

On a linear diophantine problem of Frobenius

by

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Introduction. Given integers $0 < a_1 < \dots < a_n$ with $\gcd(a_1, \dots, a_n) = 1$, it is well-known that the equation $N = \sum_{k=1}^n x_k a_k$ has a solution in non-negative integers x_k provided N is sufficiently large. Following [9], we let $G(a_1, \dots, a_n)$ denote the greatest integer N for which the preceding equation has no such solution.

The problem of determining $G(a_1, \dots, a_n)$, or at least obtaining non-trivial estimates, was first raised by G. Frobenius (cf. [2]) and has been the subject of numerous papers (e.g., cf. [1], [2], [3], [4], [7], [8], [9], [11], [12], [13]). It is known that:

$$G(a_1, a_2) = (a_1 - 1)(a_2 - 1) - 1 \quad ([2], [11]);$$

$$G(a_1, \dots, a_n) \leq (a_1 - 1)(a_n - 1) - 1 \quad ([2], [4]);$$

$$G(a_1, \dots, a_n) \leq \sum_{k=1}^{n-1} a_{k+1} d_k / d_{k+1}$$

where $d_k = \gcd(a_1, \dots, a_k)$ ([2]). The exact value of G is also known for the case in which the a_k form an arithmetic progression ([1], [13]).

In this paper, we obtain the bound

$$G(a_1, \dots, a_n) \leq 2a_{n-1} \left[\frac{a_n}{n} \right] - a_n,$$

which in many cases is superior to previous bounds and which will be seen to be within a constant factor of the best possible bound. We also consider several related extremal problems and obtain an exact solution in the case that $a_n - 2n$ is small compared to $n^{1/2}$.

A general bound. As before, we consider integers $0 < a_1 < \dots < a_n$ with $\gcd(a_1, \dots, a_n) = 1$.

THEOREM 1.

$$(1) \quad G(a_1, \dots, a_n) \leq 2a_{n-1} \left[\frac{a_n}{n} \right] - a_n.$$

Proof. Let g denote a_n , let m denote $\left[\frac{a_n}{n} \right]$ and let A denote the set $\{0, a_1, \dots, a_{n-1}\}$ of residues modulo g . Consider the sum

$$\mathcal{C} = \underbrace{A + \dots + A}_m = \{b_1 + \dots + b_m: b_k \in A\} \pmod{g}.$$

By a strong theorem of Kneser ([10]; cf. also [6], p. 57), there exists a (minimal) divisor g' of g such that

$$\mathcal{C} = \underbrace{A^{(g')} + \dots + A^{(g')}}_m \pmod{g}$$

where

$$A^{(g')} = \{a + rg': 0 \leq r < g/g', a \in A\} \pmod{g}$$

and such that

$$(2) \quad \frac{|\mathcal{C}|}{g} \geq \frac{mn}{g} - \frac{m-1}{g'}.$$

Assume \mathcal{C} does not contain a complete system of residues modulo g . Since $\gcd(a_1, \dots, a_{n-1}, g) = 1$ then $A^{(g')}$ must consist of more than one congruence class mod g' . By the theorem of Kneser and the minimality of g' , it follows that \mathcal{C} must contain at least $m+1$ distinct residue classes mod g' ; thus

$$(3) \quad \frac{|\mathcal{C}|}{g} \geq \frac{m+1}{g'}.$$

Note that $g \geq n$ and $m = [g/n]$ imply

$$(4) \quad m+1 > \frac{1}{2} \left(\frac{m-1}{\frac{mn}{g} - \frac{1}{2}} \right).$$

Suppose now that $|\mathcal{C}| \leq \frac{1}{2}g$. By (2) and (4) we have

$$\frac{mn}{g} - \frac{m-1}{g'} \leq \frac{1}{2}, \quad g' \leq \frac{m-1}{\frac{mn}{g} - \frac{1}{2}} < 2(m+1).$$

Hence, by (3),

$$\frac{|\mathcal{C}|}{g} \geq \frac{m+1}{g'} > \frac{m+1}{2(m+1)} = \frac{1}{2}$$

which is a contradiction.

We may therefore assume $|\mathcal{C}| > \frac{1}{2}g$. But in this case it is easily seen that $\mathcal{C} + \mathcal{C}$ contains a complete residue system mod g . It follows that the least possible integer not representable in the form

$$x_1 b_1 + \dots + x_{2m} b_{2m} + xg$$

with $x_k \geq 0$, $x \geq 0$, $b_k \in A$, is given by

$$2m \cdot \max_{a \in A} (a) - g = 2a_{n-1} \left[\frac{a_n}{n} \right] - a_n.$$

This proves the theorem.

Note that in the case that $n = 2$ and a_2 is odd we have

$$G(a_1, a_2) \leq 2a_1 \left[\frac{a_2}{2} \right] - a_2 = a_1 a_2 - a_1 - a_2$$

which is best possible.

An extremal problem. The question of the estimation of G naturally suggests the following extremal problem. For integers n and t , define $g(n, t)$ by

$$g(n, t) = \max_{a_i} G(a_1, \dots, a_n)$$

where the max is taken over all a_i satisfying

$$(5) \quad 0 < a_1 < \dots < a_n \leq t, \quad \gcd(a_1, \dots, a_n) = 1.$$

By Theorem 1 the following result is immediate.

COROLLARY. $g(n, t) < 2t^2/n$.

On the other hand, it is not hard to see that for the set $\{x, 2x, \dots, (n-1)x, x^*\}$ with $x = [t/(n-1)]$ and $x^* = (n-1)[t/(n-1)] - 1$,

$$g(n, t) \geq G(x, \dots, x^*) \geq \frac{t^2}{n-1} - 5t \quad \text{for } n \geq 2.$$

Thus, $g(n, t)$ is bounded below by essentially t^2/n .

Of course, for $n = 2$, the exact value of g is given by $g(2, t) = (t-1)(t-2) - 1$. It appears that

$$g(3, t) = \left[\frac{(t-2)^2}{2} \right] - 1,$$

with the sets $\{t/2, t-1, t\}$ or $\{t-2, t-1, t\}$ for t even and $\{(t-1)/2, t-1, t\}$ for t odd achieving this bound. However, this has not yet been established. It follows from the Corollary that $g(n, cn) < 2c^2n$ and $g(n, n^2) < 2n^2$; again, the truth probably differs from these estimates by a factor of $1/2$ for large n .

Determination of $g(n, 2n+k)$. The remainder of the paper will be concerned with the determination of $g(n, 2n+k)$ for n large compared to k . It follows easily from density considerations that $g(n, 2n+k) = 2n+2k-1$ for $k \leq -1$ (cf. [12]). It was shown in [5] that $g(n, 2n) = 2n+1$ and $g(n, 2n+1) = 2n+3$. It was also proved in [5] that for k fixed $g(n, 2n+k) = 2n+h(k)$ for some function h of k provided n is sufficiently large. The exact value of $h(k)$ is given by the next result.

THEOREM 2. *For k fixed, if n is sufficiently large then*

$$g(n, k) = \begin{cases} 2n+2k-1 & \text{for } k \leq -1, \\ 2n+1 & \text{for } k = 0, \\ 2n+4k-1 & \text{for } k \geq 1 \text{ and } n-k \equiv 1 \pmod{3}, \\ 2n+4k+1 & \text{for } k \geq 1 \text{ and } n-k \not\equiv 1 \pmod{3}. \end{cases}$$

Proof. By previous remarks we may restrict ourselves to $k \geq 2$. Assume for a fixed integer $K \geq 2$ the theorem holds for all $k < K$. Let $A = \{a_1, \dots, a_n\}$ be a set satisfying (5) with $k = K$ and n large (to be specified later). We first establish

$$(6) \quad g(n, k) \leq \begin{cases} 2n+4K-1 & \text{if } n-K \equiv 1 \pmod{3}, \\ 2n+4K+1 & \text{if } n-K \not\equiv 1 \pmod{3}. \end{cases}$$

Let $S(A)$ denote the set of sums $\{\sum_{i=0}^n x_i a_i : x_i \geq 0\}$ we are considering and let $G(A)$ abbreviate $G(a_1, \dots, a_n)$. Note that if there exists an x , $1 \leq x \leq 2n+K$, with $x \in S(A)$, $x \notin A$, then the set $A' = A \cup \{x\}$ satisfies

$$0 < a'_1 < \dots < a'_{n+1} = 2n+K = 2(n+1)+K-2.$$

By the induction hypothesis

$$G(A) = G(A') \leq 2(n+1)+4(K-2)+1 = 2n+4K-5 < 2n+4K-1$$

so that (6) certainly holds in this case. Hence, we may assume A and $S(A)$ agree below $2n+K$.

Next, suppose $2n+K+1 \in S(A)$. Then for $A' = A \cup \{2n+K+1\}$ we have

$$0 < a'_1 < \dots < a'_{n+1} = 2n+K+1 = 2(n+1)+K-1$$

so that by the induction hypothesis

$$G(A) = G(A') \leq 2(n+1)+4(K-1)+1 = 2n+4K-1$$

and (6) holds in this case. Hence, we may assume

$$2n+K+1 \notin S(A).$$

Now, suppose $2n + K + 2 \in S(A)$, $2n + K + 3 \in S(A)$. For $A' = A \cup \{2n + K + 2, 2n + K + 3\}$ we have

$$0 < a'_1 < \dots < a'_{n+2} = 2n + K + 3 = 2(n+2) + K - 1.$$

By the induction hypothesis

$$\begin{aligned} G(A) = G(A') &\leq \begin{cases} 2(n+2) + 4(K-1) - 1 & \text{if } (n+2) - (K-1) \equiv 1 \pmod{3}, \\ 2(n+2) + 4(K-1) + 1 & \text{if } (n+2) - (K-1) \not\equiv 1 \pmod{3} \end{cases} \\ &= \begin{cases} 2n + 4K - 1 & \text{if } n - k \equiv 1 \pmod{3}, \\ 2n + 4K + 1 & \text{if } n - k \not\equiv 1 \pmod{3}, \end{cases} \end{aligned}$$

so that (6) holds in this case. Hence we may assume that either

$$2n + K + 2 \notin S(A) \quad \text{or} \quad 2n + K + 3 \notin S(A).$$

There are two cases:

(I) Suppose $a_1 \leq 3K$. If at least $3K$ consecutive integers belong to A then by successively adding a_1 to these integers, we infer that $G(A) < 2n + K$ and (6) holds in this case. Therefore, we may assume that A does not contain $3K$ consecutive integers.

Since we have assumed $2n + K + 1 \notin S(A)$ then for all i , $1 \leq i \leq 2n + K$, either $i \notin A$ or $2n + K + 1 - i \notin A$. Thus, for exactly $\left\lfloor \frac{K+1}{2} \right\rfloor$ values of j we have $j \notin A$ and $n + K + 1 - j \notin A$. For a given integer $f(K)$, if n is sufficiently large then for some $t \leq \left\lfloor \frac{K+1}{2} \right\rfloor f(K)$, each of the integers $t + i$, $1 \leq i \leq f(K)$, satisfies either

$$t + i \in A \quad \text{or} \quad 2n + K + 1 - (t + i) \in A.$$

Consequently, for some t' , $t + 1 \leq t' \leq t + 3K$, we have

$$2n + K - t' + 1 \in A.$$

There are several possibilities:

(i) Suppose $2n + K - t' \in A$. If $t' + 2 \in A$ then we would have $2n + K - t' + 2$, $2n + K - t' + 3 \in S(A)$ which contradicts our assumptions on A . We may therefore assume

$$2n + K - t' - 1 \in A.$$

But now consider $t' + 3$. If $t' + 3 \in A$ then as before we find $2n + K - t' + 2$, $2n + K - t' + 3 \in S(A)$ which is a contradiction. Hence, we must have

$$2n + K - t' - 2 \in A.$$

We can continue this argument to conclude that

$$2n + K - t' - s \in A \quad \text{for} \quad 0 \leq s \leq 3K - 1,$$

provided $f(K) \geq 6K$ and n is sufficiently large. But this is a sequence of $3K$ consecutive integers in A and since this contradicts our assumption on A , then case (i) is impossible.

(ii) Suppose $2n + K - t' \notin A$. Then we have

$$t' + 1 \in A.$$

If we now have $t' + 2 \in A$ then as before $2n + K - t' + 2, 2n + K - t' + 3 \in S(A)$ which is a contradiction. Therefore, we may assume $t' + 2 \notin A$, i.e.,

$$2n + K - t' - 1 \in A.$$

Now, by using the same arguments as in (i) we can argue that $t' + 3, 2n + K - t' - 3, \dots, t' + 2r + 1, 2n + K - t' - 2r - 1 \in A$ for $2r < f(K) - 3K$ if n is sufficiently large. In particular we have

$$t' + 2j + 1 \in A, \quad 0 \leq j < \frac{1}{2}(f(K) - 3K)$$

where $t' \leq \left\lceil \frac{K+1}{2} \right\rceil f(K) + 3K$. Since $a_1 \leq 3K$ then by successively adding $2a_1$ to the integers $t' + 2j + 1$, we see that all integers x of the form $x = t' + 2s + 1, s \geq 0$, belong to $S(A)$ provided

$$6K \leq f(K) - 3K.$$

Of course if $t' \equiv 0 \pmod{2}$, then by adding $t' + 1 \in A$ to the integers $t' + 2s + 1, s \geq 0$, we see that all integers $\geq 2 \left\lceil \frac{K+1}{2} \right\rceil f(K) + 6K + 2$ belong to $S(A)$. For n sufficiently large, this certainly implies (6). We may therefore assume

$$t' \equiv 1 \pmod{2}$$

and consequently all even integers $\geq t' + 1$ belong to $S(A)$. In fact, is it clear that if $x \in A$ is an odd integer and $x \leq 2n + K - (t' + 1)$ then all odd integers $\geq 2n + K$ (and hence all integers $\geq 2n + K$) belong to $S(A)$. Thus, we may assume that

$$x \in A, \quad x \text{ odd} \Rightarrow x > 2n - \left\lceil \frac{K+1}{2} \right\rceil f(K) - 2K.$$

Further, if K is odd then $2n + K + 1$ is even and therefore belongs to $S(A)$ for n sufficiently large. This contradicts our assumption on A and we may assume K is even.

Now, let u be the largest integer such that $2n + K - 2u + 1 \in A$. Since K is even it follows that

$$u < \frac{1}{2} \left(\left\lfloor \frac{K+1}{2} \right\rfloor f(K) + 3K + 1 \right).$$

Consider the $K+1$ integers $2u + 2j$, $1 \leq j \leq K+1$. By the definition of u none of the integers $2n + K - (2u + 2j) + 1$ belongs to A . Since there are at most $\left\lfloor \frac{K+1}{2} \right\rfloor = \frac{K}{2}$ of these integers for which both $2u + 2j \notin A$ and $2n + K - (2u + 2j) + 1 \notin A$ then we see that at least $K + 1 - \frac{K}{2} = \frac{K}{2} + 1$ of them belong to A , say,

$$2u + 2j_1, \dots, 2u + 2j_t \in A, \quad t \geq K/2 + 1.$$

Forming the sums

$$(2n + K - 2u + 1) + (2u + 2j_i), \quad i = 1, 2, \dots, t,$$

we obtain at least $K/2 + 1$ sums $2n + K + 2j_i + 1$ which are $\geq 2n + K + 3$ and $\leq 2n + 3K + 3$ and which belong to $S(A)$. But all the even integers $2n + K + 2r$, $1 \leq r \leq K+1$, also belong to $S(A)$. Hence, $S(A)$ contains at least $n + (K/2 + 1) + K + 1$ integers which are less than or equal to $2n + 3K + 3$ and we can find a subset $A' \subseteq S(A)$ with

$$0 < a'_1 < \dots < a'_{n+3K/2+2} = 2n + 3K + 3 - d,$$

for some integer $d \geq 0$. Since

$$(2n + 3K + 3 - d) - (2 + 3K/2 + 2) \leq -1$$

then by the induction hypothesis we conclude that all integers $\geq 2n + 3K + 3 - d$ belong to $S(A)$. If $d \geq 1$ then in fact all integers $\geq 2n + 3K + 2$ belong to $S(A)$; if $d = 0$ then since $2n + 3K + 2$ is even then we still have all integers $\geq 2n + 3K + 2 \in S(A)$. Thus,

$$G(A) \leq 2n + 3K + 1.$$

But for $K \geq 2$, $4K - 1 \geq 3K + 1$ so that

$$G(A) \leq 2n + 4K - 1$$

and (6) holds in this case. This concludes case (I).

(II) Suppose $a_1 > 3K$. There are two cases:

(i) Suppose $a_1 > n + \left\lfloor \frac{K+1}{2} \right\rfloor$. Thus, exactly $\left\lfloor \frac{K+1}{2} \right\rfloor$ of the integers which are $> n + \left\lfloor \frac{K+1}{2} \right\rfloor$ and $< 2n + K$ are missing from A . This

implies that for some i , $1 \leq i \leq \left\lfloor \frac{K+1}{2} \right\rfloor + 1$, both $n + 2 \left\lfloor \frac{K+1}{2} \right\rfloor + 1 + i \in A$ and $n + 2 \left\lfloor \frac{K+1}{2} \right\rfloor + 2 - i \in A$, i.e., $2n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 3 \in S(A)$. Of course, the same argument can be repeated for $2n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 4$, etc., so that for n sufficiently large, $2n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + j + 2 \in S(A)$ for $1 \leq j \leq 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 3$. Hence $S(A)$ contains a subset A' with

$$0 < a'_1 < \dots < a'_{n+4 \left\lfloor \frac{K+1}{2} \right\rfloor + 3} = 2n + 8 \left\lfloor \frac{K+1}{2} \right\rfloor + 5 - d$$

for some $d \geq 0$. Since

$$2 \left(n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 3 \right) > 2n + 8 \left\lfloor \frac{K+1}{2} \right\rfloor + 5 - d$$

then by the induction hypothesis all integers $> 2n + 8 \left\lfloor \frac{K+1}{2} \right\rfloor + 5$ belong to $S(A)$. But since $2n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + j + 2 \in S(A)$ for $1 \leq j \leq 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 3$ then all integers $> 2n + 4 \left\lfloor \frac{K+1}{2} \right\rfloor + 2$ belong to $S(A)$. However, $4 \left\lfloor \frac{K+1}{2} \right\rfloor + 2 < 4K - 1$ for $K \geq 2$ so that (6) holds in this case.

(ii) Suppose $a_1 \leq n + \left\lfloor \frac{K+1}{2} \right\rfloor$. Consider the $3K - 1$ integers $2n + K - a_1 + i + 1$, $1 \leq i \leq 3K - 1$. Since a_1 is the least element of A then at least $3K - 1 - \left\lfloor \frac{K+1}{2} \right\rfloor$ of these integers must belong to A . Adding a_1 to each of them gives at least $3K - 1 - \left\lfloor \frac{K+1}{2} \right\rfloor$ integers in $S(A)$ which are $> 2n + K$ and $\leq 2n + 4K$. Thus, $S(A)$ contains a subset A' with

$$0 < a'_1 < \dots < a'_{n+3K-1-\left\lfloor \frac{K+1}{2} \right\rfloor} = 2n + 4K - d$$

for some $d \geq 0$.

For $K \geq 4$,

$$2 \left(n + 3K - 1 - \left\lfloor \frac{K+1}{2} \right\rfloor \right) > 2n + 4K - d$$

so that by the induction hypothesis

$$G(A) \leq G(A') \leq 2n + 4K - 1$$

and (6) holds. Hence, we may assume $K \leq 3$. There are two cases.

Suppose $K = 2$. If $2n - a_1 + j \in A$, $4 \leq j \leq 6$, then $2n + j \in S(A)$, $4 \leq j \leq 6$. Thus $S(A)$ contains a subset A' with

$$0 < a'_1 < \dots < a'_{n+3} = 2n + 6$$

and by the induction hypothesis

$$G(A) \leq G(A') \leq 2n + 7$$

so that (6) holds in this case.

If at least one of $2n - a_1 + j$, $4 \leq j \leq 6$, is missing from A , then in fact, exactly one of $2n - a_1 + j$, $4 \leq j \leq 6$, is missing from A , and all of $2n - a_1 + j \in A$, $1 \leq j \leq 9$. Hence, $2n + j \in S(A)$, $7 \leq j \leq 9$, and $S(A)$ contains a subset A' with

$$0 < a'_1 < \dots < a'_{n+5} \leq 2n + 9.$$

By the induction hypothesis

$$G(A') \leq 2n + 8$$

and since $2n + 7, 2n + 8 \in S(A)$ then

$$G(A) \leq 2n + 6$$

which satisfies (6) in this case.

The case $K = 3$ is similar and will be omitted. It can be checked that the condition that n be sufficiently large in the preceding arguments is satisfied, for example, by taking $n > 20K^2$.

This concludes case (II) and (6) is proved.

We next exhibit specific sets A which satisfy (6) with equality for n arbitrarily large. There are three cases.

(i) $n - K \equiv 1 \pmod{3}$. Write $n = 3m + K + 1$ and let

$$A = \bigcup_{i=1}^{2m+K} \{3i\} \cup \bigcup_{j=1}^{m+1} \{3m + 3K + 5 - 3j\}.$$

The least element of $S(A)$ which is $\equiv 1 \pmod{3}$ is $2(3m + 3K + 2) = 6m + 6K + 4$ so that

$$2n + 4K - 1 = 6m + 6K + 1 \notin S(A).$$

Therefore $0 < a_1 < \dots < a_n = 2n + K$ and $G(A) \geq 2n + 4K - 1$.

(ii) $n - K \equiv 2 \pmod{3}$. Write $n = 3m + K + 2$ and let

$$A = \bigcup_{i=1}^{2m+K+1} \{3i\} \cup \bigcup_{j=1}^{m+1} \{3m + 3K + 7 - 3j\}.$$

(iii) $n - K \equiv 0 \pmod{3}$. Write $n = 3m + K$ and let

$$A = \bigcup_{i=1}^{2m+K} \{3i\} \cup \bigcup_{j=1}^m \{6m + 3K + 2 - 3j\}.$$

It is easy to see in (ii) and (iii) that A satisfies (5) and $G(A) \geq 2n + 4K + 1$.

The examples in (i), (ii) and (iii) together with (6) establish the theorem for $k = K$. This completes the induction step and the theorem is proved.

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Added in proof: The conjecture $g(3, t) = \left\lfloor \frac{(t-2)^2}{2} \right\rfloor - 1$ has recently been settled in the affirmative by M. Lewin (personal communication).

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