

# On Cycle-Complete Graph Ramsey Numbers

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## ABSTRACT

A new upper bound is given for the cycle-complete graph Ramsey number  $r(C_m, K_n)$ , the smallest order for a graph which forces it to contain either a cycle of order  $m$  or a set of  $n$  independent vertices. Then, another cycle-complete graph Ramsey number is studied, namely  $r(\leq C_m, K_n)$  the smallest order for a graph which forces it to contain either a cycle of order  $l$  for some  $l$  satisfying  $3 \leq l \leq m$  or a set of  $n$  independent vertices. We obtain the exact value of  $r(\leq C_m, K_n)$  for all  $m > n$  and an upper bound which applies when  $m$  is large in comparison with  $\log n$ .

## 1. INTRODUCTION

The *Ramsey number*  $r(C_m, K_n)$  is the smallest positive integer  $p$  such that every graph of order  $p$  contains either a cycle of order  $m$  or a set of  $n$  independent vertices. The study of  $r(C_m, K_n)$  was initiated by Bondy and Erdős in [3]. Among their several results concerning Ramsey numbers for cycles, there is a proof that, for all values of  $m$  and  $n$ ,

$$r(C_m, K_n) \leq mn^2. \quad (1.1)$$

In the first part of this paper we shall give an improvement of the

Bondy-Erdős bound by proving that, for all  $m \geq 3$  and  $n \geq 2$ ,

$$r(C_m, K_n) \leq \{(m-2)(n^{1/k} + 2) + 1\}(n-1), \quad (1.2)$$

where  $k = [(m-1)/2]$ . For the particular case of  $m=4$ , we shall give a further modest improvement of (1.2) by showing that

$$r(C_4, K_n) < c(n \log \log n / \log n)^2 \quad (n \rightarrow \infty). \quad (1.3)$$

The Ramsey number  $r(\leq C_m, K_n)$  is the smallest positive integer  $p$  such that every graph of order  $p$  contains either a cycle of order  $l$  for some  $l$  satisfying  $3 \leq l \leq m$  or a set of  $n$  independent vertices. In one of the earliest applications of the probabilistic method in graph theory, one of the authors [P. E.] obtained a lower bound for  $r(\leq C_m, K_n)$ . Using a theorem of Lovász, Spencer has obtained an improved lower bound for  $r(\leq C_m, K_n)$ ; in [10], Spencer proves that if  $m$  is fixed and  $n$  is sufficiently large, then

$$r(\leq C_m, K_n) \geq c(n/\log n)^{(m-1)/(m-2)}. \quad (1.4)$$

In this paper, we shall give the exact value of  $r(\leq C_m, K_n)$  for all  $m > n$  and an upper bound which applies when  $m$  is large in comparison with  $\log n$ . Interest in  $r(\leq C_m, K_n)$  stems from several sources. In particular, recent work has pointed to the fact that the class of Ramsey numbers typified by  $r(\leq C_m, K_n)$  occur very naturally in the study of Ramsey theory for multiple colors [6].

## 2. NOTATION

For the most part, our notation will be in conformity with that used in [1], [2], or [9]. All graphs considered will be finite, undirected, and without loops or multiple edges. The graph with vertex set  $V$  and edge set  $E$  will be denoted  $G(V, E)$ . The *order* of the graph is  $|V|$  and its *size* is  $|E|$ .

For  $X \subseteq V$ , the subgraph of  $G$  induced by  $X$  will be denoted  $\langle X \rangle$ . The set of all vertices adjacent to at least one vertex of  $X$  will be denoted  $\Gamma(X)$ . In the special case where  $X$  consists of a single vertex, i.e.,  $X = \{v\}$ ,  $\Gamma(v)$  is called the *neighborhood* of  $v$ . If  $u$  and  $v$  are two vertices of the graph, the *distance*  $d(u, v)$  is the length of the shortest path which connects  $u$  and  $v$ . On occasion, in writing  $\langle X \rangle$ ,  $\Gamma(X)$ , or  $d(u, v)$  there will be a reason for emphasizing the identity of the graph to which these symbols refer. Accordingly, we shall write, when necessary,  $\langle X \rangle_G$ ,  $\Gamma_G(X)$ , or  $d_G(u, v)$ .

Whenever  $x$  represents a real number, the symbols  $[x]$  and  $\{x\}$  will signify the greatest integer  $\leq x$  and the least integer  $\geq x$ , respectively.

### 3. AN UPPER BOUND FOR $r(C_m, K_n)$

In the proof of our upper bound for  $r(C_m, K_n)$ , the graphical property now defined plays a central role.

**Definition.** Let  $l$  be a natural number. A graph  $G$  has property  $\Pi_l$  if, for every independent set  $X$ ,  $|\Gamma(X)| \geq l|X|$ .

For our purposes, it will suffice to know the existence of an induced subgraph having property  $\Pi_l$ .

**Lemma.** Let  $G(V, E)$  be a graph of order at least  $(l+1)(n-1)$  which contains no set of  $n$  independent vertices. Then  $G$  contains an induced subgraph  $\langle W \rangle$  which has property  $\Pi_l$ .

**Proof.** Assume, to the contrary, that none of the induced subgraphs of  $G$  has property  $\Pi_l$ . Thus, if  $\langle W \rangle$  is any induced subgraph of  $G$ , there exists an independent set  $X \subseteq W$  such that  $Y = \Gamma_{\langle W \rangle}(X)$  satisfies  $|Y| < l|X|$ . With this property in mind, define  $G_1 = G$ ,  $W_1 = V$ , and for  $i = 1, 2, \dots$ , set  $W_{i+1} = W_i - Z_i$  and  $G_{i+1} = \langle W_{i+1} \rangle$ , where  $Z_i = X_i \cup Y_i$ ,  $X_i$  is an independent set, and  $Y_i = \Gamma_{G_i}(X_i)$  satisfies  $|Y_i| < l|X_i|$ . Since  $G$  is finite and  $|X_i| \geq 1$  for  $i = 1, 2, \dots$ , there exists a positive integer  $M$  such that  $W_{M+1} = \emptyset$ ,

$$V = \bigcup_{i=1}^M Z_i$$

is a partition of  $V$ , and

$$X = \bigcup_{i=1}^M X_i$$

is an independent set in  $G(V, E)$ . Since  $|Z_i| < (l+1)|X_i|$  for  $i = 1, 2, \dots, M$ , we find that  $|V| < (l+1)|X|$  and this result contradicts the hypothesis that  $G$  is of order at least  $(l+1)(n-1)$  and that it contains no set of  $n$  independent vertices. ■

We are now prepared to prove the main result.

**Theorem 1.** For all  $m \geq 3$  and  $n \geq 2$ , the cycle-complete graph Ramsey number  $r(C_m, K_n)$  satisfies

$$r(C_m, K_n) \leq \{(m-2)(n^{1/k} + 2) + 1\}(n-1),$$

where  $k = [(m-1)/2]$ .

**Proof.** Assume  $G(V, E)$  to be a graph of order  $(l+1)(n-1)$  which contains no cycle of order  $m$  and no set of  $n$  independent vertices. We shall show that if  $l \geq \{(m-2)(n^{1/k} + 2)\}$ , these assumptions about  $G$  lead to a contradiction.

By means of the preceding lemma, we know that  $G$  contains an induced subgraph  $H = \langle W \rangle$  which has property  $\Pi_l$ . By heredity,  $H$  contains no  $C_m$  and no set of  $n$  independent vertices. Henceforth, we shall disregard the original graph  $G$  and, instead, focus our attention on the graph  $H$  and its assumed properties.

Let  $x$  be an arbitrary vertex of  $H$ . We may assume that  $H$  is connected. Otherwise, we would simply work within the connected component of  $H$  which contains  $x$ . Set  $k = [(m-1)/2]$  and, for  $i = 1, 2, \dots, k$ , define  $A_i = \{v \mid d_H(x, v) = i\}$ . We shall refer to the set  $A_i$  as the  $i$ th level.

A central part of our argument is the claim that for each  $i$ ,  $i = 1, 2, \dots, k$ , the induced subgraph  $\langle A_i \rangle$  contains an independent set of at least  $\{|A_i|/(m-2)\}$  vertices. The justification of this claim is based on the construction of a spanning tree,  $T$ , and the introduction of a total ordering for each of the sets  $A_i$ ,  $i = 1, 2, \dots, k$ . These processes are carried forth simultaneously according to a recursive procedure which we now describe. First, order the vertices of  $A_1$  in an arbitrary way. Assuming that the process has been carried out to the  $i$ th level, proceed as follows. Make each vertex in  $A_{i+1}$  adjacent in  $T$  to the least element of  $A_i$  to which it is adjacent in  $H$ . Then order the vertices of  $A_{i+1}$  in conformity with the following requirement. If vertices  $y$  and  $z$  in  $A_{i+1}$  are adjacent in  $T$  to vertices  $u$  and  $v$ , respectively, in  $A_i$  and if  $u < v$ , then  $y < z$ .

A sequence of vertices  $v_1, v_2, \dots, v_M$  in  $A_i$  satisfying  $v_1 < v_2 < \dots < v_M$  will be called a *monotonic sequence*.

If, for such a sequence of vertices,  $(v_1, v_2, \dots, v_M)$  is a path  $\langle A_i \rangle$ , then  $P = (v_1, v_2, \dots, v_M)$  will be called a *monotonic path*. We now claim that since  $H$  contains no  $C_m$ , there can be no monotonic path of order  $m-1$ . Suppose that there were such a path,  $P = (v_1, v_2, \dots, v_{m-1})$ . Let

$$d^* = \max_i d_T(v_i, v_{i+1}) = d_T(v_s, v_{s+1}).$$

A consideration of the relationship between the construction of  $T$  and the ordering of the sets  $A_i$ ,  $i = 1, 2, \dots, k$ , shows that, in fact,  $d_T(v_r, v_t) = d^*$  for all  $r \leq s$  and  $t \geq s+1$ . Moreover, it is apparent that, whatever the value of  $d^*$ , there exist vertices  $v_r$  and  $v_t$  such that the subpath of  $P$ ,  $(v_r, v_{r+1}, \dots, v_t)$ , together with the path connecting  $v_r$  and  $v_t$  in  $T$ , forms a cycle of order  $m$ . Since  $H$  contains no such cycle, we have proved that  $\langle A_i \rangle$  contains no monotonic path of order  $m-1$ .

We now employ the pigeonhole principle to prove that  $\langle A_i \rangle$  contains an independent set of at least  $\{|A_i|/(m-2)\}$  vertices. To each vertex  $v$  in  $\langle A_i \rangle$  assign as a label the order of the longest monotonic path in  $\langle A_i \rangle$  which has  $v$  as its least element and note that, by definition, two vertices having the same label must be independent. Since there is no monotonic path of order  $m-1$ , the possible labels are the integers 1 through  $m-2$ . An application of the pigeonhole principle yields at least  $\{|A_i|/(m-2)\}$  vertices having the same label and these are necessarily independent.

For  $i=1, 2, \dots, k$ , let  $B_i$  denote a maximal independent subset of  $A_i$  and let  $r_i = |B_i|/|B_{i-1}|$  with  $|B_0| = 1$ . Since  $H$  has property  $\Pi_l$ , we know that  $|\Gamma(B_i)| \geq l|B_i|$  for  $i=1, 2, \dots, k$ . Also, since  $\Gamma(B_i) \subseteq A_{i-1} \cup A_i \cup A_{i+1}$  and  $|B_i| \geq \{|A_i|/(m-2)\}$ , it follows that for  $i=1, 2, \dots, k$ ,

$$(m-2)(|B_{i-1}| + |B_i| + |B_{i+1}|) \geq l|B_i|. \quad (3.1)$$

In terms of the ratio,  $r_i$ , this inequality becomes

$$r_{i+1} \geq \left( \frac{l}{m-2} - 1 \right) - \frac{1}{r_i}, \quad i=1, 2, \dots, k-1. \quad (3.2)$$

If we now set  $l = \{(m-2)(n^{1/k} + 2)\}$ , then

$$r_{i+1} \geq n^{1/k} + 1 - 1/r_i, \quad i=1, 2, \dots, k-1. \quad (3.3)$$

Since  $r_1 \geq \{l/(m-2)\} > n^{1/k}$ , it follows by induction using (3.3) that  $r_i > n^{1/k}$  for  $i=1, 2, \dots, k$ , and hence  $|B_k| = r_1 r_2 \cdots r_k > n$ , contradicting our assumption that  $H$  contains no set of  $n$  independent vertices. ■

We note that for the case where  $m$  is even, an improvement of (1.1) is already available from a result of Bondy and Simonovits [4], used in conjunction with Turán's theorem. With  $m=2l$ , the upper bound obtained this way is, asymptotically,  $(200 \ln)^{l(l-1)}$  ( $l$  fixed,  $n \rightarrow \infty$ ). The upper bound given by Theorem 1 is, asymptotically,  $2(l-1)n^{l(l-1)}$ .

#### 4. THE SPECIAL CASE OF $r(C_4, K_n)$

With two exceptions, the bound given by Theorem 1 represents progress toward understanding the behavior of  $r(C_m, K_n)$  when  $m$  is fixed and  $n$  is large. The first exception,  $m=3$ , is classical. Concerning this well studied case, it is known [cf. 7, Chap. 5] that there exist constants  $c_1$  and  $c_2$  such that, for all sufficiently large  $n$ ,

$$\frac{c_1 n^2}{(\log n)^2} < r(C_3, K_n) < \frac{c_2 n^2 (\log \log n)}{\log n}.$$

Concerning the second exception,  $m = 4$ , less is known. However, by making use of the method of Graver and Yackel [8], [cf. 7, pp. 26–29], one can prove a stronger statement than that which is contained in Theorem 1. The theorem which follows was first obtained by Spencer and one of the authors [P. E.], but the proof has not been published. It is included here for the sake of completeness.

### Theorem 2

$$r(C_4, K_n) < c \left( \frac{n \log \log n}{\log n} \right)^2 \quad (n \rightarrow \infty).$$

**Proof.** Let  $G(V, E)$  be a graph of order  $r(C_4, K_{n+1}) - 1$  which contains no  $C_4$  and no set of  $n + 1$  independent vertices. Since the graph obtained from  $G$  by adding an isolated vertex must contain a set of  $n + 1$  independent vertices, we know that  $G$  contains a set  $S$  of  $n$  independent vertices. Let  $T = V - S$  and, for every  $X \subseteq T$ , let  $R(X) = \Gamma(X) \cap S$ . For  $k = 0, 1, \dots, n$ , define  $T_k = \{x \mid x \in T, |R(x)| = k\}$ , and let  $N_k = |T_k|$ .

Since  $S$  is not part of a larger independent set, it follows that  $N_0 = 0$ . Also,  $N_1 \leq 2n$ , as we can see by the following argument. If  $N_1 \geq 2n + 1$ , there are three vertices in  $T$  which are adjacent to the same single vertex in  $S$ . If any two of these three vertices are independent, then  $G$  has a set of  $n + 1$  independent vertices. Otherwise,  $G$  certainly contains a  $C_4$ .

Note that no two vertices in  $T$  can be adjacent to a common pair of vertices in  $S$ , for then  $G$  would contain a  $C_4$ . Since every vertex in  $\bigcup_{k=m}^n T_k$  accounts for at least  $\binom{m}{2}$  pairs of vertices in  $S$ , it follows that for all  $m \geq 2$ ,

$$\sum_{k=m}^n N_k \leq \frac{\binom{n}{2}}{\binom{m}{2}} = \frac{n(n-1)}{m(m-1)}. \quad (4.1)$$

Thus, with the choice of  $m$  left at our discretion, we may write

$$r(C_4, K_n) < r(C_4, K_{n+1}) \leq 1 + 3n + \sum_{k=2}^m N_k + \frac{n(n-1)}{m(m+1)}. \quad (4.2)$$

The required bound on  $\sum_{k=2}^m N_k$  can be realized by proving that if  $N_k$  is too large, then there must exist a set  $A \subseteq S$  and an independent set  $C$  in  $\langle T_k \rangle$  such that  $R(C) \subseteq A$  and  $|C| > |A|$ . If this were so, then  $G$  would contain a set of at least  $n + 1$  independent vertices. The situation just described is illustrated in Fig. 1. The existence of such an independent set in  $\langle T_k \rangle$  is tied to constraints on the edges of  $\langle T_k \rangle$  dictated by the fact that

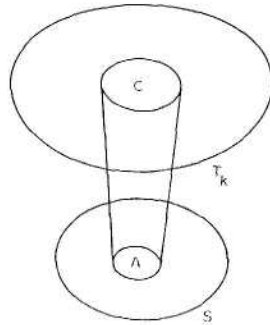


FIGURE 1. Existence of a larger independent set.

$G$  contains a  $C_4$ . Note that if  $x$  and  $y$  are any two vertices of  $T$ , then  $|R(x) \cap R(y)|$  is either 0 or 1, for, otherwise,  $G$  contains a  $C_4$ . Accordingly, we classify each edge  $\{x, y\}$  in  $\langle T_k \rangle$  as either *type 0* or *type 1*. Let  $M_{k,0}$  and  $M_{k,1}$  denote the number of type 0 and type 1 edges, respectively, in  $\langle T_k \rangle$  and let  $M_k = M_{k,0} + M_{k,1}$ .

Let  $x$  be an arbitrary vertex in  $T_k$  and suppose that  $x$  is incident in  $\langle T_k \rangle$  with edges  $\{x, y_1\}, \dots, \{x, y_l\}$ . Since  $G$  contains no  $C_4$ , the sets  $R(y_i)$ ,  $i = 1, \dots, l$ , are disjoint and, therefore,  $kl \leq n$ . Similarly, suppose that of the incident edges,  $\{x, y_1\}, \dots, \{x, y_m\}$  are of type 1. Again, since  $G$  contains no  $C_4$ , the vertices  $R(x) \cap R(y_i)$ ,  $i = 1, \dots, m$ , are distinct and, therefore,  $m \leq k$ . Finally, the degree bounds,  $l \leq n/k$  and  $m \leq k$ , imply the edge bounds,

$$M_k \leq N_k(n/2k) \tag{4.3}$$

and

$$M_{k,1} \leq N_k(k/2), \tag{4.4}$$

respectively.

At this juncture, we employ the probabilistic method to prove that, unless  $N_k < 5n^2/kn^{1/k}$ , there exist  $A \subseteq S$  and  $C \subseteq T_k$  such that  $C$  is an independent set,  $R(C) \subseteq A$ , and  $|C| > |A|$ . Let  $\Omega$  denote the sample space consisting of all subsets of  $S$  and, with the value of  $p$  to be chosen later, assign the probability  $P(A) = p^{|A|}(1-p)^{n-|A|}$  to each  $A \subseteq S$ . Equivalently, each vertex in  $S$  has independent probability  $p$  of belonging to  $A$ . Corresponding to each  $A \subseteq S$ , define

$$B = \{x \mid x \in T_k, R(x) \subseteq A\}$$

and let  $C$  denote a maximal independent subset of  $B$ .

Let us introduce the random variables  $X_A = |A|$  and  $X_C = |C|$ . The

expected value of  $X_A$  is

$$E(X_A) = np. \quad (4.5)$$

It is difficult to ascertain the value of  $|C|$ , but we may obtain a lower bound for  $|C|$  by subtracting the size of  $\langle B \rangle$  from  $|B|$ . It follows that the expected value of  $X_C$  satisfies

$$E(X_C) \geq N_k p^k - (M_{k,0} p^{2k} + M_{k,1} p^{2k-1}). \quad (4.6)$$

Using the bounds given in (4.3) and (4.4), together with the fact that  $p^{2k-1} - p^{2k} \geq 0$ , we find that

$$E(X_C) \geq N_k \left( p^k - \frac{np^{2k}}{2k} - \frac{k(p^{2k-1} - p^{2k})}{2} \right). \quad (4.7)$$

If  $p \geq k^2/(n+k^2)$ , then  $k(p^{2k-1} - p^{2k})/2 \leq np^{2k}/2k$  and so, by placing this restriction on  $p$ , we may be sure that

$$E(X_C) \geq N_k (p^k - np^{2k}/k). \quad (4.8)$$

Now, let us set  $p = (k/2n)^{1/k}$ . An elementary calculation shows that  $(k/2n)^{1/k} \geq k^2/(n+k^2)$  for all  $k \geq 2$  and  $n \geq 1$ , with equality iff  $k=2$  and  $n=4$ . Hence, our choice of  $p = (k/2n)^{1/k}$  is consistent with the previously made restriction.

If  $E(X_C) > E(X_A)$ , then it would be certain that  $G$  contains a set of at least  $n+1$  independent vertices. As this must not be the case, we know that

$$kN_k/4n \leq n(k/2n)^{1/k}, \quad (4.9)$$

and hence

$$N_k \leq (4n^2/k)(k/2n)^{1/k} < 5n^2/kn^{1/k}, \quad (4.10)$$

where, in the last inequality, we have used the simple fact that, for all  $k \geq 1$ ,  $(k/2)^{1/k} < 5/4$ . For fixed  $n$ ,  $kn^{1/k}$  decreases with increasing  $k$  as long as  $k < \log n$ . Hence, if  $m < \log n$ , it is certainly true that

$$\sum_{k=2}^m N_k < 5n^2/n^{1/m}.$$

Referring to (4.2), we have

$$r(C_4, K_n) < 1 + 3n + 5n^2/n^{1/m} + n^2/m^2.$$

Finally, by taking  $m \sim \log n/(2 \log \log n)$ , we obtain the bound

$$r(C_4, K_n) < c(n \log \log n / \log n)^2 \quad (n \rightarrow \infty),$$

as claimed. ■



### 5. EXACT RESULTS FOR $r(\leq C_m, K_n)$

Bondy and Erdős [3] have proved that if  $m \geq n^2 - 2$ , then  $r(C_m, K_n) = (m - 1)(n - 1) + 1$ . In other words, if  $m$  is sufficiently large in comparison with  $n$ , then the canonical example of  $n - 1$  disjoint copies of  $K_{m-1}$  is critical. A similar state of affairs exists in the case of  $r(\leq C_m, K_n)$ . Here too, if  $m$  is sufficiently large in comparison with  $n$ , simple examples can be cited and subsequently proved to be critical. Another feature of  $r(\leq C_m, K_n)$  in this realm is that, over specified intervals, it is constant, independent of  $m$ .

**Theorem 3.** For all  $n \geq 2$ ,

$$r(\leq C_m, K_n) = 2n - 1 \quad \text{if } m \geq 2n - 1,$$

and

$$r(\leq C_m, K_n) = 2n \quad \text{if } n < m < 2n - 1.$$

**Proof.** The example of  $n - 1$  disjoint copies of  $K_2$  shows that, for all  $m$ ,  $r(\leq C_m, K_n) \geq 2n - 1$ . Let  $G(V, E)$  be a graph of order  $2n - 1$  and assume that  $G$  contains no  $C_l$  for  $l \leq 2n - 1$ . Then  $G$  is a forest and it contains a set of  $\{|V|/2\} = n$  independent vertices. Thus, we have shown that  $r(\leq C_m, K_n) = 2n - 1$  if  $m \geq 2n - 1$ .

The example of  $C_{2n-1}$  shows that, for all  $m < 2n - 1$ ,  $r(\leq C_m, K_n) \geq 2n$ . To show that  $r(\leq C_m, K_n) = 2n$  if  $n < m < 2n - 1$ , let  $G(V, E)$  be a graph of order  $2n$  which contains no  $C_l$  for  $l \leq n + 1$ . We wish to show that  $G$  contains a set of  $n$  independent vertices. If  $G$  is a forest, the result is immediate. Consequently, we assume that  $G$  contains a cycle. Note that  $G$  must be a planar graph. If  $G$  were nonplanar, it would contain a subgraph homeomorphic from  $K_5$  or  $K_{3,3}$ . A simple count shows that a graph which is homeomorphic from  $K_5$  and which contains no cycle  $C_l$  for  $l \leq n + 1$  is of order at least  $\{(10n + 5)/3\}$ . Similarly, a graph which is homeomorphic from  $K_{3,3}$  and which contains no  $C_l$  for  $l \leq n + 1$  is of order at least  $\{(9n + 6)/4\}$ . In both cases, there is a clear contradiction of the fact that  $G$  is of order  $2n$ .

Let  $X$  be the set of all vertices of  $G$  which lie on at least one cycle. We may assume  $\langle X \rangle$  to be a 2-connected plane graph and we note that for this graph the boundary of every region is a cycle. Suppose that  $\langle X \rangle$  has  $r$  regions and that it is of order  $p$  and size  $q$ . We shall show that  $r < 4$ . For  $i = 1, \dots, r$ , let  $L_i$  denote the length of the cycle forming the boundary of the  $i$ th region. Then, since each cycle is of length at least  $n + 2$ ,

$$2q = \sum_{i=1}^r L_i \geq r(n + 2), \quad (5.1)$$

and, by Euler's formula,

$$p = q - r + 2 \geq \frac{r}{2}(n+2) - r + 2 = \frac{r}{2}n + 2. \quad (5.2)$$

If  $r \geq 4$ , then  $p \geq 2n + 2$ , in contradiction of the fact that  $G$  is of order  $2n$ . Our conclusion is that  $r$  must be either 2 or 3, i.e.,  $\langle X \rangle$  is either a cycle or a theta graph. In either case, there is a vertex,  $x$ , which belongs to every cycle of  $G$ . Hence,  $G - x$  is a tree of order  $2n - 1$  and so it contains a set of  $n$  independent vertices. ■

## 6. AN UPPER BOUND FOR $R(\leq C_m, K_n)$ WHEN $m$ IS LARGE IN COMPARISON WITH $\log n$

The slowly varying nature of  $r(\leq C_m, K_n)$  as revealed by Theorem 3 prompts further inquiry in the form of the following question. How large must  $m$  be in order to make  $r(\leq C_m, K_n) \doteq 2n$ ? In answer to this question, we shall show the existence of a constant  $A_\epsilon$  such that  $r(\leq C_m, K_n) \leq \{(2 + \epsilon)n\}$  whenever  $m \geq [A_\epsilon \log n]$ . At the crux of our proof is the following result.

**Lemma.** Let  $\delta$  be a fixed real number satisfying  $0 < \delta < 1/2$  and let  $n \geq 3$ . If  $G(V, E)$  is a graph of order  $n$  and size at least  $\{(1 + \delta)n\}$ , then  $G$  contains a cycle  $C_l$  for some  $l$  satisfying  $3 \leq l \leq 2[\log n / \log(1 + \delta)]$ .

**Proof.** For the case of  $n = 3$ ,  $\{(1 + \delta)3\} \geq 4$  and the lemma holds vacuously. For the case of  $n = 4$ ,  $\{(1 + \delta)4\} \geq 5$  and  $2[\log 4 / \log(1 + \delta)] \geq 6$ . A graph of order 4 and size at least 5 contains a  $C_3$  and so the stated proposition certainly holds. We now take  $n > 4$  and assume that the proposition holds for every  $m$  satisfying  $3 \leq m < n$ .

Let  $x$  be an arbitrary vertex of  $G$  and define  $A_0 = \{x\}$  and  $A_i = \{v \mid d(x, v) = i\}$  for  $i = 1, 2, \dots$ . Set  $k = [\log n / \log(1 + \delta)]$  and define

$$A = \bigcup_{i=1}^k A_i.$$

We now assume that, contrary to the stated proposition,  $G$  contains no cycle of order  $l \leq 2k$ . It follows that  $\langle A \rangle$  is a tree.

We may assume that for  $j = 0, 1, \dots, k$ ,

$$\sum_{i=1}^{j+1} |A_i| > (1 + \delta) \sum_{i=0}^j |A_i|; \quad (6.1)$$

otherwise, since  $\langle A \rangle$  is a tree, the graph  $G-X$  where

$$X = \bigcup_{i=0}^j A_i,$$

is a graph of order  $m < n$  and size at least  $\{(1 + \delta)m\}$ . In this case,  $G$  would contain a cycle of order  $l \leq 2[\log m / \log(1 + \delta)]$ , contrary to our assumption.

From inequality (6.1) we obtain

$$|A_{j+1}| > (1 + \delta) + \delta \sum_{i=1}^j |A_i|, \quad j = 0, 1, \dots, k. \quad (6.2)$$

By induction, it follows that

$$|A_j| > (1 + \delta)^j, \quad j = 1, 2, \dots, k + 1. \quad (6.3)$$

In particular, since  $k + 1 > \log n / \log(1 + \delta)$ , our assumption that  $G$  contains no cycle of length  $l \leq 2k$  has led to the absurd conclusion that  $|A_{k+1}| > n$ . ■

We are now prepared to prove the previously stated upper bound.

**Theorem 4.** Let  $\varepsilon > 0$  be fixed. There exists a corresponding constant  $A_\varepsilon$  such that

$$r(\leq C_m, K_n) \leq \{(2 + \varepsilon)n\}$$

whenever  $m \geq [A_\varepsilon \log n]$ .

**Proof.** Let us set  $\delta = \varepsilon/2(2 + \varepsilon)$  and  $A_\varepsilon = 2/\log(1 + \delta)$ . Let  $G(V, E)$  be a graph of order  $p = \{(2 + \varepsilon)n\}$  and let  $H_1, \dots, H_k$  denote the connected components of  $G$ . If, for some component  $H$ ,  $|E(H)| \geq (1 + \delta)|V(H)|$ , our lemma shows that  $H$ , and hence  $G$ , contains a cycle  $C_l$  for some  $l$  satisfying  $3 \leq l \leq [A_\varepsilon \log n]$ . If not, i.e., if  $|E(H)| < (1 + \delta)|V(H)|$  for every component, then by deleting at most  $\{\delta p\}$  appropriately chosen edges, we obtain a forest  $F$  of order  $p$ . Now we know that  $F$  contains a set of at least  $\{p/2\}$  independent vertices. Upon reinstatement of the deleted edges,  $G$  is still in possession of a set of at least  $\{p/2\} - \{\delta p\} = n$  independent vertices. ■

## 7. QUESTIONS

Our present understanding of the behavior of  $r(C_m, K_n)$  and  $r(\leq C_m, K_n)$  still leaves much to be desired. This is perhaps most apparent in the case of  $m$  fixed and  $n$  large, where we lack asymptotic formulas for either

$r(C_m, K_n)$  or  $r(\leq C_m, K_n)$ . At present, we only know that

$$c_1(n/\log n)^{(m-1)/(m-2)} < r(\leq C_m, K_n) \leq r(C_m, K_n) < c_2 n^{1+1/(m-1)/2}.$$

A second problem area concerns the behavior of  $r(C_m, K_n)$  as a function of  $m$ , when  $n$  is fixed. From [3], we know that if  $m \geq n^2 - 2$ , then

$$r(C_m, K_n) = (m-1)(n-1) + 1,$$

and so, eventually, the Ramsey number increases monotonically with  $m$ . We now pose two questions:

(i) What is the smallest value of  $m$  such that  $r(C_m, K_n) = (m-1)(n-1) + 1$ ? It is conjectured that this formula holds for all  $m \geq n$ .

(ii) What value of  $m$  gives the minimum value of  $r(C_m, K_n)$ ? From the bounds quoted above, we know that if  $n$  is fixed, but suitably large, then

$$r(C_m, K_n) > r(C_{2m-1}, K_n) \quad \text{and} \quad r(C_m, K_n) > r(C_{2m}, K_n)$$

for sufficiently small values of  $m$ . It is possible at that for a suitably large fixed value of  $n$ ,  $r(C_m, K_n)$  first decreases monotonically, then attains a unique minimum, then increases monotonically with  $m$ .

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