

WIDE SCATTERED SPACES AND MORASSES

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ABSTRACT. We show that it is relatively consistent with ZFC that 2^ω is arbitrarily large and every sequence $\mathbf{s} = \langle s_\alpha : \alpha < \omega_2 \rangle$ of infinite cardinals with $s_\alpha \leq 2^\omega$ is the cardinal sequence of some locally compact scattered space.

1. INTRODUCTION

If X is a scattered topological space, and α is an ordinal, denote by $I_\alpha(X)$ the α th Cantor-Bendixson level of X . The *cardinal sequence* of X , $\text{SEQ}(X)$, is the sequence of the cardinalities of the infinite Cantor-Bendixson levels of X , i.e.

$$\text{SEQ}(X) = \langle |I_\alpha(X)| : \alpha < ht^-(X) \rangle,$$

where $ht^-(X)$, the *reduced height* of X , is the minimal β such that $I_\beta(X)$ is finite. If δ is an ordinal, we denote by $\mathcal{C}(\delta)$ the class of all cardinal sequences of length δ of locally compact scattered (LCS, in short) spaces.

Let $\langle \kappa \rangle_\alpha$ denote the constant κ -valued sequence of length α .

Theorem 1.1 (Baumgartner, Shelah, [2]). *It is relatively consistent with ZFC that $\langle \omega \rangle_{\omega_2} \in \mathcal{C}(\omega_2)$.*

Refining their argument, first Bagaria, [1], proved that ${}^{\omega_2}\{\omega, \omega_1\} \subset \mathcal{C}(\omega_2)$ in some ZFC model, then Martinez and Soukup, [9], showed that $2^\omega = \omega_2$ and ${}^{\omega_2}\{\omega, \omega_1, \omega_2\} \subset \mathcal{C}(\omega_2)$ is also consistent.

For a long time ω_2 was a mystique barrier in both height and width. In this paper we can construct wider spaces.

Theorem 1.2. *If GCH holds and $\lambda \geq \omega_2$ is a regular cardinal, then in some cardinal preserving generic extension $2^\omega = \lambda$ and every sequence $\mathbf{s} = \langle s_\alpha : \alpha < \omega_2 \rangle$ of infinite cardinals with $s_\alpha \leq \lambda$ is the cardinal sequence of some locally compact scattered space.*

We will find the suitable generic extension in three steps:

- (I) The first extension adds a “*strongly stationary strong* (ω_1, λ) -semimorass” to the ground model (see Definition 2.1 and Theorem 2.3).
- (II) Using that strong semimorass the second extension adds a $\Delta(\omega_2 \times \lambda)$ -function to the first extension (see Definition 3.1 and Theorem 3.2).

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- (III) Using the $\Delta(\omega_2 \times \lambda)$ -function we add an “*LCS space with stem*” to the second model and we show that those2 space alone guarantees that every sequence $\mathbf{s} = \langle s_\alpha : \alpha < \omega_2 \rangle$ of infinite cardinals with $s_\alpha \leq \lambda$ is the cardinal sequence of some locally compact scattered space (see Theorem 4.2).

Steps (I) and (II) are based on works of P. Koszmider, see [7] and [8].

2. STRONG SEMIMORASSES

If ρ is a function and X is set, write $\rho[X] = \{\rho(\xi) : \xi \in X\}$.

If X and Y are sets of ordinals with $\text{tp}(X) = \text{tp}(Y)$, denote the unique order preserving bijection between X and Y by $\rho_{X,Y}$.

For $X \in [\lambda]^\omega$ and $\mathcal{F} \subset [\lambda]^\omega$ let $\mathcal{F} \upharpoonright X = \{Y \in \mathcal{F} : Y \subseteq X\}$.

If X, X_1 and X_2 are sets of ordinals, we write

$X = X_1 \oplus X_2$ iff $\text{tp}(X_1) = \text{tp}(X_2)$, $X = X_1 \cup X_2$ and $\rho_{X_1, X_2} \upharpoonright X_1 \cap X_2 = \text{id}$;
 $X = X_1 \odot X_2$ iff $\text{tp}(X_1) = \text{tp}(X_2)$, $X = X_1 \cup X_2$ and $X_1 \cap X_2 < X_1 \setminus X_2 < X_2 \setminus X_1$;

and

$X = X_1 \otimes X_2$ iff $X = X_1 \oplus X_2$ and $X \cap \omega_2 = (X_1 \cap \omega_2) \odot (X_2 \cap \omega_2)$.

In [7] Koszmider introduced the notion of semimorasses and proved several properties concerning that structures. Unfortunately, in our proof we need structures with a bit stronger properties.

Definition 2.1. Let $\omega_1 \leq \lambda$ be a cardinal. A family $\mathcal{F} \subset [\lambda]^\omega$ is a *strong* (ω_1, λ) -*semimorass* iff

- (M1) $\langle \mathcal{F}, \subseteq \rangle$ is *well-founded*, (and so we have the *rank* function on \mathcal{F}),
- (M2) \mathcal{F} is *locally small*, i.e. $|\mathcal{F} \upharpoonright X| \leq \omega$ for each $X \in \mathcal{F}$.
- (M3) \mathcal{F} is *homogeneous*, i.e. $\forall X, Y \in \mathcal{F}$ if $\text{rank}(X) = \text{rank}(Y)$ then $\text{tp}(X) = \text{tp}(Y)$ and $\mathcal{F} \upharpoonright Y = \{\rho_{X,Y}[Z] : Z \in \mathcal{F} \upharpoonright X\}$.
- (M4) \mathcal{F} is *directed*, i.e. $\forall X, Y \in \mathcal{F} (\exists Z \in \mathcal{F}) X \cup Y \subset Z$.
- (M5) \mathcal{F} is *strongly locally semidirected*, i.e. $\forall X \in \mathcal{F}$ either (a) or (b) holds:
 - (a) $\mathcal{F} \upharpoonright X$ is directed,
 - (b) $\exists X_1, X_2 \in \mathcal{F}$ $\text{rank}(X_1) = \text{rank}(X_2)$, $X = X_1 \otimes X_2$, and $\mathcal{F} \upharpoonright X = (\mathcal{F} \upharpoonright X_1) \cup (\mathcal{F} \upharpoonright X_2) \cup \{X_1, X_2\}$.
- (M6) \mathcal{F} *covers* λ , i.e. $\cup \mathcal{F} = \lambda$.

If in (M5)(b) we weaken the assumption $X = X_1 \otimes X_2$ to $X = X_1 \oplus X_2$ then we obtain the definition of an (ω_1, λ) -*semimorass* (see [7, Definition 1]). Moreover, a strong (ω_1, ω_2) -semimorass is just Velleman’s simplified (ω_1, ω_2) -morass.

Definition 2.2. A family $\mathcal{F} \subset [\lambda]^\omega$ is *strongly stationary* iff for each function $c : [\mathcal{F}]^{<\omega} \rightarrow [\lambda]^\omega$ there are stationary many $X \in \mathcal{F}$ such that X is *c-closed*, i.e. $c(X^*) \subset X$ for each $X^* \in [\mathcal{F} \upharpoonright X]^{<\omega}$.

Theorem 2.3. *If $2^\omega = \omega_1 < \lambda = \lambda^{\omega_1}$ then there is a σ -complete ω_2 -c.c. forcing notion P such that*

$V^P \models$ “ $\lambda^{\omega_1} = \lambda$ and there is a strongly stationary strong (ω_1, λ) -semimorass \mathcal{F} . ”

We say that a family $p \subset [\lambda]^\omega$ is *neat* iff $\cup p = \cup(p \setminus \{\cup p\})$.

Proof of Theorem 2.3. Define $P = \langle P, \leq \rangle$ as follows. Let

$$P = \{p \subset [\lambda]^\omega : |p| \leq \omega, \cup p \in p, p \text{ is neat and satisfies (M1)–(M5)}\}.$$

Write $\text{supp}(p) = \cup p$ for $p \in P$. Clearly $\text{supp}(p)$ is the \subset -largest element of p . Put

$$(1) \quad p \leq q \text{ iff } \text{supp}(q) \in p \wedge q = (p \upharpoonright \text{supp}(q)) \cup \{\text{supp}(q)\}.$$

P is σ -complete. Indeed, if $p_0 \geq p_1 \geq p_2 \dots$ then let

$$p = \cup_{n < \omega} p_n \cup \{\cup_{n < \omega} \text{supp}(p_n)\}.$$

Then $p \in P$ and $p \leq p_n$ for each n .

Definition 2.4. We say that two conditions p and p' are twins iff

- (i) $\text{tp}(\text{supp}(p)) = \text{tp}(\text{supp}(p'))$,
- (ii) $\text{supp}(p) \cup \text{supp}(p') = \text{supp}(p) \otimes \text{supp}(p')$,
- (iii) $p' = \rho_{\text{supp}(p), \text{supp}(p')}[p]$.

Lemma 2.5. *If p and p' are twins then they have a common extension in P*

Proof. Write $D = \text{supp}(p)$ and $D' = \text{supp}(p')$. Put $r = p \cup p' \cup \{D \cup D'\}$. We show that r is a common extension of p and p' .

Claim: $p = (r \upharpoonright D) \cup \{D\}$ and $p' = (r \upharpoonright D') \cup \{D'\}$.

Indeed, assume that $X \in r \upharpoonright D$. Then $X \in p$ or $X \in p'$. If $X \in p'$ then $X \subset D'$, and so $X \subset D \cap D'$. Since $\rho_{D, D'} \upharpoonright D \cap D' = \text{id}$ it follows that $X = \rho_{D, D'}^{-1}[X] \in p$. So $r \upharpoonright D \subset p$, which proves the Claim.

First we check that that $r \in P$. (M1) and (M2) are clear. Since $\text{supp}(r) = \text{supp}(p) \cup \text{supp}(p')$, r is neat. r has the largest element $\text{supp}(r) = D \cup D' \in r$, and so (M4) also holds. In (M5) we have just one new instance $X = \text{supp}(r)$. But in this case the choice $X_1 = D$ and $X_2 = D'$ works. To check (M3) assume that $X, Y \in r$, $\text{rank}(X) = \text{rank}(Y)$. If $X, Y \in p$ or $X, Y \in p'$ then we can apply that p and p' satisfy (M3). So we can assume that $X \in p \setminus p'$ and $Y \in p' \setminus p$. Let $X' = \rho_{D, D'}[X]$. Then $\text{rank}(X') = \text{rank}(X) = \text{rank}(Y)$ and $X', Y \in p'$. Since p' satisfies (M3), we have $\text{tp}(X') = \text{tp}(Y)$, and so $\text{tp}(X) = \text{tp}(Y)$. Since $\rho_{X', Y} : p' \upharpoonright X' \rightarrow p' \upharpoonright Y$ is an isomorphism, and $\rho_{X, Y} = \rho_{D, D'} \circ \rho_{X', Y}$ it follows that $\rho_{X, Y} : p \upharpoonright X \rightarrow p' \upharpoonright Y$ is also an isomorphism. However $p \upharpoonright X = r \upharpoonright X$ and $p' \upharpoonright Y = r \upharpoonright Y$ by the Claim, and so $\rho_{X, Y} : r \upharpoonright X \rightarrow r \upharpoonright Y$ is also an isomorphism, which proves (M3).

Finally $r \leq p, p'$ follows immediately from the Claim. \square

Lemma 2.6. *P satisfies ω_2 -c.c.*

Proof. Assume that $\{r_\alpha : \alpha < \omega_2\} \subset P$. Write $D_\alpha = \text{supp}(r_\alpha)$ for $\alpha < \omega_2$. By standard argument we can find $I \in [\omega_2]^{\omega_2}$ such that

- (a) $\{D_\alpha : \alpha \in I\}$ forms a Δ -system with kernel D , and $\text{tp}(D_\alpha) = \text{tp}(D_\beta)$ for $\alpha, \beta \in I$,
- (b) For $\alpha < \beta \in I$ we have $D \cap \omega_2 < D_\alpha \setminus D < D_\beta \setminus D$,
- (c) $\rho_{D_\alpha, D_\beta}[D_\alpha \cap \omega_2] = D_\beta \cap \omega_2$ and $\rho_{D_\alpha, D_\beta} \upharpoonright D = \text{id}$,
- (d) $r_\beta = \{\rho_{D_\alpha, D_\beta}[X] : X \in r_\alpha\}$.

Then for each $\alpha \neq \beta \in I$ the conditions r_α and r_β are twins, so they are compatible by Lemma 2.5. \square

Lemma 2.7. (a) $\forall \alpha \in \omega_2$

$$D_\alpha = \{p \in P : \text{supp}(p) \cap (\omega_2 \setminus \alpha) \neq \emptyset\}$$

is dense in P .

(b) $\forall \beta \in \lambda \setminus \omega_2$

$$E_\beta = \{p \in P : \beta \in \text{supp}(p)\}$$

is dense in P .

Proof. (a) For each $q \in P$ and $\alpha < \omega_2$ there is q' such that q and q' are twins and $\text{supp}(q') \cap (\omega_2 \setminus \alpha) \neq \emptyset$. Then q and q' has a common extension $p \in D_\alpha$ by Lemma 2.5.

(b) For all q and $\beta \in \lambda \setminus \omega_2$ there is q' such that q and q' are twins and $\beta \in \text{supp}(q')$. Then the common extension p of q and q' is in E_β . \square

Let \mathcal{G} be a P -generic filter over V , and put $\mathcal{F}' = \cup \mathcal{G}$ and $F = \cup \mathcal{F}'$. Then $\mathcal{F}' \subset [\lambda]^\omega$ and so $F \subset \lambda$. By the previous lemma, $F \supset \lambda \setminus \omega_2$ and $|F \cap \omega_2| = \omega_2$. So $\mathcal{F} = \{\rho_{F,\lambda}[X] : X \in \mathcal{F}'\}$ is a strong (ω_1, λ) -semimorass. To complete the proof of 2.3 it is enough to prove the following lemma.

Lemma 2.8. $V^P \models \text{“}\mathcal{F} \text{ is strongly stationary”}$.

Proof. It is enough to prove that if

$$q \Vdash \dot{C} \subset [F]^\omega \text{ is club and } \dot{c} : [\mathcal{F}']^{<\omega} \rightarrow [F]^\omega$$

then there are $p \leq q$ and $C \in [\lambda]^\omega$ such that $p \Vdash \text{“}\dot{C} \in \mathcal{F}' \cap \dot{C} \text{ is } \dot{c}\text{-closed”}$.

First we need a claim.

Claim 2.8.1. *If $p \Vdash \dot{A} \in [F]^\omega$ then $\exists p' \leq p$ such that $A \subset \text{supp}(p')$.*

Proof. If $\alpha \in A$ and $p \Vdash \dot{\alpha} \in F$ then there are $p' \leq p$ and $p'' \in P$ such that $\alpha \in \text{supp}(p'')$ and $p' \Vdash p'' \in F$. Then p' and p'' have a common extension q , and then $\alpha \in \text{supp}(q)$ and $q \Vdash \dot{\alpha} \in F$.

Since P is σ -complete and A is countable, we are done by a straightforward induction. \square

We will choose a decreasing sequence $\langle p_n : n < \omega \rangle \subset P$ and an increasing sequence $\langle C_n : n < \omega \rangle \subset [\lambda]^\omega$ as follows. Let $C_0 = \emptyset$ and $p_0 = q$. If p_n and C_n are given, let $Z_n \supset C_n \cup \text{supp}(p_n)$ and $p'_n \leq p_n$ s.t.

$$p'_n \Vdash \cup \{\dot{c}(X) : X \in [\check{p}_n]^{<\omega}\} \subset Z_n \in [F]^\omega.$$

Let $p_{n+1} \leq p'_n$ and $C_{n+1} \supset Z_n \cup C_n$ such that $C_{n+1} \subset \text{supp}(p_{n+1})$ and $p_{n+1} \Vdash \check{C}_{n+1} \in \dot{C}$.

Having constructed the sequence finally put $C = \cup \{C_n : n < \omega\}$ and $p = \cup_{n < \omega} p_n \cup \{C\}$. Then $p \in P$, $p \leq q$, $C = \text{supp}(p)$. Since $p \Vdash \text{“}\check{C}_n \in \dot{C} \text{ and } \dot{C} \text{ is club”}$, we have $p \Vdash \text{“}\check{C} \in \dot{C}$. Since $p \Vdash \dot{c}''[[p_n]^{<\omega}] \subset \text{supp}(p_{n+1})$, we have $p \Vdash \dot{C} \text{ is } \dot{c}\text{-closed}$. Moreover $p \Vdash p \subset \mathcal{F}'$, so $p \Vdash \check{C} \in \mathcal{F}'$.

Putting these together we obtain that p and C have the desired properties, which proves the lemma. \square

Since $(\lambda^{\omega_1})^{V[\mathcal{F}]} \leq ((|P| + \lambda)^{\omega_1})^V = (\lambda^{\omega_1})^V = \lambda$, the proof of Theorem 2.3 is complete. \square

Next we investigate some properties of strong semimorasses.

Lemma 2.9. *Let $\mathcal{F} \subset [\lambda]^\omega$ be a strongly stationary strong (ω_1, λ) -semimorass.*

- (1) *If $X, Y \in \mathcal{F}$, $\text{rank}(X) = \text{rank}(Y)$, $\alpha \in X \cap Y \cap \omega_2$, then $X \cap \alpha = Y \cap \alpha$.*
- (2) *If $X, Y \in \mathcal{F}$, $X \subset Y$ and $\text{rank}(X) < \alpha < \text{rank}(Y)$ then there is $Z \in \mathcal{F}$ such that $\text{rank}(Z) = \alpha$ and $X \subset Z \subset Y$.*

- (3) If $X \in \mathcal{F}$ and $\text{rank}(X) < \alpha < \omega_1$ then there is $Z \in \mathcal{F}$ such that $\text{rank}(Z) = \alpha$ and $X \subset Z$.
- (4) If $X, Y \in \mathcal{F}$, $\text{rank}(X) \leq \text{rank}(Y)$, and $\alpha \in X \cap Y \cap \omega_2$, then $X \cap \alpha \subset Y \cap \alpha$.

Proof. (1) We prove the statement by induction on the minimal rank of $Z \in \mathcal{F}$ with $Z \supset X \cup Y$.

If rank of Z is minimal, then clearly $Z = Z_1 \otimes Z_2$ where $X \subset Z_1$ and $Y \subset Z_2$. Let $X' = \rho_{Z_1, Z_2}[X] \in \mathcal{F} \upharpoonright Z_2$. Since $\alpha \in Z_1 \cap Z_2 \cap \omega_2$, we have $Z_1 \cap \alpha = Z_2 \cap \alpha$ and so $\rho_{Z_1, Z_2} \upharpoonright (\alpha + 1) = \text{id}$. Thus $X' \cap \alpha = X \cap \alpha$ and $\alpha \in X'$. Since $X', Y \in \mathcal{F} \upharpoonright Z_2$, $\alpha \in X' \cap Y$ and $\text{rank}(Z_2) < \text{rank}(Z)$, by the inductive assumption we have $X' \cap \alpha = Y \cap \alpha$. Thus $X \cap \alpha = Y \cap \alpha$.

(2) Easy by straightforward induction on $\text{rank}(Y)$.

(3) By straightforward induction on α there is $Y \in \mathcal{F}$ such that $X \subset Y$ and $\text{rank}(Y) \geq \alpha$. Then apply (2).

(4) By (3) there is $Y' \supset X$ such that $\text{rank}(Y) = \text{rank}(Y')$. Then apply (1) for Y and Y' . \square

In [8] Koszmider proved several statements for Velleman's simplified morasses. Here we need similar results for strong semimorasses. The following lemma corresponds to [8, Fact 2.6-Fact 2.7].

Lemma 2.10. *Let $\mathcal{F} \subset [\lambda]^\omega$ be a strongly stationary strong (ω_1, λ) -semimorass. Assume $\lambda^\omega = \lambda$, fix an injective function $c : \mathcal{F} \rightarrow \lambda$, and consider the stationary set*

$$(2) \quad \mathcal{F}' = \{X \in \mathcal{F} : c(X^*) \in X \text{ for each } X^* \in \mathcal{F} \upharpoonright X\}.$$

Assume that $\mathcal{F}, \mathcal{F}', c \in M \prec H(\theta)$, $|M| = \omega$, and $M \cap \lambda \in \mathcal{F}'$. Then

- (1) $\mathcal{F} \upharpoonright M \cap \lambda \subset M$.
- (2) $\text{rank}(M \cap \lambda) = M \cap \omega_1$.
- (3) If $Y \in \mathcal{F}$ with $\text{rank}(Y) < \delta = M \cap \omega_1$ then there is $Z \in M \cap \mathcal{F}$ such that $(M \cap \lambda) \cap Y \subset Z$, and $\text{rank}(Z) = \text{rank}(Y)$.
- (4) If $\mathcal{A} \in [\mathcal{F}]^{<\omega}$ then there is $Z \in \mathcal{F} \cap M$ such that
- $$(2) \quad \cup\{X \cap M : X \in \mathcal{A}, \text{rank}(X) < M \cap \omega_1\} \subset Z.$$

Proof. (1) Let $X \in \mathcal{F} \upharpoonright M \cap \lambda$, i.e. $X \in \mathcal{F}$ and $X \subsetneq M \cap \lambda$. Then $X \subsetneq M \cap \lambda \in \mathcal{F}'$ implies $\alpha = c(X) \in M \cap \lambda$. But $c, \alpha \in M$ and c is injective, so $X = c^{-1}\{\alpha\} \in M$.

(2) If $X \subsetneq M \cap \lambda$, $X \in \mathcal{F}$, then $X \in M$ by (1) and so $\text{rank}(X) \in M \cap \omega_1$. Thus $\text{rank}(M \cap \lambda) \leq M \cap \omega_1$.

Assume that $\alpha < M \cap \omega_1$. Then

$$M \models \text{"}\exists X \in \mathcal{F} \text{ rank}(X) = \alpha\text{"}$$

Thus there is $X \in M \cap \mathcal{F}$ such that $\text{rank}(X) = \alpha$. Hence $\text{rank}(M \cap \lambda) \geq M \cap \omega_1$.

(3) There is $Y' \supset Y$, $Y' \in \mathcal{F}$ and $\text{rank}(Y') = \text{rank}(M \cap \lambda)$. Let $Z = \rho_{Y', M \cap \lambda}[Y]$. Since $Y \cap (M \cap \lambda) \subset Y' \cap (M \cap \lambda)$ and $\rho_{Y', M \cap \lambda} \upharpoonright Y' \cap (M \cap \lambda) = \text{id}$, we have $Z \supset Y \cap (M \cap \lambda)$.

(4) Just apply (3) and the fact that \mathcal{F} is directed. \square

3. A $\Delta(\omega_2 \times \lambda)$ -FUNCTION

Let $\lambda \geq \omega_2$ be an infinite cardinal and let $\pi : \omega_2 \times \lambda \rightarrow \omega_2$ be the natural projection: $\pi(\langle \xi, \alpha \rangle) = \xi$.

Definition 3.1. (1) Assume that f is a function such that $\text{dom}(f) \subset [\omega_2 \times \lambda]^2$ and $f\{x, y\} \in [\pi(x) \cap \pi(y)]^{<\omega}$ for each $\{x, y\} \in \text{dom}(f)$. We say that two finite subsets d and d' of $\omega_2 \times \lambda$ are *good for f* provided $[d \cup d']^2 \subset \text{dom}(f)$ and $\forall x \in d' \setminus d \forall y \in d \setminus d' \forall z \in d \cap d' \cap (\omega_2 \times \omega)$

(S1) if $\pi(z) < \pi(x), \pi(y)$ then $\pi(z) \in f\{x, y\}$,

(S2) if $\pi(z) < \pi(y)$ then $f\{x, z\} \subset f\{x, y\}$,

(S3) if $\pi(z) < \pi(x)$ then $f\{y, z\} \subset f\{x, y\}$.

(2) A function $f : [\omega_2 \times \lambda]^2 \rightarrow [\omega_2]^{<\omega}$ is a $\Delta(\omega_2 \times \lambda)$ -function iff $f\{x, y\} \subset \min(\pi(x), \pi(y))$ and for each sequence $\{d_\alpha : \alpha < \omega_1\} \subset [\omega_2 \times \lambda]^{<\omega}$ there are $\alpha \neq \beta$ such that d_α and d_β are good for f .

Remark . The assumption $|f\{x, y\}| < \omega$, instead of the usual $|f\{x, y\}| \leq \omega$, is not a misprint.

Theorem 3.2. *If $2^\omega = \omega_1 < \lambda = \lambda^{\omega_1}$ and there is a strongly stationary strong (ω_1, λ) -semimorass, then in some cardinal preserving generic extension $\lambda^{\omega_1} = \lambda$ and there is a $\Delta(\omega_2 \times \lambda)$ -function.*

Proof. To start with fix a strongly stationary strong (ω_1, λ) -semimorass $\mathcal{F} \subset [\lambda]^\omega$. We can assume that

$$(4) \quad \omega \subset X \text{ for each } X \in \mathcal{F}.$$

Fix an injective function $c : \mathcal{F} \rightarrow \lambda$, and consider the stationary set

$$(5) \quad \mathcal{F}' = \{X \in \mathcal{F} : c(X^*) \in X \text{ for each } X^* \in \mathcal{F} \upharpoonright X\}.$$

Definition 3.3. We define a poset $P = \langle P, \leq \rangle$ as follows: P consists of triples $p = \langle a, f, \mathcal{A} \rangle$, where $a \in [\omega_2 \times \lambda]^{<\omega}$, $f : [a]^2 \rightarrow \mathcal{P}(\pi[a])$ with $f\{s, t\} \subset \min(\pi(s), \pi(t))$, $\mathcal{A} \in [\mathcal{F}]^{<\omega}$ such that

$$(6) \quad \forall s, t \in a \forall X \in \mathcal{A} \text{ if } s, t \in X \times X \text{ then } f\{s, t\} \subset X.$$

Write $p = \langle a_p, f_p, \mathcal{A}_p \rangle$ for $p \in P$. Put $p \leq q$ iff $a_p \supset a_q$, $f_p \supset f_q$ and $\mathcal{A}_p \supset \mathcal{A}_q$.

For $p \in P$ let

$$\text{supp}(p_\nu) = \cup a_\nu \cup \cup \mathcal{A}_\nu.$$

Clearly $\text{supp}(p) \in [\lambda]^{<\omega}$.

If ρ is a function and $x = \langle a, b \rangle \in \text{dom}(\rho)^2$, let $\bar{\rho}(x) = \langle \rho(a), \rho(b) \rangle$. We say $p, q \in P$ are *twins* iff

- (A) $\text{supp}(p)$ and $\text{supp}(q)$ have the same order type,
- (B) the unique $<_{O_n}$ -preserving bijection ρ between $\text{supp}(p)$ and $\text{supp}(q)$ gives an *isomorphism* between p and q , i.e.
 - (a) $a_q = \bar{\rho}[a_p]$,
 - (b) $\{\rho[X] : X \in \mathcal{A}_p\} = \mathcal{A}_q$,
 - (c) for each $\{s, t\} \in [a_p]^2$, $\rho[f_p\{s, t\}] = f_q\{\bar{\rho}(s), \bar{\rho}(t)\}$.

Definition 3.4 ([7, Definition 22]). Let $\mathcal{K} \subset [\lambda]^\omega$. A poset P is \mathcal{K} -proper iff for some large enough regular cardinal θ if M is a countable elementary submodel of $\mathcal{H}(\theta)$ with $P \in M$ and $M \cap \lambda \in \mathcal{K}$ then for each $p \in M \cap P$ there is an (M, P) -generic $q \leq p$.

Lemma 3.5 ([7, Fact 23]). If $\mathcal{K} \subset [\lambda]^\omega$ is stationary and a poset P is \mathcal{K} -proper, then forcing with P preserves ω_1 .

Definition 3.6 ([7, Definition 24]). Assume that P is a poset, $M \prec \mathcal{H}(\theta)$, $|M| = \omega$, $q \in P$, and $P, \pi_1, \dots, \pi_n \in M$. We say that the formula $\Phi(x, \pi_1, \dots, \pi_n)$ well-reflects q in M iff

- (1) $\mathcal{H}(\theta) \models \Phi(q, \pi_1, \dots, \pi_n)$,
- (2) if $s \in M \cap P$ and $M \models \Phi(s, \pi_1, \dots, \pi_n)$ then q and s are compatible in P .

Definition 3.7 ([7, Definition 25]). Assume that P is a poset, $\mathcal{K} \subset [\lambda]^\omega$. We say that P is simply \mathcal{K} -proper if the following holds: for some/each large enough regular cardinal θ

IF

- (i) $M \prec \mathcal{H}(\theta)$, $|M| = \omega$,
- (ii) $p \in P$, $P, p, \mathcal{K} \in M$,
- (iii) $M \cap \lambda \in \mathcal{K}$,

THEN there is $p_0 \leq p$ such that for each $q \leq p_0$ some formula $\Phi(x, \pi_1, \dots, \pi_n)$ well-reflects q in M .

By lemmas [7, Fact 23 and Lemma 26] we have

Lemma 3.8. If $\mathcal{K} \subset [\lambda]^\omega$ is stationary and a poset P is simply \mathcal{K} -proper, then forcing with P preserves ω_1 .

To show that ω_1 is preserved we prove the following lemma.

Lemma 3.9. P is simply \mathcal{F}' -proper.

Actually we will prove some stronger statement. To formulate it we need some preparation.

If $M \prec \mathcal{H}(\theta)$, $p \in P \cap M$, $M \cap \lambda \in \mathcal{F}$ and $q \in P$ let

$$p^M = \langle a_p, f_p, \mathcal{A}_p \cup \{M \cap \lambda\} \rangle$$

and

$$q \upharpoonright M = \langle a_q \cap M, f_q \upharpoonright M, \mathcal{A}_q \cap M \rangle.$$

Lemma 3.10. (1) If $M \prec \mathcal{H}(\theta)$ and $p \in P \cap M$ then $p^M \in P$. (2) If $q \leq p^M$ then $q \upharpoonright M \in P \cap M$ as well.

Proof. (1) We should only check (6) for p^M . Assume that $s, t \in a_p$ and $X \in \mathcal{A}_p \cup \{M \cap \lambda\}$. Since $p \in P$, we can assume $X = M \cap \lambda$. However $s, t \in M$, and so $f_p\{s, t\} \in M$ as well by $p \in M$. Since $|f_p\{s, t\}| \leq \omega$, it follows $f_p\{s, t\} \subset M \cap \lambda = X$ which was to be proved.

(2) It is straightforward that $q \upharpoonright M \in P$. To show $q \upharpoonright M \in M$ we should check that $f_q \upharpoonright M \in M$. So assume that $s, t \in a_q \cap M$. Then $s, t \in (M \cap \lambda) \times (M \cap \lambda)$ and $M \cap \lambda \in \mathcal{A}_{p^M} \subset \mathcal{A}_q$. So, by (6), $f_q\{s, t\} \subset M \cap \lambda$. Since $f_q\{s, t\}$ is finite, we have $f_q\{s, t\} \in M$. \square

Lemma 3.11. *Assume that $M \prec \mathcal{H}(\theta)$, $|M| = \omega$, $P, \mathcal{F} \in M$, $p \in P \cap M$, $M \cap \lambda \in \mathcal{F}'$. Let $\delta = \text{rank}(M \cap \lambda) = M \cap \omega_1$. Assume that $Z \in M \cap \mathcal{F}$ such that*

$$(7) \quad Z \supset \cup \{X \cap M : X \in \mathcal{A}_q, \text{rank}(X) < \delta\}.$$

Let $\Phi(x, Z, q \upharpoonright M)$ be the following formula:

$$(8) \quad "x \in P, x \leq q \upharpoonright M, (a_x \setminus a_{q \upharpoonright M}) \cap (Z \times Z) = \emptyset."$$

Then

(1) $\Phi(q, Z, q \upharpoonright M)$ holds.

(2) If $s \in M$, $M \models \Phi(s, Z, q \upharpoonright M)$ and

$$h : [a_s \setminus a_{q \upharpoonright M}, a_q \setminus a_{q \upharpoonright M}] \rightarrow \mathcal{P}(\pi[a_s \cup a_q])$$

such that

$$(9) \quad h\{x, y\} \subset \min(\pi(x), \pi(y)) \cap \bigcap \{X \in \mathcal{A}_q : x, y \in X \times X, \text{rank}(X) \geq \delta\},$$

then $r = \langle a_s \cup a_q, f_s \cup f_q \cup h, \mathcal{A}_s \cup \mathcal{A}_q \rangle \in P$ is a common extension of q and s .

(3) $\Phi(x, Z, q \upharpoonright M)$ well reflects q in M .

Proof. (1) Since $q \upharpoonright M \in P$ by Lemma 3.10(2), we have $q \leq q \upharpoonright M$ by the definition of the relation \leq . Since $Z \in M$, we have $Z \times Z \subset M \times M \subset M$ and $a_q \setminus a_{q \upharpoonright M} = a_q \setminus M$.

(2) To show that $r \in P$ we need to check that $r = \langle a_r, f_r, \mathcal{A}_r \rangle$ satisfies (6). So let $x, y \in a_r$, $X \in \mathcal{A}_r$.

Case 1. $x, y \in a_s$ and $X \in \mathcal{A}_s$ or $x, y \in a_q$ and $X \in \mathcal{A}_q$.

Then everything is fine, because $s, q \in P$.

Case 2. $\{x, y\} \in [a_s]^2$, $x \in a_s \setminus a_q$ and $X \in \mathcal{A}_q$.

If $\text{rank}(X) < \delta$ then $(a_s \setminus a_q) \cap (X \times X) = \emptyset$ by (7), so $x \notin X \times X$. Thus (6) is void.

If $\text{rank}(X) \geq \delta$ then $\nu = \min(\pi(x), \pi(y)) \in M \cap X$, so $M \cap \nu \subset X \cap \nu$ by Lemma 2.9(4). Thus $f_r\{x, y\} = f_s\{x, y\} \cap \nu \subset M \cap \nu \subset X$.

Case 3. $\{x, y\} \in [a_q]^2$, $x \in a_q \setminus a_s$ and $X \in \mathcal{A}_s$.

Since $(a_q \setminus a_s) \cap M = \emptyset$, it is not possible that $X \in \mathcal{A}_s$. Then $x \in a_q \setminus a_s = a_q \setminus a_{q \upharpoonright M}$, so $x \notin M$. However $X \subset M$ and so $x \notin X \times X$, so (6) is void.

Case 4. $x \in a_q \setminus a_s$ and $y \in a_s \setminus a_q$.

Then the assumption concerning h in (9) is stronger than (6). Indeed, if $y \in a_s \setminus a_q$ then $y \notin Z \times Z$. So if $y \in X \times X$ for some $X \in \mathcal{A}_q$ then $\text{rank}(X) \geq \delta$.

(3) Define the function

$$h : [a_s \setminus a_{q \upharpoonright M}, a_q \setminus a_{q \upharpoonright M}] \rightarrow [\omega_2]^{<\omega}$$

by $h\{x, y\} = \emptyset$. Then (9) holds, so s and q are comparable by (2), which was to be proved. \square

Proof of Lemma 3.9. We can apply Lemma 3.11 because by Lemma 2.10 we can pick $Z \in M \cap \mathcal{F}$ such that $Z \supset \cup \{X \cap M : X \in \mathcal{A}_q, \text{rank}(X) < \delta\}$. \square

Lemma 3.12. P satisfies ω_2 -c.c.

Proof. Let $\{p_\nu : \nu < \omega_2\} \subset P$. Put $p_\nu = \langle a_\nu, f_\nu, \mathcal{A}_\nu \rangle$. Recall that $\text{supp}(p_\nu) = \cup a_\nu \cup \cup \mathcal{A}_\nu$. We can assume that

- (i) $\{\text{supp}(p_\nu) : \nu < \omega_2\}$ forms a Δ -system with kernel D
- (ii) the conditions are pairwise twins witnessed by functions $\rho_{\nu,\mu} : \text{supp}(p_\nu) \rightarrow \text{supp}(p_\mu)$.

Fix $\nu < \mu < \omega_2$. Define the function e as follows:

$$\text{dom}(e) = [a_\nu \setminus a_\mu, a_\mu \setminus a_\nu] \text{ and } e\{s, t\} = \emptyset.$$

We claim that

$$(10) \quad r = \langle a_\nu \cup a_\mu, f_\nu \cup f_\mu \cup e, \mathcal{A}_\nu \cup \mathcal{A}_\mu \rangle$$

is a common extension of p_ν and p_μ . We need to show that $r \in P$. Since p_ν and p_μ are twins, we should check only (6). So let $t, s \in a_\nu \cup a_\mu$ and $X \in \mathcal{A}_\nu \cup \mathcal{A}_\mu$ with $s, t \in X \times X$. We can assume e.g. $X \in \mathcal{A}_\nu$. Since $X \subset \text{supp}(p_\nu)$, we have $s, t \in \text{supp}(p_\nu) \times \text{supp}(p_\nu)$. Then $\text{supp}(p_\nu) \times \text{supp}(p_\nu) \cap a_\mu \subset a_\nu$ because $\text{supp}(p_\nu) \times \text{supp}(p_\nu) \cap a_\mu \subset D$ and a_ν, a_μ are twins. Thus we have $s, t \in a_\nu$, and so we are done because p_ν satisfies (6). \square

To complete the proof of Theorem 3.2 we claim that if \mathcal{G} is a P -generic filter then the function

$$(11) \quad f = \cup \{f_p : p \in \mathcal{G}\}$$

is a $\Delta(\omega_2 \times \lambda)$ -function.

Assume that

$$p \Vdash \{\dot{d}_\xi : \xi < \omega_1\} \subset [\omega_2 \times \lambda]^{<\omega}.$$

We can assume

$$p \Vdash \{\dot{d}_\xi : \xi < \omega_1\} \text{ is a } \Delta\text{-system with kernel } \check{d}.$$

Assume $M \prec H(\theta)$, $|M| = \omega$, $p, \mathcal{F}' \langle \dot{d}_\xi : \xi < \omega_1 \rangle \in M$ and $X_0 = M \cap \lambda \in \mathcal{F}'$. Let

$$p^M = \langle a_p, f_p, \mathcal{A}_p \cup \{X_0\} \rangle.$$

Let $q \leq p^M$, $\xi_1 < \omega_1$ and $e_1 \in [\omega_2 \times \lambda]^{<\omega}$ that

$$q \Vdash \dot{d}_{\xi_1} = \check{e}_1 \wedge (e_1 \setminus d) \cap M = \emptyset \wedge e_1 \subset a_q.$$

Put $\delta = \text{rank}(M \cap \lambda)$. By Lemma 2.10 we can pick $Z \in M \cap \mathcal{F}$ such that

$$Z \supset \cup \{X \cap M : X \in \mathcal{A}_q, \text{rank}(X) < \delta\}.$$

Consider the following formula $\Psi(x, \xi, e)$ with free variables x, ξ and e and parameters $Z, q \upharpoonright M, \langle \dot{d}_\xi : \xi < \omega_1 \rangle, d \in M$:

$$\Phi(x, Z, q \upharpoonright M) \wedge \xi \in \omega_1 \wedge (x \Vdash \dot{d}_\xi = e) \wedge e \subset a_x \wedge (e \setminus d) \subset a_x \setminus a_{q \upharpoonright M}$$

where the formula $\Phi(x, Z, q \upharpoonright M)$ was defined in (8) in Lemma 3.11. Then $\Psi(q, \xi_1, e_1)$ holds. Thus $\exists x \exists \xi \exists e \Psi(x, \xi, e)$ also holds. Since the parameters are all in M , we have

$$(12) \quad M \models \exists x \exists \xi \exists e \Psi(x, \xi, e).$$

Thus there are $s, \xi_2, e_2 \in M$ such that

$$\Phi(s, Z, q \upharpoonright M) \wedge (s \Vdash \dot{d}_{\xi_2} = e_2) \wedge e_2 \subset a_s \wedge (e_2 \setminus d) \subset a_s \setminus a_{q \upharpoonright M}.$$

Since $e_2 \setminus d \subset a_s \setminus a_{q \uparrow M}$ and $(a_s \setminus a_{q \uparrow M}) \cap Z \times Z = \emptyset$ by $\Phi(s, Z, q \uparrow M)$, we have

$$(e_2 \setminus d) \cap Z \times Z = \emptyset.$$

Define the function

$$h : [a_s \setminus a_{q \uparrow M}, a_q \setminus a_{q \uparrow M}] \rightarrow \mathcal{P}(\pi[a_s \cup a_q])$$

by the formula

$$(13) \quad h\{x, y\} = \pi[a_s \cup a_q] \cap \min(\pi(x), \pi(y)) \cap \bigcap \{X \in \mathcal{A}_q : x, y \in X \times X, \text{rank}(X) \geq \delta\}.$$

So $h\{x, y\}$ is as large as it is allowed by (9).

Then, by Lemma 3.11, the condition $r = \langle a_r, f_r, \mathcal{A}_r \rangle$, where $a_r = a_s \cup a_q$, $f_r = f_s \cup f_q \cup h$ and $\mathcal{A}_r = \mathcal{A}_s \cup \mathcal{A}_q$, is a common extension of q and s .

Lemma 3.13. *e_1 and e_2 are good for f_r .*

Proof. We should check conditions (S1)-(S3).

Assume that $z \in e_1 \cap e_2 \cap (\omega_2 \times \omega)$, $x \in e_1 \setminus e_2 \subset a_q \setminus a_{q \uparrow M}$, and $y \in e_2 \setminus e_1 \subset a_s \setminus a_{q \uparrow M}$. Observe that $z, y \in M$, and so $\pi(z), \pi(y) \in M$ as well.

(S1): Assume that $\pi(z) < \pi(x), \pi(y)$.

We should show that $\pi(z) \in f_r\{x, y\}$. However, $f_r\{x, y\}$ was defined by (13). So we should show that

$$\text{if } X \in \mathcal{A}_q, \text{rank}(X) \geq \delta, x, y \in X \times X \text{ then } \pi(z) \in X.$$

Since $\pi(y) \in M \cap X \cap \omega_2$ and $\text{rank}(M \cap \lambda) \leq \text{rank}(X)$ we have $M \cap \pi(y) \subset X \cap \pi(y)$ by Lemma 2.9(4). Since $\pi(z) < \pi(y)$ and $\pi(z) \in M$ it follows that $\pi(z) \in X$.

(S2): Assume that $\pi(z) < \pi(y)$.

We need to show that $f_r\{x, z\} \subset f_r\{x, y\}$. Since $f_r\{x, z\} = f_q\{x, z\}$ and $f_r\{x, y\}$ was defined by (13) we should show that

$$\text{if } X \in \mathcal{A}_q, \text{rank}(X) \geq \delta, x, y \in X \times X \text{ then } f_q\{x, z\} \subset X.$$

Since $\pi(y) \in M \cap X$ we have $\pi(z) \in M \cap \pi(y) \subset X \cap \pi(y)$ by Lemma 2.9(4).

Since $\pi(z) \in X$, $z \in \omega_2 \times \omega$ and $\omega \subset X$ by (4), it follows that $z \in X \times X$. Since $x, z \in X \times X$ and $X \in \mathcal{A}_q$, we have $f_q\{x, z\} \subset X$ by (6), which was to be proved.

(S3): Assume that $\pi(z) < \pi(x)$.

We need to show that $f_r\{y, z\} \subset f_r\{x, y\}$. Since $f_r\{y, z\} = f_s\{y, z\}$ and $f_r\{x, y\}$ was defined by (13) we should show that

$$\text{if } X \in \mathcal{A}_q, \text{rank}(X) \geq \delta, x, y \in X \times X \text{ then } f_s\{y, z\} \subset X.$$

Since $z, y \in M$ we have $f_s\{y, z\} \subset M$.

Moreover $y \in X \times X$, and so $\pi(y) \in M \cap X \cap \omega_2$, which implies $M \cap \pi(y) \subset X \cap \pi(y)$ by Lemma 2.9(4).

Thus $f_s\{y, z\} = f_s\{y, z\} \cap \pi(y) \subset M \cap \pi(y) \subset X \cap \pi(y) \subset X$, which was to be proved. \square

Since $r \Vdash \dot{d}_{\xi_1} = \check{e}_1 \wedge \dot{d}_{\xi_2} = \check{e}_2 \wedge f \supset \check{f}_r$, by Lemma 3.13 $r \Vdash$ “ \dot{d}_{ξ_1} and \dot{d}_{ξ_2} are good for f ”. So f is a $\Delta(\omega_2 \times \lambda)$ -function in $V[\mathcal{G}]$.

Since $|P| \leq \lambda$ and so $(\lambda^{\omega_1})^{V[\mathcal{G}]} \leq ((|P| + \lambda)^{\omega_1})^V = (\lambda^{\omega_1})^V = \lambda$, the proof of Theorem 3.2 is complete. \square

4. SPACE CONSTRUCTION

Assume that X is a scattered space. We say that a subspace $Y \subset X$ is a *stem* of X provided

- (i) $ht(Y) = ht(X)$,
- (ii) $X \setminus Y$ is closed discrete in X .

Clearly (ii) holds iff every $x \in X$ has a neighborhood U_x such that $U_x \setminus \{x\} \subset Y$.

Proposition 4.1. *Assume that X is an LCS space, $Y \subset X$ is a stem, $SEQ(X) = \langle \kappa_\nu : \nu < \mu \rangle$ and $SEQ(Y) = \langle \lambda_\nu : \nu < \mu \rangle$. Then*

$$(14) \quad \{SEQ(Z) : Y \subset Z \subset X\} = \{s \in {}^\mu \text{Card} : \lambda_\nu \leq s(\nu) \leq \kappa_\nu \text{ for each } \nu < \mu\}.$$

Proof. Assume that $s \in {}^\mu \text{Card}$ such that $\lambda_\nu \leq s(\nu) \leq \kappa_\nu$ for each $\nu < \mu$. For $\nu < \mu$ pick $Z_\nu \in [I_\nu(X)]^{s(\nu)}$ with $Z_\nu \supset I_\nu(Y)$. Put $Z = \bigcup \{Z_\nu : \nu < \mu\}$. Since $Y \subset Z$ and Y is a stem, we have $I_\nu(Z) = Z_\nu$ for $\nu < \mu$, and so $SEQ(Z) = s$. \square

Theorem 4.2. *If there is a $\Delta(\omega_2 \times \lambda)$ -function, then there is a c.c.c poset P such that in V^P there is an LCS space X with stem Y such that $SEQ(X) = \langle \lambda \rangle_{\omega_2}$ and $SEQ(Y) = \langle \omega \rangle_{\omega_2}$.*

Corollary 4.3. *If there is a $\Delta(\omega_2 \times \lambda)$ -function, then there is a c.c.c poset P such that in V^P every sequence $\mathfrak{s} = \langle s_\alpha : \alpha < \omega_2 \rangle$ of infinite cardinals with $s_\alpha \leq \lambda$ is the cardinal sequence of some locally compact scattered space.*

Proof of Theorem 4.2. Instead of constructing the topological space directly, we actually produce a certain “graded poset” which guarantees the existence of the desired locally compact scattered space. We use the ideas from [1] to formulate the properties of our required poset.

Definition 4.4. Given two sequences $\mathfrak{t} = \langle \kappa_\alpha : \alpha < \delta \rangle$ and $\mathfrak{s} = \langle \lambda_\alpha : \alpha < \delta \rangle$ of infinite cardinals with $\lambda_\alpha \leq \kappa_\alpha$, we say that a poset $\langle T, \prec \rangle$ is a \mathfrak{t} -poset with an \mathfrak{s} -stem iff the following conditions are satisfied:

- (T1) $T = \bigcup \{T_\alpha : \alpha < \delta\}$ where $T_\alpha = \{\alpha\} \times \kappa_\alpha$ for each $\alpha < \delta$. Let $S_\alpha = \{\alpha\} \times \lambda_\alpha$, and $S = \bigcup \{S_\alpha : \alpha < \delta\}$.
- (T2) For each $s \in T_\alpha$ and $t \in T_\beta$, if $s \prec t$ then $\alpha < \beta$ and $s \in S_\alpha$.
- (T3) For every $\{s, t\} \in [T]^2$ there is a finite subset $i\{s, t\}$ of S such that for each $u \in T$:

$$(u \preceq s \wedge u \preceq t) \text{ iff } u \preceq v \text{ for some } v \in i\{s, t\}.$$

- (T4) For $\alpha < \beta < \delta$, if $t \in T_\beta$ then the set $\{s \in S_\alpha : s \prec t\}$ is infinite.

Lemma 4.5. *If there is a \mathfrak{t} -poset with an \mathfrak{s} -stem then there is an LCS space X with stem Y such that $SEQ(X) = \mathfrak{t}$ and $SEQ(Y) = \mathfrak{s}$.*

Indeed, if $\mathcal{T} = \langle T, \prec \rangle$ is an \mathfrak{s} -poset, we write $U_{\mathcal{T}}(x) = \{y \in T : y \preceq x\}$ for $x \in T$, and we denote by $X_{\mathcal{T}}$ the topological space on T whose subbase is the family

$$(15) \quad \{U_{\mathcal{T}}(x), T \setminus U_{\mathcal{T}}(x) : x \in T\},$$

then $X_{\mathcal{T}}$ is our desired LCS-space with stem.

So, to prove Theorem 4.2 it will be enough to show that a $\langle \lambda \rangle_{\omega_2}$ -poset with an $\langle \omega \rangle_{\omega_2}$ -stem may exist.

We follow the ideas of [2] to construct P . Fix a $\Delta(\omega_2 \times \lambda)$ -function $f : [\omega_2 \times \lambda]^2 \rightarrow [\omega_2]^{<\omega}$.

Definition 4.6. Define the poset $\mathcal{P} = \langle P, \preceq \rangle$ as follows. The underlying set P consists of triples $p = \langle a_p, \leq_p, i_p \rangle$ satisfying the following requirements:

- (1) $a_p \in [\omega_2 \times \lambda]^{<\omega}$,
- (2) \leq_p is a partial ordering on a_p with the property that if $x <_p y$ then $x \in \omega_2 \times \omega$ and $\pi(x) < \pi(y)$,
- (3) $i_p : [a_p]^2 \rightarrow [a_p]^{<\omega}$ is such that
 - (3.1) if $\{x, y\} \in [a_p]^2$ then
 - (3.1.1) if $x, y \in \omega_2 \times \omega$ and $\pi(x) = \pi(y)$ then $i_p\{x, y\} = \emptyset$,
 - (3.1.2) if $x <_p y$ then $i_p\{x, y\} = \{x\}$,
 - (3.1.3) if x and y are $<_p$ -incomparable, then
$$i_p\{x, y\} \subset f\{x, y\} \times \omega.$$
 - (3.2) if $\{x, y\} \in [a_p]^2$ and $z \in a_p$ then
$$((z \leq_p x \wedge z \leq_p y) \text{ iff } \exists t \in i_p\{x, y\} z \leq_p t).$$

Set $p \preceq q$ iff $a_p \supseteq a_q$, $\leq_p \upharpoonright a_q = \leq_q$ and $i_p \upharpoonright [a_q]^2 = i_q$.

Lemma 4.7. P satisfies ω_1 -c.c..

Proof. Let $\{p_\nu : \nu < \omega_1\} \subset P$, $p_\nu = \langle a_\nu, \leq_\nu, i_\nu \rangle$. By thinning out our sequence we can assume that

- (i) $\{a_\nu : \nu < \omega_1\}$ forms a Δ -system with kernel a' .
- (ii) $i_\nu \upharpoonright [a']^2 = i$.
- (iii) $\leq_\nu \upharpoonright a' \times a' = \leq$.
- (iv) for each $\nu < \mu < \omega_1$ there is a bijection $\rho_{\nu, \mu} : a_\nu \rightarrow a_\mu$ such that
 - (a) $\rho_{\nu, \mu} \upharpoonright a' = \text{id}$
 - (b) $\pi(x) \leq \pi(y)$ iff $\pi(\rho_{\nu, \mu}(x)) \leq \pi(\rho_{\nu, \mu}(y))$,
 - (c) $x \leq_\nu y$ iff $\rho_{\nu, \mu}(x) \leq_\mu \rho_{\nu, \mu}(y)$,
 - (d) $x \in \omega_2 \times \omega$ iff $\rho_{\nu, \mu}(x) \in \omega_2 \times \omega$,
 - (e) $\rho_{\nu, \mu}[i_\nu\{x, y\}] = i_\mu\{\rho_{\nu, \mu}(x), \rho_{\nu, \mu}(y)\}$.

Now it follows from condition (3.1) and condition (iv) that if $\nu < \mu < \omega_2$ and $\{x, y\} \in [a']^2$ then $i_\nu\{x, y\} = i_\mu\{x, y\}$.

Since f is a $\Delta(\omega_2 \times \lambda)$ -function there is $\nu < \mu < \omega_1$ such that a_ν and a_μ are good for f , i.e. (S1)–(S3) hold. Define $r = \langle a, \leq, i \rangle$ as follows:

- (a) $a = a_\nu \cup a_\mu$,
- (b) $x \leq y$ iff $x \leq_\nu y$ or $x \leq_\mu y$ or there is $s \in a_\nu \cap a_\mu$ such that $x \leq_\nu s \leq_\mu y$ or $x \leq_\mu s \leq_\nu y$,
- (c) $i \supset i_\nu \cup i_\mu$,
- (d) for $x \in a_\nu \setminus a_\mu$ and $y \in a_\mu \setminus a_\nu$, if x and y are \leq -incomparable then
$$(16) \quad i\{x, y\} = (f\{x, y\} \times \omega) \cap \{t \in a : t \leq x \wedge t \leq y\}.$$
- (e) for $\{x, y\} \in [a]^2$ with $x < y$, $i\{x, y\} = \{x\}$.

We claim that $r \in P$.

By the construction, we have $\leq \upharpoonright a_\nu \times a_\nu = \leq_\nu$ and $\leq \upharpoonright a_\mu \times a_\mu = \leq_\mu$.

Claim: \leq is a partial order.

We should check only the transitivity. Assume $x \leq y \leq z$. If $x \leq_\nu y \leq_\nu z$ or $x \leq_\mu y \leq_\mu z$ then we are done. Assume that $x \leq_\nu u \leq_\mu y \leq_\mu z$ for some $u \in a_\nu \cap a_\mu$. Then $x \leq_\nu u \leq_\mu z$ so $x \leq z$.

If $x \leq_\nu u \leq_\mu y \leq_\mu t \leq_\nu z$ for some $u, t \in a_\nu \cap a_\mu$, then $u \leq_\mu t$, which implies $u \leq_\nu t$. Thus $x \leq_\nu u \leq_\nu t \leq_\nu z$ and so $x \leq_\nu z$, and hence $x \leq z$.

The other cases are similar to these ones.

(3.1.3) holds by the construction of i .

To show that p is a condition we should finally check (3.2). Let $x, y \in a$ be \leq -incomparable elements. It is clear that if $u \leq t$ for some $t \in i\{x, y\}$ then $u \leq x$ and $\leq y$. So we should check that

(*) if $z \leq x$ and $z \leq y$ then there is $t \in i\{x, y\}$ such that $z \leq t$.

If $x, y, z \in a_\nu$ or $x, y, z \in a_\mu$ then it is clear because $p_\nu, p_\mu \in P$.

Case 1. $x, y \in a_\nu$ and $z \in a_\mu \setminus a_\nu$.

Subcase 1.1 $x, y \in a_\nu \setminus a_\mu$.

There are $x', y' \in a_\nu \cap a_\mu$ such that $z \leq_\mu x' \leq_\nu x$ and $z \leq_\mu y' \leq_\nu y$. Then there is $t' \in i_\mu\{x', y'\}$ such that $z \leq_\mu t'$. Then $t' \in a_\nu \cap a_\mu$, so $t' \leq_\nu x, y$. Thus there is $t \in i_\nu\{x, y\}$ such that $t' \leq_\nu t$, and so $z \leq t$. Since $i\{x, y\} = i_\nu\{x, y\}$, we are done.

Subcase 1.2 $x \in a_\nu \setminus a_\mu$ and $y \in a_\nu \cap a_\mu$

Put $y' = y$, then proceed as in Subcase 1.1.

Case 2. $x, z \in a_\nu \setminus a_\mu$ and $y \in a_\mu \setminus a_\nu$.

Then $z \leq_\nu y' \leq_\mu y$ for some $y' \in a_\nu \cap a_\mu$. Then there is $t \in i_\nu\{x, y'\}$ such that $z \leq_\nu t$. Clearly $t \leq x, y$. We show that $t \in i\{x, y\}$.

If $t = y'$ then $t \leq x, y$ and $\pi(t) \in f\{x, y\}$ by (S1). Thus $t \in i\{x, y\}$.

Assume that $t <_\nu y'$. Then $\pi(t) \in f\{x, y'\} \subset f\{x, y\}$ by (S2), because $y' \in a_\nu \cap a_\mu$ and $\pi(y') < \pi(y)$. Thus $t \in i\{x, y\}$ by (16). \square

Assume that \mathcal{G} is a \mathcal{P} -generic filter. We claim that if we take

$$\ll = \cup\{\leq_p : p \in \mathcal{G}\}.$$

then $\langle \omega_2 \times \lambda, \ll \rangle$ is a $\langle \lambda \rangle_{\omega_2}$ -poset with an $\langle \omega \rangle_{\omega_2}$ -stem. By standard density arguments, \ll is a partial order on $\omega_2 \times \lambda$ which satisfies (T4). Moreover, every $p \in P$ satisfies (2), so (T2) also holds. Finally the function

$$i = \bigcup\{i_p : p \in \mathcal{G}\}$$

witnesses (T3) because every $p \in P$ satisfies (3.2). \square

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