| &> |\mathcal{F}| - \sum_{i=0}^{q-1} \left( \frac{1}{10}(n-i)^2 + 8(n-i) - 37 \right) \\
 &= |\mathcal{F}| - \frac{1}{10} \frac{n(n+1)(2n+1)}{6} - 4n(n+1) + 37n + \frac{1}{10} \frac{750 \cdot 751 \cdot 1501}{6} \\
 &\quad + 4 \cdot 750 \cdot 751 - 37 \cdot 750.
 \end{aligned}$$

Now using the assumption  $|\mathcal{F}| \cong \frac{1}{27}(n^3 - 3n - 2)$  we obtain from (17), for  $n > 3000$ ,  $|\mathcal{F}_q| > \frac{1}{270}n^3 - 4.1n^2 + 16\,000\,000 > \binom{750}{3}$ , a contradiction, proving the theorem.

## 5. Concluding remarks

**Remark 2.** Theorem 4 is not only a sharpening of Theorem 5, but the proof is entirely new.

**Remark 3.** The problems considered in this paper belong to the so-called Turán-type problems, i.e. what is the maximum number of  $k$ -subsets of an  $n$ -set if it contains no sub-system isomorphic to one member of a set of  $k$ -graphs  $\{\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_q\}$ . This maximum is usually denoted by  $\text{ext}(n, \{\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_q\})$ .

Let us define  $\mathcal{H}_1 = \{\{x_1, x_2, \dots, x_k\}, \{x_1, x_2, \dots, x_{k-1}, x_{k+1}\}, \{x_{k+1}, x_{k+2}, \dots, x_{2k}\}\}$ ,  $\mathcal{H}_2 = \{\{x_1, x_2, \dots, x_k\}, \{x_1, x_2, \dots, x_{k-1}, x_{k+1}\}, \{x_k, x_{k+1}, \dots, x_{2k-1}\}\}$ . In this terminology we proved (Theorem 4) for  $k=3$   $\text{ext}(n, \{\mathcal{H}_2\}) = \left\lfloor \frac{n}{3} \right\rfloor \left\lfloor \frac{n+1}{3} \right\rfloor \left\lfloor \frac{n+2}{3} \right\rfloor$ . Moreover, the proof of Theorem 3 yields for  $n > n_0(k)$  the stronger result  $\text{ext}(n, \{\mathcal{H}_1, \mathcal{H}_2\}) = \binom{n-1}{k-1}$ .

Refining the argument we could even obtain

**Theorem 8.** For  $n > n_1(k)$  we have  $\text{ext}(n, \{\mathcal{H}_1\}) = \binom{n-1}{k-1}$ .

Finally a special case of a result of the first author gives

**Theorem 9.** [8] Let  $\mathcal{H} = \{H_1, H_2, H_3\}$  be an arbitrary  $k$ -graph satisfying  $|H_1 \cup H_2 \cup H_3| \cong 2k$ ,  $H_1 \cap H_2 \cap H_3 \neq \emptyset$ . Then for every  $n$ ,  $\text{ext}(n, \{\mathcal{H}\}) < 3en^{k-1}$ .



## References

- [1] B. BOLLOBÁS, Three-graphs without two triples whose symmetric difference is contained in a third, *Discrete Math.* **8** (1974) 21—24.
- [2] P. ERDŐS, Problems and results in graph theory and combinatorial analysis, *Proc. Fifth British Comb. Conf.* 1975, Aberdeen 1975 (Utilitas Math. Winnipeg (1976), 169—172.
- [3] P. ERDŐS, On the number of complete subgraphs contained in a certain graphs, *Publ. Math. Inst. of the Hungar. Acad. Sci. (Ser. A)* **7** (1962) 459—464.
- [4] P. ERDŐS, C. KO and R. RADO, Intersection theorems for systems of finite sets, *Quart. J. Math. Oxford (Ser. 2)* **12** (1961) 313—320.
- [5] P. FRANKL, On Sperner families satisfying an additional condition, *J. Combinatorial Th. A* **20** (1976) 1—11.
- [6] P. FRANKL, On a problem of Chvátal and Erdős, *J. Combinatorial Th. A*, to appear.
- [7] P. FRANKL, On families of finite sets no two of which intersect in a singleton, *Bull. Austral. Math. Soc.* **17** (1977) 125—134.
- [8] P. FRANKL, A general intersection theorem for finite sets, *Proc. of French-Canadian Combinatorial Coll., Montreal 1979, Annals of Discrete Math.* **8** (1980), to appear.
- [9] A. J. W. HILTON and E. C. MILNER, Some intersection theorems for systems of finite sets, *Quart. J. Math. Oxford (2)* **18** (1967) 369—384.
- [10] P. TURÁN, An extremal problem in graph theory, *Mat. Fiz. Lapok* **48** (1941) 436—452 (in Hungarian).

Peter Frankl

C.N.R.S.  
54 Bld. Raspail  
75270 Paris, Cedex 06  
France

Zoltán Füredi

Mathematical Inst. of the  
Hungarian Academy of Sci.  
1395 Budapest, Pf. 428  
Hungary