

ON A PROBLEM IN COMBINATORIAL GEOMETRY

P. ERDŐS, G. PURDY and E.G. STRAUS*

Dept. of Mathematics, Texas A & M University, College Station, TX 77843, USA

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1. Introduction

Let S be a set of n points in the plane not all on one straight line. Let T be the maximal area and t the minimal area of nondegenerate triangles with all vertices in S . Let $f(S) = T/t$ and $f(n) = \inf_S f(S)$.

In this note we prove that

$$(1.1) \quad f(n) = \lfloor \frac{1}{2}(n-1) \rfloor$$

for all sufficiently large n (we conjecture that (1.1) holds for all $n > 5$). It is known [1] that $f(5) = \frac{1}{2}(\sqrt{5} + 1)$, attained in case 5 is the set of vertices of a regular pentagon.

The fact that $f(n) \leq \lfloor \frac{1}{2}(n-1) \rfloor$ can be verified by considering the set

$$S_0 = \{(0, 0), (1, 0), \dots, (\lfloor \frac{1}{2}(n-1) \rfloor, 0), (0, 1), (1, 1), \dots, (\lfloor \frac{1}{2}(n-2) \rfloor, 1)\}$$

of equally spaced points on two parallel lines.

We shall use the notation $\mathcal{C}(S)$ for the convex hull of S , $\mathcal{T}(\mathcal{C})$ for a triangle of maximal area contained in the convex set \mathcal{C} and $|X|$ for the area of the convex set X .

In Section 2 we state and prove our main result. In Section 3 we give some related problems and conjectures.

We need the following result about extremal values of $|\mathcal{C}|/|\mathcal{T}(\mathcal{C})|$.

1.2. Theorem. For all convex regions \mathcal{C} we have

$$|\mathcal{C}|/|\mathcal{T}(\mathcal{C})| \leq \frac{4\pi}{3\sqrt{3}} < 2.4184.$$

The maximum is attained if and only if \mathcal{C} is elliptic.

Proof. See [2].

Finally we need a result about the triangulation of polygons.

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1.3. Theorem. Let S be a set of n points in the plane not all on one straight line. If there are k points of S on the boundary of the convex hull $\mathcal{C}(S)$ and $n-k$ in the interior of $\mathcal{C}(S)$, then any triangulation of $\mathcal{C}(S)$ whose vertices are all the points of S contains $2n-k-2$ triangles.

Proof. Obvious by induction on n .

2. Evaluation of $f(n)$

In this section we prove our main result.

2.1. Theorem. If $n > 37$, then

$$f(n) = \lceil \frac{1}{3}(n-1) \rceil.$$

If n is even and $n \geq 38$, then any set S with $f(S) = f(n)$ is affine equivalent to the set S_0 of the introduction.

The proof is via a sequence of lemmas.

2.2. Lemma. If $f(S) = f(n)$ and S has k points on the boundary of $\mathcal{C}(S)$, then

$$k > \left(2 - \frac{2\pi}{3\sqrt{3}}\right)(n-1) > 0.7908(n-1).$$

Proof. By Theorem 1.3 we have

$$(2.3) \quad |\mathcal{C}| \geq (2(n-1) - k)t$$

and by Theorem 1.2 we have

$$(2.4) \quad |\mathcal{C}| < \frac{4\pi}{3\sqrt{3}} T \leq \frac{4\pi}{3\sqrt{3}} \left\lceil \frac{n-1}{2} \right\rceil t \leq \frac{2\pi}{3\sqrt{3}} (n-1)t.$$

The result now follows from (2.3) and (2.4).

2.5. Lemma. If $f(S) = f(n)$ and $n > 37$, then every maximal triangle \mathcal{T} has one edge on the boundary of $\mathcal{C}(S)$.

Proof. Assume that there exists a \mathcal{T} with no edge on the boundary of \mathcal{C} and triangulate the three portions of \mathcal{C} which are exterior of \mathcal{T} using the points of S on the boundary of \mathcal{C} . Assume that the three boundary arcs contain k_1, k_2 and k_3 points of S respectively. Then $k_1 + k_2 + k_3 = k + 3$. By Theorem 1.3 the triangulation of $\mathcal{C} \setminus \mathcal{T}$ yields $k_1 + k_2 + k_3 - 6 = k - 3$ triangles. Thus, by Lemma 2.2,

$$|\mathcal{C} - \mathcal{T}| \geq (k-3)t \geq \left(\left(2 - \frac{2\pi}{3\sqrt{3}}\right)(n-1) - 3 \right)t.$$

On the other hand

$$|\mathcal{C} - \mathcal{T}| < \left(\frac{4\pi}{3\sqrt{3}} - 1\right)T \leq \left(\frac{4\pi}{3\sqrt{3}} - 1\right)\left[\frac{n-1}{2}\right]t.$$

Thus

$$\left(2 - \frac{2\pi}{3\sqrt{3}}\right)(n-1) - 3 < \left(\frac{2\pi}{3\sqrt{3}} - \frac{1}{2}\right)(n-1)$$

or

$$n-1 < 6 / \left(5 - \frac{8\pi}{3\sqrt{3}}\right) < 36.7644,$$

that is $n \leq 37$.

2.6. Lemma. *If a convex region \mathcal{C} contains a maximal triangle \mathcal{T} with two sides on the boundary of \mathcal{C} , then $|\mathcal{C}| \leq 2|\mathcal{T}|$.*

Proof. Let $\mathcal{T} = \triangle ABC$ with sides AB and AC on the boundary of \mathcal{C} . Then through the vertex B there is a line of support l of \mathcal{C} parallel to AC and through the vertex C there is a line of support l' of \mathcal{C} parallel to AB . Let D be the point of intersection of l and l' then \mathcal{C} is contained in the parallelogram $ABDC$ whose area is $2|\mathcal{T}|$.

2.7. Lemma. *If $f(S) = f(n)$ and $|\mathcal{C}(S)| \leq 2T$, then $f(n) = \lceil \frac{1}{2}(n-1) \rceil$.*

Proof. By Theorem 1.3 we have

$$(2(n-1) - k)t \leq |\mathcal{C}| \leq 2T \leq 2\lceil \frac{1}{2}(n-1) \rceil t$$

and hence

$$\lceil \frac{1}{2}(n-1) \rceil \geq T/t \geq n-1 - \frac{1}{2}k \geq \frac{1}{2}n-1.$$

This proves the lemma in case n is even.

If n is odd pick a maximal triangle \mathcal{T} . If \mathcal{T} contains at least $\frac{1}{2}(n+3)$ points of S , then a triangulation of \mathcal{T} yields $T \geq \frac{1}{2}(n-1)t$ and we are finished. We may therefore assume that \mathcal{T} contains $n_0 \leq \frac{1}{2}(n+1)$ points of S . But then the closure of $\mathcal{C} \setminus \mathcal{T}$ contains at least $n - n_0 + 2 = \frac{1}{2}(n+3)$ points and triangulation of $\mathcal{C} - \mathcal{T}$ gives at least $\frac{1}{2}(n-1)$ triangles. Thus

$$T \geq |\mathcal{C} \setminus \mathcal{T}| \geq \frac{1}{2}(n-1)t.$$

In view of Lemmas 2.5, 2.6 and 2.7 we may assume from now on that for all maximal triangles \mathcal{T} the set $\mathcal{C} \setminus \mathcal{T}$ consists of two convex regions. By affine transformation we can normalize the situation so that one maximal triangle is an equilateral $\triangle ABC$ with side AB on the boundary of \mathcal{C} (Fig. 1). By the maximality of $\triangle ABC$ we have that \mathcal{C} is contained in the trapezoid $ABDE$, and by assumption we can choose ABC so that there are points of S in the interiors of

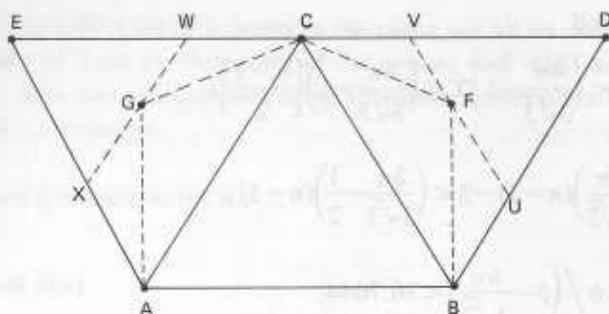


Fig. 1.

$\triangle BCD$ and $\triangle ACE$. Let F be the point of S in $\triangle BCD$ with maximal distances from BC and G the point of S in $\triangle ACE$ with maximal distance from AC . Then \mathcal{C} is contained in the hexagon $ABUVWX$ where UV is the line through F parallel to BC and WX is the line through G parallel to AC .

Now $|\triangle BUF| + |\triangle CFV|$ is maximal when $|\triangle BCF| = \frac{1}{2}T$ and therefore, for $\mathcal{P} = ABFCG$, we have

$$|\mathcal{C}| - |\mathcal{P}| \leq |ABUVWX| - |\mathcal{P}| \leq \frac{1}{2}T \leq \frac{1}{4}(n-1)t.$$

Thus there cannot be more than $\frac{1}{4}(n-1)$ points of S exterior to \mathcal{P} and hence there are

$$(2.8) \quad k_1 \geq k - \frac{1}{4}(n-1) > 0.5408(n-1)$$

points of S which are boundary points of \mathcal{C} on the boundary of \mathcal{P} .

2.9. Lemma. *If an edge \mathcal{E} of the boundary of \mathcal{C} contains $c(n-1) + 1$ points of S and $f(S) = f(n)$, then either all points of $S \setminus \mathcal{E}$ are collinear, or*

$$c < \frac{2\pi}{3\sqrt{3}} - 1 + \frac{2}{n-1} < 0.2092 + \frac{2}{n+1}.$$

Proof. Let the length of \mathcal{E} be L . The shortest interval determined by points of S on \mathcal{E} has length at most $L/c(n-1)$. Thus any point of $S \setminus \mathcal{E}$ has distance at least

$$h = \frac{2tc(n-1)}{L} \geq \frac{4c}{L}T$$

from \mathcal{E} . Since $|\mathcal{C}| > 2T$ the two edges adjacent to \mathcal{E} have sum of interior angles $> \pi$ with \mathcal{E} . Thus the part of \mathcal{C} within a distance h of \mathcal{E} has area greater than

$$hL \geq 4cT.$$

Thus, by Theorem 1.2, the convex hull $\mathcal{C}_1 = \mathcal{C}(S \setminus \mathcal{E})$ has area less than

$$\left(\frac{4\pi}{3\sqrt{3}} - 4c\right)T \leq \left(\frac{2\pi}{3\sqrt{3}} - 2c\right)(n-1)t$$

and contains at least $(1-c)(n-1)$ points of S . If $|\mathcal{C}_1| > 0$ then triangulation of \mathcal{C}_1 yields at least $(1-c)(n-1) - 2$ triangles. Hence

$$\left(\frac{2\pi}{3\sqrt{3}} - 2c\right)(n-1)t > (1-c)(n-1)t - 2t$$

so that

$$c < \frac{2\pi}{3\sqrt{3}} - 1 + \frac{2}{n-1} < 0.2092 + \frac{2}{n-1}.$$

Comparing inequality (2.8) and Lemma 2.9 we see that there must be at least three edges of \mathcal{P} on the boundary of \mathcal{C} . Moreover there must be two adjacent edges of \mathcal{P} which together contain more than

$$(2.10) \quad 2 + (0.5408 - 0.2092)(n-1) = 2 + 0.3316(n-1)$$

point of S .

2.11. Lemma. *If two adjacent edges of \mathcal{P} lie on the boundary of \mathcal{C} and contain $2 + c_1(n-1)$ and $2 + c_2(n-1)$ points of S respectively, where $c_1 \geq c_2 > 0$, then for $f(S) = f(n)$ and $n > 37$ we have*

$$c_1 + c_2 < \frac{1}{4} + (c_1 - c_2)^2.$$

Proof. Let \mathcal{A}, \mathcal{B} be the two edges and V the common vertex. Let a, b be the lengths of \mathcal{A}, \mathcal{B} . Since by assumption no triangle of maximal area has two edges on the boundary of \mathcal{C} , it follows that the triangle \mathcal{T}_0 with sides \mathcal{A}, \mathcal{B} has area $|\mathcal{T}_0| < T$. Let xa be the minimal distance from V to $(S \cap \mathcal{A}) \setminus \mathcal{B}$ and let yb be the minimal distance from V to $(S \cap \mathcal{B}) \setminus \mathcal{A}$. Then \mathcal{A} contains an interval of length at most $(1-x)a/c_1(n-1)$ with endpoints in S . This interval, together with the nearest point to V of $(S \cap \mathcal{B}) \setminus \mathcal{A}$ forms a triangle whose area is at most

$$\frac{y(1-x)}{c_1(n-1)} |\mathcal{T}_0| < \frac{y(1-x)}{c_1(n-1)} T \leq \frac{y(1-x)}{c_1(n-1)} \frac{n-1}{2} t = \frac{y(1-x)}{2c_1} t.$$

Thus we must have

$$(2.12) \quad 2c_1 < y - xy.$$

In a completely analogous manner we get

$$(2.13) \quad 2c_2 < x - xy.$$

Combining (2.12) and (2.13) we have

$$xy > (2c_1 + xy)(2c_2 + xy)$$

so

$$\begin{aligned} 0 &\leq (xy + c_1 + c_2 - \frac{1}{2})^2 < (c_1 + c_2 - \frac{1}{2})^2 - 4c_1c_2 \\ &= (c_1 - c_2)^2 - (c_1 + c_2) + \frac{1}{4} \end{aligned}$$

as was to be proved.

Now, by (2.10), we have

$$\begin{aligned} c_1 + c_2 &> 0.3316 - \frac{1}{n-1}, \\ c_1 - c_2 &= 2c_1 - (c_1 + c_2) < 0.4184 + \frac{4}{n-1} - 0.3316 + \frac{1}{n-1} \\ &= 0.0878 + \frac{5}{n-1}. \end{aligned}$$

Thus Lemma 2.11 yields

$$0.3316 - \frac{1}{n-1} < \left(0.0878 + \frac{5}{n-1}\right)^2 + 0.25$$

which is false for $n > 37$.

Thus there must be at least four edges of the pentagon \mathcal{P} on the boundary of \mathcal{C} . Hence there can be points of S exterior to \mathcal{P} in at most one of the triangles $\triangle BCD$ or $\triangle ACE$. Thus

$$|\mathcal{C}| - |\mathcal{P}| \leq \frac{1}{4}T \leq \frac{1}{8}(n-1)t.$$

Hence the number of points of S exterior to \mathcal{P} is no greater than $\frac{1}{8}(n-1)$ and hence (2.8) becomes

$$(2.8) \quad k_1 \geq k - \frac{1}{8}(n-1) > 0.6658(n-1).$$

If there are only four edges of \mathcal{P} on the boundary of \mathcal{C} then there must be an adjacent pair containing more than $0.3329(n-1)$ points of S in contradiction to Lemma 2.11.

Finally, if $\mathcal{C} = \mathcal{P}$, then $k_1 = k > 0.7908(n-1)$. According to [1] we have

$$|\mathcal{P}| \leq \sqrt{5}T < 2.236T.$$

Thus Lemma 2.9 can be improved to show that, if any side \mathcal{E} of \mathcal{P} contains $c(n-1)+1$ points of S , then

$$(2.14) \quad c \leq \frac{1}{2}\sqrt{5} - 1 + \frac{2}{n-1} < 0.1180 + \frac{2}{n-1}.$$

The same argument as in the proof of Lemma 2.2 now yields that

$$(2.15) \quad \begin{aligned} k &\geq (2 - \frac{1}{2}\sqrt{5})(n-1) > 0.8919(n-1) \\ &> 5(0.1180(n-1) + 2) \end{aligned}$$

for $n > 37$, in contradiction to (2.14).

Thus \mathcal{C} has no more than four sides. Hence $|\mathcal{C}| \leq 2T$ and the first part of Theorem 2.1 follows from Lemma 2.7. To prove the affine equivalence of extremal sets to S_0 for even n , divide the quadrilateral \mathcal{C} along a diagonal. One of the two triangular parts, \mathcal{T}_0 , must contain at least $\lceil \frac{1}{2}(n+3) \rceil$ points of S . Thus

triangulation of \mathcal{T}_0 yields at least $\lfloor \frac{1}{2}(n-1) \rfloor$ triangles. If $f(S) = f(n)$, we must have $|\mathcal{T}| = T$ and all points of $\mathcal{T}_0 \cap S$ on the boundary of \mathcal{T}_0 . Since all points of S on the boundary of \mathcal{C} appear on two opposite edges of \mathcal{C} , it follows that all but one of the points of $\mathcal{T}_0 \cap S$ are on one side of \mathcal{T}_0 . Since all triangles in the triangulation must have area $t = T / \lfloor \frac{1}{2}(n-1) \rfloor$ it follows that there are exactly $\lfloor \frac{1}{2}(n+1) \rfloor$ equally spaced points on one side \mathcal{A} of \mathcal{C} . The argument applies equally to the triangle \mathcal{T}_0 with side \mathcal{A} and opposite vertex at the other endpoint of the opposite side. Hence $|\mathcal{T}_0| = |\mathcal{T}_0| = T$ and the opposite side, \mathcal{B} , is parallel to \mathcal{A} , and contains $\lfloor \frac{1}{2}n \rfloor$ points of S . If n is even this shows that \mathcal{C} is a parallelogram and that the points of \mathcal{B} are also equally spaced. For odd n we can vary the length of \mathcal{B} and the spacing of the $\lfloor n/2 \rfloor$ points on \mathcal{B} as long as $b \leq a$ and none of the intervals on \mathcal{B} has length less than $2a/(n-1)$ where a, b are the lengths of \mathcal{A}, \mathcal{B} .

The condition $n > 37$ was used primarily in the proof of Lemma 2.2. With the use of the integral part $\lfloor \frac{1}{2}(n-1) \rfloor$ instead of $\frac{1}{2}(n-1)$ it is easy to prove the result for smaller even n , but it would prove tedious to analyze all cases with $5 < n < 38$.

We only comment that for small odd n there are other extremal n -tuples. Thus for $n=7$ the set S consisting of the vertices and center of a regular hexagon also yields $f(S) = 3$, and for $n=9$ the square 3×3 lattice S also yields $f(S) = 4$.

3. Related problems and conjectures

One can pose the analogous problem in higher dimensions.

3.1. Problem. Let S be a set of n points in E^m not all in one hyperplane and let $f_m(S)$ denote the ratio of the maximal and minimal volumes of nondegenerate simplices with vertices in S . Find $f_m(n) = \inf_S f_m(S)$.

In analogy to the solution for the case $m=2$ it is easy to verify that

$$(3.2) \quad f_m(n) \leq \lfloor (n-1)/m \rfloor$$

by taking equally spaced points on parallel lines through the vertices of an $(m-1)$ -simplex. It is reasonable to conjecture that equality holds in (4.2) for sufficiently large n .

An apparently different problem seems to lead to the same construction.

3.3. Problem. Let S be a set of n points in E^m not all in one hyperplane. What is the minimal number $g_m(n)$ of distinct volumes of nondegenerate simplices with vertices in S ?

The above example shows that $g_m(n) \leq \lfloor (n-1)/m \rfloor$ and we conjecture that equality holds at least for sufficiently large n .

Theorem 1.2 and Lemmas 2.5 and 2.6 suggest various extensions of Sas' results [2].

3.4. Problem. If the inscribed triangle \mathcal{T} of maximal area has one side on the boundary of the convex domain \mathcal{C} what is $\max_{\mathcal{C}} |\mathcal{C}|/|\mathcal{T}|$?

Sas' theorem is valid for the maximal areas of an n -gon, $n \geq 3$, inscribed in a convex curve \mathcal{C} that is, the n -gon contains a maximal proportion of $|\mathcal{C}|$ if and only if \mathcal{C} is elliptic and the n -gon is affine-regular. This leads to generalizations of Problem 3.4.

3.5. Problem. Let $\mathcal{P} = p_1 p_2 \cdots p_n$ be inscribed in the convex curve \mathcal{C} and let $1 \leq i_1 \leq i_2 < \cdots < i_k \leq n$. If the edges $P_i P_{i+1}$, $j = 1, 2, \dots, k$, are on \mathcal{C} what is $\max_{\mathcal{C}} |\mathcal{C}|/|\mathcal{P}|$?

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