

# The fourth moment of Dirichlet $L$ -functions along a coset

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Joint work with Ian Petrow

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# Motivation

- ▶ Let  $\mathcal{H}_k(q, \psi)$  (resp.  $\mathcal{H}_{it_j}(q, \psi)$ ) be the set of holomorphic newforms of weight  $k$  (resp. Maass newforms of spectral parameter  $t_j$ ), level  $q$ , and central character  $\psi$ .

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- ▶ For  $\chi$  of conductor  $q$ , consider

$$\sum_{k \leq T} \sum_{f \in \mathcal{H}_k(q, \bar{\chi}^2)} L(f \otimes \chi, 1/2)^3$$

and

$$\sum_{|t_j| \leq T} \sum_{f \in \mathcal{H}_{it_j}(q, \bar{\chi}^2)} L(f \otimes \chi, 1/2)^3 + \int_{|t| \leq T} |L(1/2 + it, \chi)|^6 dt.$$

- ▶ Call either of these expressions  $\mathcal{M}_{\leq T}(q)$ .

# The cubic moment

Theorem (Petrow, Y. 2018-2019)

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## Corollary (Weyl bound)

We have

$$L(f \otimes \chi, 1/2) \ll q^{1/3+\varepsilon},$$

and

$$L(1/2 + it, \chi) \ll q^{1/6+\varepsilon}.$$

Roughly speaking, the proof gives a kind of spectral identity

$$\mathcal{M}_{\leq T}(q) \approx \frac{1}{q} \sum_{\psi \pmod{q}} |L(1/2, \psi)|^4 g(\chi, \psi)$$

where

$$g(\chi, \psi) = \sum_{u, t \pmod{q}} \chi\left(\frac{t(u+1)}{u(t+1)}\right) \psi(ut - 1).$$

If for all  $\psi$

$$|g(\chi, \psi)| \ll q^{1+\varepsilon}, \quad (1)$$

then we can use an easy bound

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to complete the proof of the theorem.

- ▶ If  $q$  is cube-free then (1) is true.
- ▶ For more general  $q$ , (1) is not true.

# Higher prime powers

If  $q = p^k$ ,  $k \geq 3$ , and  $p \equiv 1 \pmod{4}$ , then there exist characters  $\psi$  so that  $|g(\chi, \psi)| \geq qp^{\alpha(k)}$  for some  $\alpha(k) > 0$ ,  $\alpha(k) \in \frac{1}{2}\mathbb{Z}$ .

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Strategy to treat higher prime powers:

1. Understand the possible values of  $\alpha(k)$ .
2. Understand the structure of the set of  $\psi$  so that  $\alpha(k) > 0$  is attained.
3. Bound

$$\sum_{\substack{\psi \pmod{q} \\ \alpha(k) \text{ attained}}} |L(1/2, \psi)|^4 \ll p^{-\alpha(k)} q^{1+\varepsilon}.$$

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$$\sum_{\substack{\psi \pmod{q} \\ \alpha(k) \text{ attained}}} |L(1/2, \psi)|^4 \ll p^{-\alpha(k)} q^{1+\varepsilon}.$$

It turns out that the set of “singular”  $\psi$  so that  $\alpha(k) > 0$  is a *coset* of the subgroup of characters modulo  $d$ , for some  $d$  with  $p \leq d \leq p^{k-1}$ .

# Example

Say  $q = p^3$ . If  $p \equiv 1 \pmod{4}$ , then there exist  $2(p - 1)$  singular characters  $\psi$  so that  $|g(\chi, \psi)| = qp^{1/2}$ . The set of singular characters is a union of two cosets of the form

$$\{\eta \cdot \alpha^{\pm 1} : \eta \pmod{p}\}.$$

# Fourth moment of Dirichlet $L$ -functions along a coset

Theorem (Petrow, Y. 2019)

Let  $d|q$  and let  $q^*$  be the least integer so that  $q^2|(q^*)^3$  (so if  $q = \prod_p p^{\beta_p}$ , then  $q^* = \prod_p p^{\lceil 2\beta_p/3 \rceil}$ ). Let  $\chi$  have conductor  $q$ . Then

$$\sum_{\eta \pmod{d}} |L(1/2, \chi \cdot \eta)|^4 \ll [d, q^*] q^\varepsilon.$$

This bound turns out to be strong enough for item 3.

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Example. Let  $q = p^3$ , so  $q^* = p^2$ . Then

$$\sum_{\eta \pmod{p^2}} |L(1/2, \chi \cdot \eta)|^4 \ll p^{2+\varepsilon}.$$

This implies  $|L(1/2, \chi)| \ll p^{1/2+\varepsilon} = q^{1/6+\varepsilon}$ , which happens to have been first shown by Heath-Brown in 1978.

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The previous theorem is a mod  $q$  analog of a result of Iwaniec from 1980:

$$\int_T^{T+\Delta} |\zeta(1/2 + it)|^4 dt \ll \max(\Delta, T^{2/3}) T^\varepsilon.$$

In this analogy,  $\Delta$  corresponds to  $d$  and  $T^{2/3}$  corresponds to  $q^*$ .

Some work on the second moment of Dirichlet  $L$ -functions along cosets appears in:

- ▶ Nunes (*The twelfth moment of Dirichlet  $L$ -functions with smooth moduli*, IMRN, 2019)
- ▶ Milićević-White (*Twelfth moment of Dirichlet  $L$ -functions to prime power moduli*, arXiv:1908.04833)

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- ▶ Bradford Garcia (Texas A&M PhD Student) has further work in progress on this family.

Some variants on the fourth moment problem for Dirichlet  $L$ -functions include:

- ▶ Blomer, Humphries, Khan, and Milinovich. *Motohashi's fourth moment identity for non-archimedean test functions and applications*, arXiv:1902.07042, 2019.
- ▶ Young. *The fourth moment of Dirichlet  $L$ -functions*. Ann. of Math. (2), 173(1):1–50, 2011.

An analogous family is the collection of twists  $\{L(f \otimes \chi, 1/2) : \chi \pmod{q}\}$  for  $f$  a  $GL_2$  cusp form.

- ▶ Blomer and Milićević. *The second moment of twisted modular  $L$ -functions*. *Geom. Funct. Anal.*, 25(2):453–516, 2015.
- ▶ Kowalski, Michel, and Sawin. *Bilinear forms with Kloosterman sums and applications*. *Ann. of Math. (2)*, 186(2):413–500, 2017.
- ▶ Work in progress by Texas A&M PhD student Agniva Dasgupta for cuspidal analog of the coset family.

# The fourth moment along a coset

Recall: We want to show

$$\sum_{\eta \pmod{d}} |L(1/2, \chi \cdot \eta)|^4 \ll dq^\varepsilon,$$

when  $d|q$  and  $q^3|d^2$ . Take the case  $q = p^3$ ,  $d = p^2$ .

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when  $d|q$  and  $q^3|d^2$ . Take the case  $q = p^3$ ,  $d = p^2$ .

By an approximate functional equation and orthogonality of characters, this reduces to showing

$$\sum_{\substack{m \equiv n \pmod{p^2} \\ m, n \approx p^3}} \frac{d(m)\chi(m)d(n)\bar{\chi}(n)}{\sqrt{mn}} \ll q^\varepsilon.$$

So the problem boils down to a shifted divisor sum twisted by characters of large conductor.

# Shifted divisor problems

In general it is difficult to solve a shifted convolution problem with arithmetic coefficients of large conductor. The QUE problem can be reduced to a shifted convolution problem of this type, and a robust power-saving bound is still unknown.



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Specifically, one desires a bound of the form (for  $h \neq 0$ )

$$\sum_{n \approx k} \lambda_f(n) \lambda_f(n+h) \ll k^{1-\delta},$$

for a holomorphic form  $f$  of weight  $k$ , and similarly for Maass forms.

# Zeta in a short interval

For comparison, the fourth moment of the zeta function on a short interval reduces to showing

$$\sum_{\substack{m=n+O(\frac{T}{\Delta}) \\ m, n \approx T}} \frac{d(m)m^{iT} d(n)n^{-iT}}{\sqrt{mn}} \ll T^\varepsilon.$$

## Shifted divisor problems, cont.

Note that if  $\Delta \approx T^{2/3}$  then

$$(m/n)^{iT} = e^{iT \log(1 + \frac{m-n}{n})} \approx e^{iT \frac{h}{n}}, \quad \text{with } h = m - n.$$

## Shifted divisor problems, cont.

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The magnitude of the phase is roughly of size  $h \ll \frac{T}{\Delta} \approx T^{1/3}$  which is much smaller than  $T$ .

# The spectral approach

If  $f$  and  $g$  are  $GL_2/\mathbb{Q}$  automorphic newforms of levels  $q_f$  and  $q_g$ , then there is a somewhat direct spectral approach (originally put forward by Selberg and greatly extended by many people) as follows:

$$\sum_n \lambda_f(n) \lambda_g(n+h) \approx \langle fg, P_h \rangle,$$

where  $P_h$  is a Poincaré series, and the inner product is on  $\Gamma_0(Q)$ , with  $Q = [q_f, q_g]$ .

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where  $P_h$  is a Poincare series, and the inner product is on  $\Gamma_0(Q)$ , with  $Q = [q_f, q_g]$ .

One then applies the spectral decomposition

$$\langle fg, P_h \rangle = \sum_{u \text{ on } \Gamma_0(Q)} \langle fg, u \rangle \langle u, P_h \rangle,$$

where  $\langle u, P_h \rangle \simeq \lambda_u(h)$

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In our problem,  $\lambda_f(n) = d(n)\chi(n)$  corresponds to an Eisenstein series of level  $q^2$ , so this spectral decomposition would be on level  $q^2 = p^6$ .

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We will use a different approach that eventually uses a spectral decomposition on  $\Gamma_1(p)$ .



# The shifted convolution problem with a congruence

Recall that  $\chi$  has modulus  $q = p^3$ . Let  $f(t) = \chi(1 + p^2t)$ .

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so there exists an integer  $\ell_\chi \pmod{p}$  so that  $f(t) = e_p(\ell_\chi t)$ .

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Say  $m = n + p^2k$ . Then

$$\chi(m)\overline{\chi}(n) = \chi(1 + p^2k\overline{n}) = e_p(\ell_\chi k\overline{n}).$$

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$$\chi(m)\bar{\chi}(n) = \chi(1 + p^2k\bar{n}) = e_p(\ell_\chi k\bar{n}).$$

Compare this with

$$(m/n)^{iT} \approx e^{iT\frac{h}{n}}.$$

This first step has a significant conductor-reducing effect.

# Separation of variables

Typically one of the first steps in a solution of a shifted convolution problem is a way to separate the variables  $n$  and  $h$  in  $\lambda(n + h)$ . The circle method or the delta symbol method (of Duke, Friedlander, and Iwaniec) could be used for this purpose.

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## Lemma

Let  $\chi$  be a primitive Dirichlet character modulo  $q$ . Let  $G(s)$  be an even entire holomorphic function with rapid decay in vertical strips, satisfying  $G(0) = 1$  (e.g.  $G(s) = \exp(s^2)$ ). Then

$$d(n)\chi(n) = \frac{2}{\tau(\bar{\chi})} \sum_{c=1}^{\infty} \frac{\chi(c)}{c} f\left(\frac{c}{\sqrt{n}}\right) \sum_{r \pmod{cq}}^* \bar{\chi}(r) e_{cq}(nr),$$

where

$$f(x) = \frac{1}{2\pi i} \int_{(1)} x^{-2s} L(1+2s, \chi_{0,q}) \frac{G(s)}{s} ds,$$

and where  $\chi_{0,q}$  denotes the trivial character modulo  $q$ .

## Separation of variables, cont.

- ▶ The origin of the previous lemma is that there exists an Eisenstein series  $E(z, s, \chi)$  with Fourier coefficients related to  $\chi(n) \sum_{ab=n} (b/a)^{s-\frac{1}{2}}$ .
- ▶ The Eisenstein series has a functional equation under  $s \rightarrow 1 - s$ , and the calculation of its Fourier expansion naturally uses the identity (for  $\text{Re}(s)$  large enough)

$$\frac{n^{s-\frac{1}{2}}}{\tau(\bar{\chi})} \sum_{c=1}^{\infty} \frac{\chi(c)}{c^{2s}} \sum_{r \pmod{cq}} \bar{\chi}(r) e_{cq}(nr) = \chi(n) \sum_{ab=n} (b/a)^{s-\frac{1}{2}}.$$

- ▶ This is then an approximate functional equation for each Fourier coefficient
- ▶ The fact that  $c$  runs over integers coprime to  $q$  is highly convenient.

# Applying the Lemma

$$\begin{aligned} & \sum_{\substack{m \equiv n \pmod{p^2} \\ m, n \approx p^3}} d(m)\chi(m)d(n)\bar{\chi}(n) \\ & \approx \sum_{n \approx p^3} \sum_{k \approx p} d(n + p^2k)\chi(n + p^2k)d(n)\bar{\chi}(n) \\ & \approx \sum_{n \approx p^3} \sum_{k \approx p} \frac{d(n)\bar{\chi}(n)}{\tau(\bar{\chi})} \sum_{c \approx p^{3/2}} \frac{\chi(c)}{c} \sum_{r \pmod{cq}}^* \bar{\chi}(r)e_{cq}((n + p^2k)r). \end{aligned}$$

This decomposition into additive characters allows the variables  $n$  and  $k$  to be separated, arithmetically.



# Voronoi summation

Voronoi summation (in  $n$  modulo  $cp$ ) converts to a sum of the shape

$$\sum_{\substack{c \approx p^{3/2} \\ (c,p)=1}} \sum_{\substack{k \approx p \\ (k,p)=1}} \sum_{n \ll p^2} d(n) S(pk, -\bar{p}n; c) Kl_3(\ell_\chi k, n, \bar{c}^2; p).$$

Here

$$Kl_3(a, b, c; p) = \sum_{xyz \equiv 1 \pmod{p}} e_p(ax + by + cz).$$

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Using Deligne's bound for  $Kl_3$  and Weil's bound for the Kloosterman sum gives only a weak result.

# Preparations for Kuznetsov

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We have

$$\sum_c \bar{\chi}(c) S(\bar{p}m, n; c) \rightarrow \sum_{t_j} \sum_{f \in \mathcal{H}_{it_j}(p, \chi)} \bar{\lambda}_f(m) \bar{\lambda}_f(n)$$

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We will use this with  $\chi = \eta^2$ .

# The spectral decomposition

The resulting formula roughly looks like

$$\sum_{t_j \ll 1} \sum_{\eta \pmod{p}} \frac{\tau(\bar{\eta})^3}{p^{3/2}} \sum_{f \in \mathcal{H}_{it_j}(p, \eta^2)} \lambda_f(p) L(\bar{f} \otimes \eta, 1/2)^3.$$

The normalizations are such that Lindelöf-on-average suffices to prove the main theorem.

# The final move

Since  $\bar{f} \otimes \eta \in \mathcal{H}_{it_j}(p^2)$  (with trivial central character after the twist), we can use the simple bound

$$\sum_{t_j \ll 1} \sum_{g \in \mathcal{H}_{it_j}(N)} |L(g, 1/2)|^4 \ll N^{1+\varepsilon}$$

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with  $N = p^2$  to complete the proof.

Subtlety: given a  $g \in \mathcal{H}_{it_j}(p^2)$ , for how many pairs  $f, \eta$  do we have  $\bar{f} \otimes \eta = g$ ? Answer: at most 2 (via a quadratic twist).

This final step was deceptively simple because of the focus on the case  $q = p^3$ .

# A generalization

Theorem (Petrow, Y.)

Suppose  $q = q_1 q_2$  with  $(q_1, q_2) = 1$ . Then

$$\sum_{\eta \pmod{q_2}} \sum_{|t_j| \ll 1} \sum_{m|q} \sum_{f \in \mathcal{H}_{it_j}(m, \eta^2)} |L(1/2, \bar{f} \otimes \eta)|^4 \ll q_2 q^{1+\varepsilon}.$$

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*A similar bound holds true for holomorphic forms, as well as the Eisenstein series.*

Example. Consider  $q_1 = 1$ ,  $q_2 = p^2$ . If  $m = p^2$  and  $\eta$  is primitive modulo  $p^2$ , then  $\bar{f} \otimes \eta \in \mathcal{H}_{it_j}(p^4, 1)$ . The multiplicity of the map  $(f, \eta) \rightarrow \bar{f} \otimes \eta$  is bounded, and the standard level  $p^4$  fourth moment bound suffices to estimate these terms.

# A generalization

$$\sum_{\eta \pmod{q_2}} \sum_{|t_j| \ll 1} \sum_{m|q} \sum_{f \in \mathcal{H}_{it_j}(m, \eta^2)} |L(1/2, \bar{f} \otimes \eta)|^4 \ll q_2 q^{1+\varepsilon}.$$

Next consider  $\eta$  of conductor  $p$ . Typically,  $\bar{f} \otimes \eta \in \mathcal{H}_{it_j}(p^2, 1)$ , which has smaller level than the previous case.

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On the other hand, the map  $(f, \eta) \rightarrow \bar{f} \otimes \eta$  has multiplicity  $\approx p$  (since for  $\chi \pmod{p}$ ,

$$(f \otimes \chi, \eta \otimes \chi) \mapsto \overline{f \otimes \chi} \otimes (\eta \otimes \chi) = \bar{f} \otimes \eta,$$

and  $f \otimes \chi$  still has level  $p^2$ , and  $\eta \otimes \chi$  still has modulus  $p$ ).

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and  $f \otimes \chi$  still has level  $p^2$ , and  $\eta \otimes \chi$  still has modulus  $p$ ).

Luckily, the extra multiplicity is compensated by the saving in the number of forms of level  $p^2$  compared to those of level  $p^4$ .

Thank you for listening!